BID NUMBER/BID NAME: 20MISC8, Security Access Upgrade

ISSUE DATE: May 26, 2020

CONTRACT MANAGER: Bill Meekins CPPB, CPPO, CSBO, CPCP, Purchasing Agent
bill.meekins@fcps.org

CONTRACT ADMINISTRATOR: Scott Blundell, Supervisor, Security and Emergency Management
scott.blundell@fcps.org

QUESTIONS: Questions due no later than 4:00 P.M., local time, on June 12, 2020 Submit questions in writing to the Contract Manager listed above with a copy to the Contract Administrator.

PRE-BID DATE: 10:00 A.M., local time, on June 3, 2020 Utilizing Skype Business (formerly known as Lyne) (240) 236-6172 (FCPS) Conference ID:9065784

OBTAINING BID DOCUMENTS: To view and/or download this solicitation package please visit our webpage at: www.fcps.org/bidlist. If you have problems downloading this bid or applicable addenda, contact: amy.beall@fcps.org

BONDS REQUIRED: YES

MBE REQUIREMENTS: YES

PROPOSALS DUE: 2:00 P.M., local time, on June 18, 2020 Faxed or emailed bids are not acceptable.

SEALED FEE PROPOSALS DELIVERED TO: FCPS is accepting electronic bid submissions through ProcureNow Bidders can create a FREE account with ProcureNow by signing up at http://secure.procurenow.com/signup

TENTATIVE AWARD DATE: BOE Work Session, scheduled on: TBD - July, 2020

ELIGIBILITY TO BID: All Frederick County Public School vendors and or contractors interested in bidding on FCPS projects must register at eMaryland Marketplace Advantage www.procurement.maryland.gov. FCPS will no longer accept bidder’s applications.
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<tr>
<th>Section</th>
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INVITATION TO BID

May 26, 2020

Bid 20MISC8, Security Access Upgrade

The Board of Education of Frederick County will receive proposals for Bid 20MISC8, Security Access Upgrade. Proposals will be received, prior to and no later than 2:00 P.M., local time, on June 18, 2020.

Bids will be opened and publicly read utilizing Skype Business (formerly known as Lync): (240) 236-6172 (FCPS) Conference ID: 9065784.

FCPS is accepting electronic bid submissions through ProcureNow. Instructions on how to do so may be found at https://www.fcps.org/fiscal/solicitations-and-awards. No bid submissions will be accepted via mail. If you have any issues using the ProcureNow site, please let us know so we can assist you. Scans, copies, or photos of the bid bonds can be submitted via ProcureNow. Original bid bonds are required to be mailed in, and must be postmarked no later than the day of the bid opening.

A pre-bid meeting will be held at 10:00 A.M., local time, on June 3, 2020. You are requested to join an online meeting for Skype Business (the professional meetings and communications app formerly known as Lync.)

(240) 236-6172 (FCPS) Conference ID: 9065784

If you have problems joining this meeting, please email billy.meekins@fcps.org

To view and/or download this solicitation package please visit our webpage at: http://www.fcps.org/bidlist.

All FCPS vendors and or contractors interested in bidding on FCPS projects must register at www.emarylandmarketplace.com, prior to contract award. FCPS will no longer accept bidder’s applications.

Certified Minority Business Enterprises are encouraged to respond to this solicitation notice.

FCPS System does not discriminate in admissions, access, treatment, or employment in its programs or activities on the basis of race, color, gender, age, national origin, religion, or disability. For more information, contact the Executive Director of Legal Services at 301-696-6851.

The Board of Education of Frederick County, Maryland, reserves the right to reject any and all bids and to waive any informalities or irregularities in bidding.

By order of the Board of Education of Frederick County, Maryland.

Purchasing Agent: Bill Meekins CPPB, CPPO, CSBO, CPCP
Frederick County Public Schools, MD, School Year 2019-2020 Calendar

August 2019
23, 26-30 Fri, Mon-Fri Teacher Work Days

September 2019
02 Mon FCPS Closed: Labor Day
03 Tue First Day of School for Students
20 Fri Schools Closed: Fair Day

October 2019
08 Tue 2-Hour Early Dismissal for Students: Teacher Mid-Term Work Session
09 Wed Schools Closed. Yom Kippur.
23 Wed Elementary and Middle Schools Open 4 Hours Late for Evening Parent-Teacher Conferences; High Schools Are Full Day
24 Thu Elementary and Middle Schools Open 4 Hours Late for Evening Parent-Teacher Conferences; High Schools Are Full Day
25 Fri Elementary and Middle Students Dismissed 3.5 Hours Early for Afternoon Parent-Teacher Conferences; High Schools Are Full Day

November 2019
07 Thu End of Term 1
08 Fri Schools Closed for Students: Teacher Work Day
11 Mon Term 2 Begins
27, 28*, 29* Wed-Fri Schools Closed: Thanksgiving Break

December 2019
20 Fri 2-Hour Early Dismissal for Students: Teacher Mid-Term Work Session
23, 24*-31* Mon-Tue Schools Closed: Winter Break

January 2020
01* Wed Schools Closed: New Year’s Day
20* Mon Schools Closed: Dr. Martin Luther King Jr. Day
28 Tue End of Term 2
29 Wed Schools Closed for Students: Teacher Work Day
30 Thu Second Semester and Term 3 Begin

February 2020
14 Fri 2-Hour Early Dismissal for Students: Teacher Work Session
17* Mon Schools Closed: Presidents’ Day

March 2020
04 Wed 2-Hour Early Dismissal for Students: Teacher Mid-Term Work Session

April 2020
08 Wed 2-Hour Early Dismissal for Students: Teacher Work Session; End of Term 3
09 Thu Schools Closed for Students: Teacher Work Day
10*, 13* Fri-Mon Schools Closed: Spring Break
14 Tue Term 4 Begins
28* Tue Schools Closed: Primary Election Day

May 2020
25* Mon Schools Closed: Memorial Day

June 2020
22**Mon Last Day of School for Students /2-Hour Early Dismissal: Teacher Work Session. End of Term 4
23** Tue Last Day of School for Teachers

*State-Mandated Public Schools Holiday

**This calendar includes 8 days for snow or other emergency closings. If there are no days needed for emergency closings, the last day for students will be June 10. Subject to BOE revision, FCPS will make up emergency-closing days in the following sequence: June 11, 12, 15, 16, 17, 18, 19 and 22. The June two-hour early dismissal will occur on the last day of school for students.

May 15, 2019
# DIRECTORY OF SCHOOLS

## ELEMENTARY

<table>
<thead>
<tr>
<th>#</th>
<th>Name</th>
<th>Phone</th>
<th>Address</th>
<th>Principal</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Ballenger Creek</td>
<td>240-236-2500</td>
<td>5200 Kingsbrook Drive, Frederick, MD 21703</td>
<td>Mr. Robert Harvey</td>
</tr>
<tr>
<td>2</td>
<td>Brunswick</td>
<td>240-236-2900</td>
<td>400 Central Ave, Brunswick, MD 21716</td>
<td>Mr. Jordan Brooks</td>
</tr>
<tr>
<td>3</td>
<td>Butterfly Ridge</td>
<td>240-566-0300</td>
<td>601 Contender Way, Frederick, MD 21703</td>
<td>Dr. Patricia Haslet</td>
</tr>
<tr>
<td>4</td>
<td>Carroll Manor</td>
<td>240-236-3800</td>
<td>5624 Adamstown Road, Adamstown, MD 21710</td>
<td>Ms. Jennifer Clements</td>
</tr>
<tr>
<td>5</td>
<td>Centerville</td>
<td>240-566-0100</td>
<td>301 Carriage Hill Drive, Frederick, MD 21704</td>
<td>Ms. Karen Hopkins</td>
</tr>
<tr>
<td>6</td>
<td>Deer Crossing</td>
<td>240-236-5900</td>
<td>10601 Iron Road, New Market, MD 21774</td>
<td>Ms. Amy Surosky</td>
</tr>
<tr>
<td>7</td>
<td>Emmitsburg</td>
<td>240-236-1750</td>
<td>10552 Glade Road, Emmitsburg, MD 21727</td>
<td>Mr. Ronald Bowers</td>
</tr>
<tr>
<td>8</td>
<td>Glade</td>
<td>240-236-2100</td>
<td>301 South Seron Avenue, Emmitsburg, MD 21727</td>
<td>Mr. Michael Dillman</td>
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<tr>
<td>9</td>
<td>Green Valley</td>
<td>240-236-3400</td>
<td>11501 Haffer Road, Woodbine, MD 21793</td>
<td>Mr. Anthony Brown</td>
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<tr>
<td>10</td>
<td>Hillcrest</td>
<td>240-236-3200</td>
<td>1285 Hillcrest Drive, Frederick, MD 21703</td>
<td>Mr. Mark Williams</td>
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## MIDDLE

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<tr>
<td>20</td>
<td>New Midway-Woodboro</td>
<td>240-236-2500</td>
<td>3456 Kempton Church Road, Woodbridge, MD 21703</td>
<td>Ms. Kathryn Golightly</td>
</tr>
<tr>
<td>21</td>
<td>North Frederick</td>
<td>240-236-2500</td>
<td>11119 Hassong Bridge Road, Thurmont, MD 21788</td>
<td>Ms. Darla Austin</td>
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<tr>
<td>22</td>
<td>Oakdale</td>
<td>240-236-2500</td>
<td>5800 Oakale School Road, Thurmont, MD 21704</td>
<td>Ms. Jean Strahmeyer</td>
</tr>
<tr>
<td>23</td>
<td>Orchard Grove</td>
<td>240-236-2500</td>
<td>800 Madison Street, Frederick, MD 21703</td>
<td>Ms. Karen Hopkins</td>
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<td>24</td>
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<td>Ms. Karen Hopkins</td>
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## HIGH

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<tr>
<td>30</td>
<td>Tuscannara</td>
<td>240-566-0000</td>
<td>6321 Lambert Drive, Frederick, MD 21703</td>
<td>Dr. Kimberly Clifford</td>
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<tr>
<td>31</td>
<td>Twin Ridge</td>
<td>240-236-2300</td>
<td>1106 Lazy Hollow Circle, Frederick, MD 21703</td>
<td>Mr. Michael Dillman</td>
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<tr>
<td>32</td>
<td>Urbana</td>
<td>240-566-0500</td>
<td>3400 Stone Barn Drive, Frederick, MD 21704</td>
<td>Ms. Karen Hopkins</td>
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<tr>
<td>33</td>
<td>Valley</td>
<td>240-236-3300</td>
<td>3516 Jefferson Pike, Jefferson, MD 21755</td>
<td>Mr. Anthony Brown</td>
</tr>
<tr>
<td>34</td>
<td>Walkersville</td>
<td>240-236-2400</td>
<td>83 West Frederick Street, Walkersville, MD 21793</td>
<td>Mr. Ronald Bowers</td>
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<tr>
<td>35</td>
<td>Waverley</td>
<td>240-236-2400</td>
<td>83 West Frederick Street, Walkersville, MD 21793</td>
<td>Mr. Ronald Bowers</td>
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<tr>
<td>36</td>
<td>Wolfville</td>
<td>240-236-2400</td>
<td>83 West Frederick Street, Walkersville, MD 21793</td>
<td>Mr. Ronald Bowers</td>
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</tbody>
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## FOOTNOTE

1. Ballenger Creek Elementary School
2. Brunswick Elementary School
3. Butterfly Ridge Elementary School
4. Carroll Manor Elementary School
5. Centerville Elementary School
6. Deer Crossing Elementary School
7. Emmitsburg Elementary School
8. Glade Elementary School
9. Green Valley Elementary School
10. Hillcrest Elementary School

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<table>
<thead>
<tr>
<th>Address</th>
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<tbody>
<tr>
<td>Crestwood</td>
<td>240-566-9000</td>
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<tr>
<td>7100 Foxcroft Drive</td>
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<tr>
<td>Frederick, MD 21703</td>
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<tr>
<td>Fax 240-566-9001</td>
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<tr>
<td>41. Governor Thomas Johnson</td>
<td>240-236-4900</td>
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<tr>
<td>Ms. Maggie Gilgannon, Principal</td>
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<tr>
<td>1799 Schifferstadt Boulevard</td>
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<td>Frederick, MD 21701</td>
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<td>Fax 240-236-4901</td>
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<tr>
<td>42. Middletown</td>
<td>240-236-4200</td>
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<tr>
<td>Mr. Paul Fee, Principal</td>
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<tr>
<td>100 Martha Mason Street</td>
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<td>Middletown, MD 21769</td>
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<td>Fax 240-236-4250</td>
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<td>43. Manorocoy</td>
<td>240-236-4700</td>
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<td>Dr. Stephanie Ware, Principal</td>
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<td>8009 Opsumtwn Pkwy</td>
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<td>Fax 240-236-4701</td>
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<td>44. New Market</td>
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<td>Ms. T.C. Suter, Principal</td>
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<td>125 West Main Street</td>
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<td>45. Oakdale</td>
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<td>Ms. Mike Reddick, Principal</td>
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<td>5810 Oakdale School Rd</td>
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<td>46. Thurmont</td>
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<td>Mr. Daniel Eck, Principal</td>
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<td>408 East Main Street</td>
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<td>Thurmont, MD 21798</td>
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<td>47. Urbana</td>
<td>240-566-9200</td>
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<td>Fax 240-566-9201</td>
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<td>48. Walkersville</td>
<td>240-236-4400</td>
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<td>Mr. Frank Vetter, Principal</td>
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<td>Fax 240-236-4401</td>
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<td>49. West Frederick</td>
<td>240-236-4000</td>
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<td>Ms. Patrice Barnes, Principal</td>
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<td>50. Windsor Knolls</td>
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<td>Mr. Brian Vasquez, Principal</td>
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<td>11150 Windsor Road</td>
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<tr>
<td>Fax 240-236-5001</td>
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</tbody>
</table>

Other
61. Career and Technology Center
Mr. Michael Conception, Principal
7922 Opsumtwn Pkwy
Frederick, MD 21702
Fax 240-236-8501

62. Carroll Creek
Montessori Public Charter School
Ms. Marilyn Horan, Principal
7215 Corporate Court
Frederick, MD 21703
Fax 240-566-6051

63. Frederick Classical Charter School
Dr. Camille S. Bell, Principal
6442 Spring Way, Suite CC
Frederick, MD 21701
Fax 240-236-1201

64. Frederick County Virtual School
[Includes Flexible Evening High School]
Dr. Stacey Adamick, Principal
c/o GTUMS
1799 Schifferstadt Boulevard
Room 116
Frederick, MD 21701
Fax 240-236-8451

65. Heather Ridge
240-236-8000
Ms. Elizabeth Stifler, Principal
1445 Tenley Avenue
Frederick, MD 21702
Fax 240-236-8001

66. Manorocoy Valley
Montessori Public Charter School
TBD, Principal
217 Dill Avenue
Frederick, MD 21701
Fax 240-236-6101

67. Rock Creek School
240-236-8700
Ms. Katie Buckley, Principal
191 Warley Drive
Frederick, MD 21702
Fax 240-236-8701

For other useful numbers, see next page
1. **BIDDER REGISTRATION**

   a. All Frederick County Public School (FCPS) suppliers and or contractors interested in bidding on FCPS projects must register on eMaryland Marketplace Advantage [https://emma.maryland.gov](https://emma.maryland.gov). FCPS will no longer accept bidder’s applications.

   b. Contractors are required to register with eMaryland Marketplace Advantage [https://emma.maryland.gov](https://emma.maryland.gov) within five days following notice of award. Maryland law requires local and state agencies to post award notices on eMaryland Marketplace Advantage. This cannot be done without the contractor’s self-registration in the system. Registration is free. Failure to comply with this requirement may be considered grounds for default. It is recommended that any interested bidder register with eMaryland Marketplace Advantage regardless of the award outcome for this procurement as it is a valuable resource for bid notification for municipalities through Maryland.

2. **PRE-BID MEETING**

   a. A Pre-Bid Meeting will be held at the date and time indicated on the cover page of this solicitation package.

   b. Attendance at the Pre-Bid Meeting is not mandatory; however, all suppliers are strongly encouraged to attend.

   c. The agenda for this Pre-Bid Meeting will include the following: introduction of staff; description of scope of work; timeline/scheduling; budget priorities/concerns; and procurement responsibilities.

   d. Questions shall be submitted, via email, to the person(s) indicated on the cover page of this solicitation package. Due to possible changes and/or additions to the specifications, bids should not be submitted prior to the Pre-Bid meeting.

   e. If FCPS offices are closed, or operating on a modified schedule, due to inclement weather on the day a Pre-Bid is scheduled, the Pre-Bid is cancelled and will not be rescheduled unless an addendum is issued. Bidders are advised that they are to email questions to the identified Contract Manager by the date and time required within this solicitation. For the fastest, most reliable information, regarding closures and/or delays check the following:

      - www.fcps.org
      - Social Media: FCPS on Twitter and FCPS on Facebook
      - Email/Text Messages: Sign up for FindOutFirst email and emergency-only text messages
      - FCPS TV: Comcast Channel 18 (Frederick area)
      - Local radio and TV stations

3. **PREPARATION OF BID**

   a. Should any bidder be in doubt as to the meaning of the specifications, or should they find any
discrepancy or omission, they shall notify the Contract Manager listed on the solicitation cover sheet. If required, bidders will be notified of clarifications and/or additional information by means of addendum.

b. Bidders, or their authorized representatives, are expected to fully inform themselves as to the conditions and requirements of the specifications before submitting bids. Failure to do so will be at the bidder's own risk.

c. Bidder must submit one original proposal, with original signatures, unless otherwise specified. Bids must be prepared on the proposal form(s) provided. FCPS proposal forms format shall not be altered.

d. Each bid will be sealed, show the full business address and contact information of the bidder and be signed by the person(s) legally authorized to sign contracts. All correspondence concerning the bid and contract, including notice of award, copy of contract, and purchase order, will be emailed, or mailed, to the address shown on the bid in the absence of written instructions from the bidder to the contrary.

e. The following items must be included in submission:

   i. Proposal pages completely and accurately filled out:
      • Verify all mathematical calculations.
      • Do NOT use white-out/correction tape.
      • Strike through errors, initial and make correction.
      • Initial corrections.

   ii. Signature Acknowledgement Form completed and signed.

   iii. Statutory Affidavit and Non-Collusion Certification form completed and signed.

   iv. Certificate of Compliance form completed and signed.

   v. Conflict of Interest Form completed and signed.

   vi. W-9 (This is the company information that will be entered in the FCPS supplier database).

   vii. Certificate of Insurance (if applicable).

f. Bidders shall be required under Article 56, Section 270(4), Annotated Code of Maryland, to provide proof of Certificate of Registry and must be licensed to do business in the State of Maryland and must provide a tax certification number. Visit the following website to ensure compliance: www.Egov.maryland.gov/BusinessExpress.

g. Bids by partnerships must be signed with the partnership name, followed by the signature and designation of the person having authority to sign. When requested, satisfactory evidence of authority of the person signing will be furnished. Anyone signing the bid as an agent shall file satisfactory evidence of their authority to do so, if requested.

h. Bids by corporations must be signed with the name of the corporation, which must match the information on the submitted W-9, followed by the signature and designation of the person having authority to sign. When requested, satisfactory evidence of authority of the person signing will be furnished. Anyone signing the bid as an agent shall file satisfactory evidence of their authority to do so, if requested.

i. Failure to sign the bid document will result in rejection of the bid as non-responsive.

j. FCPS will not be responsible for any costs incurred by a bidder in preparing and submitting a proposal in response to this solicitation.
k. If the bidder has made an error, the bidder may request, in writing, to have their bid withdrawn. Approval of a bidder's request is not automatic and may be given only by the Purchasing Manager. Requests for withdrawal are usually denied, unless the bidder proves to the satisfaction of the Purchasing Manager that the mistake was either a scrivener's error or another type of clearly unintentional error so departing from customary and reasonable business practices as to be obvious and to legimately and substantially impair the supplier’s business.

4. **BID PRICING**

a. Prices quoted shall not exceed the prices established under any governmental price control regulations.

b. All prices shall be firm for a period of 90 days from the date of bid opening unless otherwise stated in Section II – FCPS Specific Terms and Conditions. FCPS retains the right, with mutual consent of the bidder(s), to utilize the bid pricing and approved price changes for future purchases for as long as the bidder(s) mutually agrees to extend the prices.

c. FCPS will not accept any proposal with escalator clauses, minimum order requirements or irregular features unless specifically authorized in Section II – FCPS Specific Terms and Conditions.

d. If the contract includes equipment, all prices must be FOB-Destination (inside delivery), unless specifically authorized in Section II – FCPS Specific Terms and Conditions.

e. Charges for express delivery will only be allowed if authorized by FCPS in writing.

f. The bidder(s) are encouraged to bid only one product per line item that most nearly meets the specifications. If the bidder believes that there is more than one product available, a limit of two offers will be considered for each line item.

g. If two or more particular brands, models, or makes are listed in the specifications (under Base and Alternate Bids) and the bidder has not indicated in the bid which of the two or more brands, models, etc., is being bid, it shall be understood that FCPS may require the bidder to furnish whichever is preferred by FCPS.

h. All unit prices on items bid shall be completed on the provided proposal sheet(s). A “NO BID” or “N/A” notation should be completed for each item not being bid. Blank spaces in the proposal sheet will be considered as not being bid.

i. In case of an error in the extension of prices in the bid, the unit price shall govern.

j. Neither law nor regulations make allowance for errors either of omission or commission on the part of the bidders. In case of error in multiplication of unit price when arriving at total price per line item, the unit price shall govern. If there is a discrepancy between the price written in numbers and the price written in words, the words will govern.

k. Unit Prices must be rounded off to no more than two decimal places unless so specified in Section II – FCPS Specific Terms and Conditions.

l. FCPS reserves the right to consider discounts in evaluating a bid with line item pricing requirements. The bidder should calculate all discounts, other than prompt payment, as part of their
5. **TAXES**

   a. No charge will be allowed for federal excise, state, and/or municipal sales and use taxes, from which the Board of Education of Frederick County is exempt.

   b. A contractor is not eligible, per the Maryland Comptroller’s Office, to utilize the tax exemption certificate for governmental agencies.

6. **ADDENDUM**

   a. All changes to the bid solicitation will be made through appropriate addendum issued from the Purchasing Department.

   b. Addendum will be available on the FCPS Purchasing Department webpage. All suppliers who are known by the Purchasing Department to have downloaded the bid documents will receive an email notification.

   c. Addendum will be issued a minimum of four (4) days prior to the bid opening date, unless the addendum issued extends the due date. (verified with COMAR, which states addendums within a “reasonable” time)

   d. Each bidder shall ascertain, prior to submitting a bid that they have received all addendum issued and the bidder shall acknowledge receipt on the Signature Acknowledgement Form. Failure of any bidder to acknowledge the receipt of addendum will not relieve that bidder from any obligations under this solicitation as amended by addendum. All addendum so issued will become a part of the award and contract documents.

7. **RECEIPT OF BIDS**

   a. Bids received prior to the time of opening will be time stamped and securely kept unopened. No bid received thereafter will be considered. FCPS will not be responsible for the premature opening of bids received that are not properly addressed or identified. Any bid may be withdrawn before the scheduled time for opening bids, via written request approved by the Purchasing Manager.

   b. All inner and outer envelopes and packaging, used by Fed Ex, UPS and etc., are to be labeled with the following:

   - Bidder Name
   - Bid Number and Name
   - Due Date and Time

   c. Bids received after the designated date and/or time will not be accepted, regardless of when they were mailed or given to a delivery carrier. It is the responsibility of the supplier to ensure that submittals are delivered on time, to the proper location listed in the solicitation.

   d. Bids not received by the date, time, and location designated on the solicitation cover sheet, due to improper labeling, will be considered non-responsive.
e. In the event of inclement weather on the date when bids are scheduled to be opened and the FCPS offices are closed, or operating under a modified schedule, bids will be opened on the next business day at the same time as previously scheduled. Bids will be accepted until the scheduled time of opening on the next business day. Often when schools are closed due to inclement weather, administrative offices remain open. When in doubt, refer to Section 2(e) for closing and delays.

8. OPENING OF BIDS

a. Sealed bids will be publicly opened at the location, date, and time indicated on the solicitation cover sheet.

b. All bids received must include original signatures; no photo copies will be accepted. Unless specifically authorized, facsimile or emailed bids will not be considered. Modifications by facsimile, or email, of bids already submitted will be considered if received prior to the time set for opening. No bids will be accepted via telephone.

c. Complete evaluation of the proposals will not take place at the bid opening and no indication of award will be made. A final recommendation(s) shall be prepared for review and approval by the Board of Education of Frederick County.

d. The recommended award will be posted to the FCPS BoardDocs website a minimum of three days prior to the Board of Education meeting in which it will be presented.

e. Final award recommendation, and the bid tabulation, will be posted on the FCPS webpage, www.fcps.org/bidlist, after the Board of Education of Frederick County approval.

9. STANDARD OF QUALITY, "OR EQUAL CLAUSES," AND SUBSTITUTIONS

a. Any make/model specified in the solicitation is used only to establish a quality level, unless specifically noted in Section II – FCPS Specific Terms and Conditions. Any material or article that will perform adequately the duties imposed by the general design will be considered equal and satisfactory. FCPS retains the right to determine if items are equivalent and will be accepted.

b. It will be the responsibility of the bidder to submit a clear and concise proposal wherein each substitution and deviation is identified and described, in writing, at the time of solicitation submission.

c. In the absence of any statement to the contrary by the bidder, the submission will be interpreted as being the exact brand and/or qualities, etc., enumerated in the detailed specifications, whenever the specifications indicate a product of a particular manufacturer, model or brand.

d. Bidders must submit detailed literature if bidding an item other than the specified item. Detailed literature is defined as product features or specifications relating to construction and/or performance.

e. The detailed literature is to be arranged and labeled according to item number referenced on the solicitation document.

f. It is the bidders’ responsibility to submit required literature, or links to webpages, with the bid submission. Failure to submit such data as required and/or at the time designated by the Purchasing
Department shall be cause for rejection of that item.

g. No substitutions or deviations will be permitted following the award of the contract unless "cause and effect" is presented in writing and approved by the Contract Manager. A statement of any credit or extra cost involved will be included with the request.

h. FCPS shall not be responsible to provide personnel, testing facilities, or other resources necessary to search out substitutions and deviations in bid proposals which are unclear through the nebulous terms such as "comparable", or blanket statements of deviation such as "our standard design, construction, hardware, finishes, etc."

i. The bidder will, upon request and with no cost to the FCPS, furnish documents, independent laboratory tests reports, and/or similar materials of proof to substantiate that the substitutions and deviations of the items they propose to furnish do not prevent these items from being truly and factually equal to, or exceeding, that which is specified.

j. The cost of testing a representative sample of an order or shipment for acceptance and compliance with specifications shall be borne by FCPS. If the order or shipment is rejected for failure to meet the requirements of the specifications or purchase description, the cost of testing will be charged to the awarded suppliers

10. SAMPLES

a. Samples will be requested for testing and evaluation purposes. Failure to submit samples as required at the time designated may be cause for rejection of that item.

b. All samples must be delivered with all charges prepaid to the designated point of delivery. Samples must be marked as “SAMPLE” and include the name of the bidder, bid name and number, and return instructions, if applicable.

c. The right is reserved to retain any sample submitted with bids for the purposes of examination and testing. FCPS reserves the right to use all samples in any manner which may best serve the final determination of the successful bidder, even if said examination and testing results in damage to or destruction of the sample.

d. FCPS retains the right to determine the method of testing to be utilized.

e. Samples that are not retained by FCPS must be removed within two weeks upon notification. Return shipping must be prepaid by the suppliers. Samples not removed within this two-week period shall be retained, or disposed of, at the discretion FCPS, and without compensation to the bidder

11. GUARANTEES AND WARRANTIES

a. The awarded supplier(s) will guarantee the material and workmanship on all services, equipment, materials, supplies, and labor, furnished by them, for a minimum period of one year from the date of acceptance, unless a longer period of time is specified in Section II – FCPS Specific Terms and Conditions.

b. If, within the guarantee period, any defects or signs of deterioration are noted, the awarded supplier(s) at their expense, shall correct the condition or they shall replace the part or entire unit of
work/equipment to the complete satisfaction of FCPS. These repairs, replacements, or adjustments shall be made only at such times as will be designated by FCPS to minimize the disruption to building/school operations.

c. Should the awarded supplier(s) fail to comply with the terms of this guarantee, FCPS may have such work performed as it deems necessary to fulfill the guarantee, charging the cost to the awarded supplier(s).

12. AWARDS OR REJECTION OF BIDS

a. The basis of award shall be the lowest responsible bidder submitting a responsive bid that conforms to the specifications established in the solicitation with consideration given to the quantities involved, time required for delivery, purpose for which required, competency and responsibility of bidder, the ability of the bidder to perform satisfactory service, and the plan for utilization of minority contractors, if applicable.

b. FCPS reserves the right to determine completeness and/or timeliness of bids, to reject any or all bids in whole or in part, to make partial awards, to waive any informality in any quotation, to increase or decrease quantities if quantities are listed in the bid, to reject any bid that shows any omissions, alterations of form, additions not called for, conditions, or alternate proposals, and to make any such award as is deemed to be in its best interest.

c. Bidders may be required, before the awarding of a contract, to show to the complete satisfaction of FCPS, that they have the necessary facilities, ability and financial resources to execute the contract in a satisfactory manner, and within the time specified. Bidders may be required to demonstrate they have the necessary experience, history and references to assure FCPS of their qualifications.

d. The Board of Education of Frederick County reserves the right to award the bid within 90 days from the date of the bid opening unless a different time period is stated in the bid document.

e. Unless stated otherwise in Section II – FCPS Specific Terms and Conditions, the contract may be awarded by line item, group, or in the aggregate, whichever is in the best interest of FCPS.

f. In the event of a tie, where all other factors, such as past performance, are considered comparable, the award shall be made to the Frederick County based bidder; the closest Maryland out-of-county based bidder; and the closest out-of-state based bidder, in that order of preference.

g. FCPS does not have local, state or federal preference requirements except when mandated by a targeted funding source.

h. If, after competitive sealed bids have been opened, the Purchasing Manager determines that only one responsible bidder has submitted a responsive bid, the procurement contract may be negotiated with that one bidder as sole source procurement.

i. A recommendation for the award of a contract will be presented to the Board of Education of Frederick County for approval. Upon approval of the award of contract, the bidder(s) shall be notified of their award(s). If applicable, an FCPS contract document shall also be issued.

j. The Board of Education of Frederick County reserves the right to reject the bid of a bidder who has, in the opinion of FCPS, failed to properly perform under previous contracts, or, who investigation shows, is not in a position to perform the contract.
k. The Board of Education of Frederick County retains the right to reject any and all bids, if it is deemed in the best interest of FCPS to do so.

l. If, during the life of the contract, a product or service does not meet the solicitation terms and conditions, FCPS retains the right to cancel the awarded item(s) and award to a new bidder, as long as that bidder mutually agrees to the award.

13. CONTRACT FORMATION

a. Notification of the contract award will be made by letter after approval by the Board of Education of Frederick County.

b. The primary form of contract is the purchase order(s), and any agreed upon schedules, addendum, shop drawings, and documents associated with the bid solicitation/submission/award.

c. A secondary form of contract, if required, may be noted in Section II – FCPS Specific Terms and Conditions, of this bid solicitation.

d. No amendment, modification or change to the contract shall be effective unless such change is in writing and mutually agreed upon by authorized representatives of FCPS and the awarded supplier(s). Changes may not significantly alter the original scope of the agreement.

14. PROTESTS

a. The Purchasing Manager shall attempt to resolve, informally, all protests of bid award recommendations. Bidders are encouraged to present their concerns promptly to the Contract Manager for consideration.

   i. The bidder must submit their concern, in writing, addressed to the Purchasing Manager. It should include the following:

   • Name, address, contact information of the protestor;
   • Statement of reasons for the protest;
   • Supporting documentation to substantiate the claim;
   • The remedy sought.

   ii. The protest must be received by the Purchasing Manager at least two calendar days prior to the date of the Board of Education meeting at which the recommendation will be presented. It is the supplier's responsibility to ascertain the date and time of award.

   iii. A bidder who does not file a timely protest before the contract is awarded by the Board of Education of Frederick County is deemed to have waived any objection.

b. The Purchasing Manager shall inform the Chief Financial Officer and/or general counsel upon receipt of the protest, and shall confer with them prior to the issuance of a decision regarding disputes of contracts or awards valued at $50,000 or above.

c. The Purchasing Manager shall issue a decision in writing.

d. Should the protestor disapprove of the Purchasing Manager’s decision, they have the right to address the Board of Education of Frederick County during the public comment section of the same Board meeting where the award recommendation is scheduled for award.
e. The Board of Education of Frederick County’s decision is deemed the final action at the local level.

f. A bidder may appeal a decision of the Board directly to the Maryland State Board of Education in accordance with Board Policy 105.11 and Maryland law.

15. CONTRACT DISPUTES

a. Any dispute arising under this contract shall be decided by the Contract Administrator, the Contract Manager and the Purchasing Manager, who will communicate their decision to writing and furnish a copy to the supplier. This decision shall be final and conclusive unless, within 30 days, the supplier furnishes a written appeal addressed to the Board of Education of Frederick County. The local Board of Education has the right to hear appeals as provided by Maryland law.

b. The Board of Education of Frederick County, or its duly authorized representative, will review the appeal for the determination of such appeal and their finding shall be final and conclusive. In connection with any appeal preceding under this clause, the supplier will be afforded an opportunity to be heard and to offer evidence in support of his appeal. Pending final decision of a dispute, the supplier shall proceed diligently with the performance of the contract and in strict accordance with the FCPS staff’s decision. Exceptions are decisions determined by a court of competent jurisdiction to have been fraudulent, capricious, arbitrary, or so grossly erroneous as to imply bad faith, or not supported by evidence.

c. This clause does not preclude consideration of laws questioned in connection with the decision provided for above.

16. CONTRACT ASSIGNMENT

a. The awarded supplier(s) will not assign or transfer any portion of their interest or obligation under this Agreement to any third party, without the prior written consent of the Contract Manager. Nothing herein shall be construed to create any personal or individual liability upon any employee, officer, elected official of the Board of Education of Frederick County, nor shall this Agreement be construed to create any rights hereunder in any person or entity other than the parties to this Agreement.

b. The awarded supplier(s) will, when required, submit to the Contract Manager, in writing, the name of each subcontractor they intend to employ, the portion of the material to be furnished, their place of business, and any such information as may be required in order to know whether such subcontractor is reputable and reliable and able to furnish satisfactorily the material as called for in the specifications.

c. FCPS reserves the right to approve or disapprove all subcontractors to be employed on a project. FCPS further reserves the right to approve or disapprove a change of subcontractor once an initial subcontractor has been approved. Any increased cost associated with the change of a subcontractor shall be the full obligation and responsibility of the awarded supplier(s).

d. The awarded supplier(s) will not legally, or equitably, assign any of the funds payable under the contract, or its claim thereto, unless by, and with, the consent of the Contract Manager.
e. The awarded supplier(s) will have the same provisions inserted in all subcontracts relative to the terms of the general conditions and other contract documents. Nothing contained in this contract shall create any contractual relations between any subcontractor and FCPS.

17. **MULTI-YEAR CONTRACT**

a. Contracts that require funding appropriation for more than one fiscal year automatically terminate if money sufficient for the continued performance is not appropriated for any fiscal year. The date of termination is the last day of the fiscal year for which money was last appropriated, or the date provided in the termination clause of the procurement contract, whichever is earlier.

b. If the multi-year contract is terminated due to lack of funding, FCPS shall reimburse the supplier for the reasonable value of any nonrecurring costs that were incurred as a result of the multi-year contract, but not amortized in the price of the supplies or services delivered under the multi-year contract. The reasonable value will be negotiated, and mutually agreed upon, by FCPS and the supplier.

c. The cost of termination may be paid from any appropriation available for that purpose.

18. **HOLD HARMLESS**

It is understood that the awarded supplier shall defend and hold harmless the Board of Education of Frederick County, and its representatives, from all suits, actions, or claims of any kind brought about as a result of any injuries or damages sustained by person(s) or property during the performance of this contract.

19. **TERMINATION FOR DEFAULT**

a. When an awarded supplier has not performed or has unsatisfactorily performed the contract, payment shall be withheld at the discretion of FCPS. FCPS may, by written notice of default to the supplier terminate the whole or any part of the contract in any of the following circumstances:

   i. If the supplier fails to perform the services or provide the products within the time and manner specific herein or any extension thereof, or:

   ii. If the supplier fails to perform any of the provisions of this contract, or fails to make progress as to endanger performance of this contract, in accordance with its terms and in either of these two circumstances does not cure such failure within a period of ten calendar days (or longer as authorized by the Purchasing Manager) after receipt of written notice from the Purchasing Manager of such failure, or:

   iii. If the supplier willfully attempts to perform the services other than specified as to coverage, limits, protections, and quality or otherwise, without specified authorization in the form of contract amendment, or:

   iv. If a determination is made by FCPS that the obtaining of the contract was influenced by an employee FCPS having received a gratuity, or a promise therefore, in any way or form.

b. In the event FCPS terminates the contract in whole or in part, FCPS may procure such products and services, in a manner the Purchasing Manager deems appropriate, and the supplier shall be liable to FCPS for any additional cost(s) incurred.

c. If, after notice of termination of this contract under provisions of this clause, it is determined for any
reason that the supplier was not in default under the provisions of this clause, or that the default was
excusable under the provisions of this clause, the rights and obligations of the parties shall be the
same as if the notice of termination had been issued pursuant to a termination for convenience.

20. TERMINATION FOR CONVENIENCE

The contract may be terminated by FCPS in accordance with this clause in whole, or in part, whenever
FCPS determines that such a termination is in the best interest of FCPS. Written notice shall be given a
minimum of 30 days in advance. FCPS will pay for all services, in accordance with contract pricing, up
to the date of the termination. However, the awarded supplier(s) shall not be reimbursed for any
anticipatory profits that have not been earned up to the date of termination. Under this contract, the
awarded supplier does not have a right to unilateral termination for convenience.

21. GOVERNING LAW AND VENUE

a. The supplier will comply with all Federal, State, and local laws, ordinances and regulations
pertaining to work under their charge. If the supplier performs any work which it knows or should
know to be contrary to such laws, ordinance, and regulations and without such notices to FCPS they
shall bear all costs arising therefrom.

b. All suppliers and subcontractors must abide by the Board of Education of Frederick County policies
and FCPS regulations while working on school property.

c. The supplier certifies that their firm adheres to or follows non-discriminatory practices with respect
to the employment and promotion of personnel without regard to color, creed, race, sex, or national
origin.

d. The solicitation shall be construed in accordance with, and interpreted under, the laws of the State
of Maryland. Any lawsuits shall be filed in the appropriate State Court located in Frederick County,
Maryland.

22. MULTI-Agency PARTICIPATION

a. FCPS reserves the right to extend the terms and conditions of this solicitation to any and all other
agencies within the state of Maryland, as well as, any other federal, state, municipal, county, or
local governmental agency under the jurisdiction of the United States and its territories. This is
conditioned upon mutual agreement of all parties pursuant to special requirements, which may be
 appended thereto. The awarded supplier(s) agrees to notify the issuing body of those entities that
 wish to use any contract resulting from this bid and will also provide usage information, which may
be requested.

b. By agreeing to extend the contract to other agencies, the supplier(s) reaffirms and warrants his
original commitment to FCPS so that afterwards all items and services shall continue to conform to
the requirements and conditions of the original agreement for its duration. Agencies who utilize the
contract agree to notify FCPS Purchasing Department of any significant experiences, problems or
issues which may, or may have the potential to, affect our administration of this contract.

c. FCPS assumes no obligation on behalf of any other agency and shall be held harmless if either party
is damaged due to the agency or supplier’s failure to become informed of, or comply with, any
 provision or pricing under this agreement. All purchase orders and billing will be transacted
between the supplier and the public agency.
d. Each participating jurisdiction or agency shall enter into its own contract with the awarded supplier(s) and this contract shall be binding only upon the principals signing such an agreement. Invoices shall be submitted in duplicate “directly” to the ordering jurisdiction for each unit purchased. Disputes over the execution of any contract shall be the responsibility of the participating jurisdiction or agency that entered into that contract. Disputes must be resolved solely between the participating agency and the awarded supplier(s). The Lead Agency does not assume any responsibility other than to obtain pricing for the specifications provided.

23. **PACKAGING AND DELIVERY REQUIREMENTS**

a. All materials must be securely packed in accordance with accepted trade practices.

b. A packing list will be included in each shipment. This list shall contain the following information: Purchase Order Number, Supplier Name, Item Description, Item Number, Quantity and Delivery Location. Failure to comply with this condition may be considered sufficient reason to refuse to accept the goods.

c. All materials, supplies and equipment for FCPS shall be delivered F.O.B Destination. Unless otherwise noted in Section II – FCPS Specific Terms and Conditions, all items shall be delivered inside the office, school, or warehouse.

d. Special delivery and handling instructions will be defined in Section II – FCPS Specific Terms and Conditions, of each bid.

e. All school deliveries shall be made during the hours of 9:00 A.M. and 2:00 P.M. local time and only on regular school days, see School Calendar Closings enclosed, except where modified in Section II – FCPS Specific Terms and Conditions.

f. All warehouse deliveries shall be made during the hours of 9:00 A.M. to 2:30 P.M. on all regular scheduled school days, see School Calendar Closings enclosed, except where modified in Section II – FCPS Specific Terms and Conditions.

g. Bulk materials, delivered to the Warehouse, are to be delivered on skids, or pallets, to the Warehouse receiving platform.

h. No help for unloading will be provided. Suppliers shall notify their delivery personnel accordingly.

i. The awarded supplier(s) shall be held responsible for and shall be required to make good at their expense, any and all damage done or caused by their employees in the execution of the contract as determined by FCPS.

j. The supplier will be required to furnish proof of signed delivery in every instance. Delivery receipts indicating only the number and weight of cartons received will not constitute “proof” of delivery in the event of a dispute. FCPS will not accept responsibility for deliveries that have not been signed for by an FCPS employee.

24. **BILLING AND PAYMENT**

a. Invoices shall be submitted to: accounts.payable@FCPS.org or in duplicate to:

FREDERICK COUNTY PUBLIC SCHOOLS
b. Invoices and packing slips must contain the following information:
   i. Bid Number
   ii. Purchase Order Number
   iii. Item Number (if applicable)
   iv. Quantity (if applicable)
   v. Brief Description of Item or Work Performed including the dates worked
   vi. Unit Price Bid/Partial Payment Amount
   vii. Extended Total for Each Item
   viii. Grand Total
   ix. Public School Construction Number (PSC) (if applicable)

c. Payments will be made by FCPS check, single use credit account or credit card. Credit card statements with level three data are preferred. Bidders are prohibited from charging additional costs or fees from their bid price to process such orders.

d. Invoices to be submitted once commodities have been received and/or services have been rendered.

25. COMPLIANCE WITH SPECIFICATIONS

   a. The awarded supplier(s) will abide by, and comply with, the true intent of the specifications and not take advantage of any unintentional error or omission, but will fully complete every part as to the true intent and meaning of the specifications and drawings.

   b. Whenever mention is made of any article, material, or workmanship to be in accordance with laws, ordinances, building codes, underwriter's code, A.S.M.E. regulations, or similar expressions, the requirements of these laws, ordinances, etc., shall be construed as to the minimum requirements of these specifications.

   c. Where the requirements of the specifications call for a higher grade and are not in conflict with the laws, ordinances, etc., the specifications shall govern.

   d. In the case of any apparent conflict between the specifications and such laws, ordinances, etc., the awarded supplier(s) will contact the Contract Administrator and the Contract Manager for a decision before proceeding with any work.

26. LIQUIDATED DAMAGES

   a. A date for delivery and/or installation/assembly shall be stated in the specifications. Requests for extension of completion time due to strikes, lack of materials, or any other causes over which the awarded supplier(s) has no control must be submitted, in writing, with supporting documentation, to the Contract Manager. Requests must occur immediately upon occurrence of conditions for a time extension to be granted. Extensions are not guaranteed.

   b. If the awarded supplier(s) fails to provide the services, equipment, or other items required within the prescribed time limits, the Contract Manager may elect to obtain services, equipment, or other items necessary from an alternate source.

   c. The awarded supplier(s) will pay any additional cost(s) incurred by FCPS for obtaining replacement services, equipment, and other necessary items.
d. FCPS shall have the unilateral right of alternate source selection to perform the work when the awarded supplier(s) does not perform the required work.

e. In addition to, or in lieu of, paying for any incurred replacement costs(s), the awarded supplier(s) may pay liquidated damages, in the amount of $150 per day, for any delay or failure in performance, as well as any related damages sustained by FCPS.

f. The assessment of liquidated damages by FCPS against the awarded supplier(s) does not supersede or affect the right of FCPS to impose other remedies that may be available.

27. SAFETY REQUIREMENTS

a. When applicable, all machinery/equipment must meet OSHA-MOSHA requirements as to the safety of the operation of the equipment. All required safety devices shall be included in the price(s) bid.

b. When applicable, kitchen equipment and supplies must meet Maryland State Health Department, National Sanitation Foundation (NSF) and Frederick County Health Department requirements.

c. All construction activities must be conducted in strict compliance with OSHA/MOSHA requirements.

d. Equipment offered which fails to comply with any applicable section of the National Electrical Code, or is not U.L. Listed (where U.L. Listings have been established for that type of device) shall be rejected.

e. The awarded supplier(s) shall submit Safety Data Sheets (SDS) for all items awarded to that supplier provided under the terms of this proposal, if applicable.

f. The awarded supplier(s) and subcontractor(s) are required to comply with all provisions of the Access to Information about Hazardous and Toxic Substances Act, a part of the Maryland Occupational Safety and Health Law.

g. The awarded supplier(s) is responsible to report to FCPS any asbestos material or suspected material found or uncovered that is not part of the scope of the project. In addition, they may not introduce new asbestos or asbestos bearing materials into the site.

h. It is the responsibility of the awarded supplier(s) to comply with all Municipal, State, and Federal EPA regulations and laws when handling or disposing of asbestos materials.

i. If the awarded supplier(s) intentionally endangers or jeopardizes the health of any building/school occupant(s) through mishandling of hazardous material, the supplier(s) will be held liable for such action.

28. PATENTS

The supplier will defend all suites or claims for infringement of any patent rights and will save the Board of Education of Frederick County harmless from loss.

29. TECHNOLOGY-BASED INSTRUCTIONAL PRODUCTS

All FCPS technology based instructional products (instructional software, online resources, and
computer based equipment) must be consistent with the federal Rehabilitation Act, Maryland Subpart B Technical Standards, Section 508, and the most recent revision of WCAG Standards at level AA, for accessibility by students and staff, with disabilities unless doing so would fundamentally alter the nature of the instructional activity or result in undue financial and administrative burdens. Requests for bids, proposals, procurement contracts, and grants will follow established procedures for evaluating compliance to accessibility standards in all purchase decisions.

30. **EMPLOYMENT OF CHILD SEX OFFENDERS AND PERSONS WITH UNCONTROLLED ACCESS TO STUDENTS**

a. Be advised that individuals who are registered sex offenders are not eligible to work on any FCPS’ project. The awarded supplier(s) must initially check the Maryland Department of Public Safety & Correctional Services’ Maryland Sex Offender Registry and search for the name of any employee to be assigned to work on this project. This applies to subcontractors and material/equipment suppliers as well. For projects lasting more than a few months, the supplier will periodically re-check the names of workers against the registry to ensure ongoing compliance. In the event that a registered sex offender is discovered to be working on a FCPS project, whether through employment by the supplier, subcontractor or equipment or material supplier, FCPS will notify the site superintendent to immediately remove the individual from the premises and permanently terminate his work assignment. FCPS may terminate this contract at no additional costs, as a result if the supplier is unable to demonstrate they have exercised care and diligence in the past in checking the Maryland registry.

b. Contracted service providers who have regular, direct and unsupervised access to children cannot begin service without undergoing the same process as new employees per FCPS Regulation 300-33. If required, an awarded supplier(s) is responsible for payment of the full cost of the criminal background check. Additional information regarding this requirement will be found in Section II – FCPS Specific Terms and Conditions.

c. The awarded supplier(s), or subcontractor(s), may not knowingly assign an employee to work on FCPS school premises with direct, unsupervised, and uncontrolled access to children, if the employee has been convicted of a crime identified as a crime of violence.

d. The awarded supplier(s) will not assign employees who has been convicted of an offense under § 3-307 or § 3-308 of the Criminal Law Article or an offense under the laws of another state that would constitute a violation of § 3-307 or § 3-308 of the Criminal Law Article if committed in the state.

e. An awarded supplier will not assign employee who has been convicted of a crime of violence as defined in § 14-101 of the Criminal Law Article, or an offense under the laws of another state that would be a violation of § 14-101 of the Criminal Law Article if committed in this state.

f. With the passing of Maryland Law MD. Code, Educ. 6-113.2, employers of all contracted staff must obtain background information relating to child sexual abuse or sexual misconduct. This means that all contracted staff having direct contact with students must meet all of the FCPS and Maryland State Department of Education (MSDE) requirements before doing business with FCPS. For additional information, visit:

- Maryland State Department of Education Website;
- House Bill 486 Child Sexual Abuse and Sexual Misconduct Prevention;
- MSDE Guidelines For MD. Code, Educ. 6113.2;
- Employment History Review Form for Child Abuse and Sexual Misconduct

Effective immediately, we will not fingerprint staff provided to FCPS by contractors or staffing...
agencies. Based on recent procedural review and guidance received from the state of Maryland, it is confirmed that the fingerprint records from the state’s Criminal Justice Information System (CJIS) are to be processed and kept by employers only. This means that the contractors providing staff to FCPS are responsible to perform the CJIS fingerprint check since they are the employers of staff being provided to FCPS under various agreements. The fingerprint check required by FCPS and all Maryland school districts is the Adam Walsh Act background transaction (commonly referred to as the Child Care background check).

31. **DRUG, ALCOHOL, AND TOBACCO-FREE WORKPLACE**

a. All awarded suppliers and subcontractors must abide by Board Policy 112 while working on any FCPS property at all times.

b. The Board of Education of Frederick County endorses the provisions of Public Law 100-690, Title V, Subtitle D (Drug-Free Workplace Act of 1988) and Public Law 101-226 (The Drug-Free Schools and Communities Act of 1989) and regulations promulgated there under and establishes a drug-free and alcohol-free workplace and school system.

c. Maryland State Law (COMAR 13A.02.04) provides that each local school system is required to maintain a tobacco-free school environment.

32. **WEAPON POSSESSION ON SCHOOL PROPERTY**

a. The criminal code of Maryland makes it illegal to possess a weapon on school property.

b. No person shall carry or possess any rifle, gun, knife, or deadly weapon of any kind on FCPS property.

c. Any awarded supplier(s) whose employees violate this clause may be subject to the termination of the contact for cause.

33. **FOREIGN LANGUAGE TRANSLATOR REQUIREMENT**

a. An awarded supplier(s) that assigns employees to an FCPS project that do not speak English must have an on-site, full time interpreter.

b. Failure of an awarded supplier(s) to have an on-site, full time interpreter that is fluent in speaking and understanding an employee’s native language for those employees that do not speak English is reason for immediate termination of the contract for cause.

34. **ILLEGAL IMMIGRANT LABOR**

The use of illegal immigrant labor to fulfill contracts solicited by FCPS is in violation of the law and is strictly prohibited. Awarded supplier(s) and subcontractors must verify employment eligibility of workers in order to assure that they are not violating federal/state/local laws regarding illegal immigration. A compliance audit may be conducted.

35. **STUDENT/STAFF CONFIDENTIALITY**

Under no circumstances may any supplier /contractor/provider/consultant release, disclose, sell or otherwise use names, addresses, or any other information related to students, or staff, of FCPS and may
only use this information for purposes required under any contract/agreement or memorandum of understanding.

36. **PUBLIC INFORMATION ACT NOTICE**

   a. Bidders should identify those portions of their solicitation, which they deem to be confidential, or to contain proprietary commercial information or trade secrets. Bidders should provide justification why such material, upon request, should not be disclosed by FCPS under the Public Information Act, Title 4, General Provisions Article, Annotated Code of Maryland.

   b. Unless portions of a solicitation are identified as confidential, all records are considered public. A person or governmental unit that wishes to inspect a public record, or receive copies of a public record, shall submit a written or electronic request and direct it to the Office of Legal Services per FCPS Regulation 200-42.

37. **FORCE MAJEURE**

   Force Majeure is defined as an occurrence beyond the control of the affected party and not avoidable by reason of diligence. It includes the acts of nature, war, riots, strikes, fire, floods, epidemics, terrorism, or other similar occurrences. If either party is delayed by Force Majeure, said party shall provide written notification to the other within 48 hours. Delays shall cease as soon as practicable and written notification of same provided. The time of contract completion may be extended by contract modification, for a period of time equal to that delay caused under this condition. FCPS may also consider requests for price increase for raw materials that are directly attributable to the cause of delay. FCPS reserves the right to cancel the contract and/or purchase materials, equipment or services from the best available source during the time of Force Majeure, and the supplier shall have no recourse against FCPS. Further, except for payment of sums due, neither party shall be liable to the other or deemed in default under this contract, if and to the extent that such party’s performance of this contract is prevented by reason of Force Majeure as defined herein.

38. **ETHICS POLICY**

   a. The Board of Education of Frederick County has an Ethics Policy, which covers conflict of interest, financial disclosure and lobbying. All bidders are expected to comply with any and all Ethics Policies that may apply to them individually or as a business entity.

   b. All bidders should carefully review Board Policy 109, Ethics, which prohibits FCPS employees from benefiting from business with the school system.

39. **NON-COLLUSION**

   a. Bidder represents and certifies that prices for these services have been arrived at independently without consultation, communication, or agreement for the purpose of restricting competition or any matter related to such prices with any competitor or other bidder. Prices quoted in this bid have not been knowingly disclosed directly or indirectly to any competitor or other supplier prior to the opening of this bid.

   b. Bidder represents and certifies that it has not employed or retained any other company or person (other than a full-time bona fide employee working exclusively for the bidder) with the primary intent to solicit or secure the contract.
40. **CONFLICT OF INTEREST**

All suppliers interested in conducting business with Frederick County Public Schools (FCPS) must complete and return the Suppliers Conflict of Interest Disclosure Form included in the solicitation packet, in order to be eligible to be awarded a contract with FCPS.
1. **SCOPE**

   It is the intent of FCPS to obtain the services of a qualified vendor to perform an upgrade to the current building access system in multiple locations around the school system. The awarded vendor will install new controller boards at 55 school and office locations, and install new card readers at 59 school and office locations. The qualified vendor will also assist FCPS with providing compatible badges, and related materials, for the upgraded system.

2. **DATE OF COMMENCEMENT AND COMPLETION**

   It is anticipated that installation will commence on or around July 1, 2020. Completion of the project shall be on, or around, January 31, 2021.

**PRICING**

a. All prices shall remain firm through the initial contract period.

b. FCPS expects all vendors to provide year over year cost reductions recommendations.

c. Price decreases are acceptable at any time, need not be verifiable, and are required should the contractor/producer/processor/manufacturer experience a decrease in costs associated with the execution of the contract.

d. Price adjustments from the contractor/producer/processor/manufacturer for any/all items may be considered at renewal. The request is subject to approval by the Contracting Officer. The request must be submitted in writing at least 60 days prior to the renewal term and shall be accompanied by supporting documentation.

e. Should the awarded vendor, at any time during the life of the contract, sell materials of similar quality to another customer, or advertise special discounts or sales, at a price below those quoted within the contract, the lowest discounted prices shall be offered to FCPS.

3. **BIDS FOR ALL OR PART**

   A bidder may restrict their bid to be considered in the aggregate by so stating, but must submit a unit price on each item bid. Failure to provide unit prices may result in the bid being considered non-responsive.

4. **AMERICAN STEEL ACT**

   The vendor will comply with the provisions of Sections 17-301 through 17-306 of the State Finance and Procurement Article of the Annotated Code of Maryland, as amended entitled "Steel Procurement for Public Works." The vendor’s affidavit of compliance with these provisions may be required before payment can be made.

5. **AUTHORIZED DEALERS**

   Only manufacturers, or their authorized dealers, may bid on equipment requested herein. At the discretion of the Board of Education of Frederick County a certificate, executed by the manufacturer, may be requested stating that the bidder is an authorized agent of the manufacturer and is duly authorized to service and maintain the equipment.
6. PERFORMANCE AND PAYMENT BONDS

   a. If applicable, the awarded vendor(s) may be required to submit either one or both of the following two bonds to the Purchasing Department ten (10) calendar days after receipt of the Notice of Award and in accordance with the terms stated below:

      i. **Performance Bond** in the amount of 100% of the contract value covering faithful performance of the contract; and

      ii. **Payment Bond** in the amount of 100% of the contract value as security for the payment of all persons performing labor and furnishing materials in connection therewith.

   b. The cost of the performance bond and payment bonds will be borne by the bidder(s) in all instances.

   c. Certified checks in the amounts stated above will be accepted in lieu of the performance bond and payment bond only upon prior approval of the Purchasing Manager. If checks are approved for acceptance in lieu of either bond, they should be in the same amount as these bonds; be separate checks; and should clearly designate the purpose - i.e., performance or payment.

   d. Certified checks, if submitted, will be deposited in an FCPS bank account(s). Upon successful completion of the contract, check(s) will be drawn upon the FCPS bank account(s) for the full amounts of both certified checks.

   e. Bonds must be approved by surety companies which are named in the most current Circular 570 "Surety Companies Acceptable on Federal Bonds" as issued by the U.S. Treasury, Bureau of Government Finance Operations, Division of Banking and Cash Management, Washington, D.C. 20111. Maryland Code 21-102 – A certificate of authority, or certified copy of a certificate of authority, issued by the Commissioner to a surety insurer shall be accepted as evidence of qualification to become sole surety on a bond, undertaking, recognizance, or other obligation required or allowed by law, or in the charter, ordinances, rules, or regulations of a municipal corporation, board, organization, court, judge, or public officer, without further proof or qualification regarding solvency, credit, or financial sufficiency to act as a surety or bidders must use bonding companies rated by A.M. Best Company of at least A-/VIII.

   f. If a bonding company is used that is not on the most current Circular 570, the vendor will be contacted to obtain a bond from an approved surety company and re-submit it to the Purchasing Department within ten (10) calendar days after notification.

   g. Upon receipt and approval of the performance bond and payment bond, or the certified check(s), an official purchase order will be issued.

   h. If bonds are required, and the awarded vendor fails to perform according to the terms of the contract, the bonding company will be notified in writing with a copy sent to the vendor.

7. PREPARATION OF PROPOSAL

   a. Due to possible changes and/or additions to the solicitation package, FCPS requests that bidders delay submission of their bid package until after the date of the pre-bid meeting or the date that questions are due to allow time for the possible issuance of an addendum. All changes will be processed through appropriate addenda.

   b. **Cost Proposal:**

      i. Submit one Cost Proposal via ProcureNow.
ii. The Proposal will include the following form(s) completed:

- Form of Proposal - Pricing
- Signature Page
- Statutory Affidavit and Non-Collusion Certification
- MBE Attachment A
- MBE Attachment B
- Certification of Compliance
- Conflict of Interest Disclosure Form
- Proposed Installation Schedule
- Verification of Value-Added Resource (VAR) status

iii. No separate costs for travel, mileage, overhead or miscellaneous are acceptable. All costs are to be included.

8. EVALUATION CRITERIA AND AWARD

a. A committee of FCPS staff will review and evaluate each proposal.

b. The basis of award will include: compliance to scope of work, adherence to the specifications, price, and availability of vendors to perform the contract in the given contract timeframe.

9. VENDOR PERFORMANCE EVALUATION

a. The Contract Manager and Administrator shall confer periodically to discuss the status of the contract. Issues of noncompliance may arise throughout the contract term and shall be brought to the attention of the Contract Manager as they occur.

b. The Contract Manager or Administrator may request multiple metrics, from the vendor, to evaluate contract performance. Metrics may include, but are not limited to:

   i. Delivery
   ii. Response time
   iii. Backorders
   iv. Quality of deliverables
   v. Invoicing
   vi. Sales data (Contract data, non-contract data)
   vii. Financial

c. Where technical, construction or performance specifications have been identified in the bidding document, the contract administrator shall utilize these specifications as the basis of determining contract compliance.

d. If noncompliance occurs, it shall be documented in a timely manner, including actions taken and final resolution. Copies of the correspondence will be maintained in the Purchasing Department bid documents.

e. Issues of noncompliance will be handled on a case by case basis. This may include, but is not limited to, written correspondence, face-to-face meetings, and/or an agreed upon performance management plan. FCPS retains the right to terminate the contract, in whole or in part, if the noncompliance issue is not resolved to the satisfaction of FCPS.
10. **MBE REQUIREMENTS**

Certified Minority Business Enterprises are encouraged to respond to this solicitation notice.

11. **VENDOR CERTIFICATIONS**

a. Vendor shall be a certified Value-Added Reseller (VAR).

b. Vendor shall provide Lenel certified technicians to perform the work outlined in this solicitation. FCPS may ask for a copy of the certification from the provided installation technician (LCP or LCE is acceptable).

12. **CONTRACTOR'S AND SUBCONTRACTOR'S INSURANCE**

FCPS requires insurance certificates evidencing the compliance of insurance requirements at least ten calendar days after receipt of the Notice of Award. The vendor will not commence work until a notice to proceed letter, or purchase order, is issued, nor will the vendor allow any subcontractor to commence work on their subcontract until the insurance required of the subcontractor has been obtained and approved.

a. **Worker's Compensation**

   The vendor will procure and maintain, during the life of the contract, Worker's Compensation Insurance, as required by applicable State laws. In the case of sublet work, the vendor will require the subcontractor similarly to provide Worker's Compensation Insurance for all of the latter's employees to be engaged in such work unless such employees are covered by the protection afforded by the vendor’s Worker's Compensation Insurance.

b. **Employers' Liability Insurance**

   The vendor will procure and maintain, during the life of the contract, Employers' Liability Insurance in the following amounts:

   - E.L. Each Accident $100,000.00
   - E.L. Disease - Each Employee $100,000.00
   - E.L. Disease - Policy Limit $500,000.00 each employee

   The vendor will require any subcontractor to procure and maintain Employer's Liability Insurance during the life of the contract. It will be the responsibility of the vendor to ensure that all subcontractors comply with this provision, and the vendor will indemnify, and hold harmless, the Board of Education of Frederick County for the failure of the vendor, or any subcontractor, to comply with these provisions.

c. **Commercial General Liability Insurance**

   The vendor will procure and maintain, during the life of the contract, Commercial General Liability Insurance including premises and operations, completed operations and products, on a per occurrence basis, with at least the following limits:

   - General Aggregate $2,000,000 per project
   - Products-Completed Operations Aggregate $2,000,000
   - Personal & Advertising Injury $1,000,000 each occurrence
   - Each Occurrence $1,000,000
   - Fire Damage $50,000
   - Medical Expense $5,000 any one person

   The “X, C, U” Coverage for explosion, collapse, and underground property damage shall not be excluded from the policy.
Completed operations liability coverage shall be in force for one year after completion of work.

d. **Scope of Insurance and Special Hazards**
The insurance required in C. and E. will provide adequate protection for the vendor and subcontractors, respectively, against damage claims which may arise from operations under the contract, whether such operations be by the insured or by anyone directly or indirectly employed by them and, also against any of the special hazards which may be encountered in the performance of this contract as enumerated in C. above. Insurance coverage required under C. above shall specifically include property damage caused by conditions otherwise subject to exclusions "X, C, U" (Explosion, Collapse or Underground Damage) as defined by the National Bureau of Casualty Underwriters. **Exceptions:** contracts that do not require excavation or underground work are not required to have the above "X, C, U" coverage.

e. **Comprehensive Automobile Liability**
The vendor shall maintain Comprehensive Automobile Liability Insurance including all automotive equipment owned, non-owned and hired, operated, rented, or leased. Minimum limits of Automobile Liability Insurance shall be:

- **Bodily Injury** $1,000,000 per person/$1,000,000 accident
- **Property Damage** $1,000,000 each occurrence, or
- **Combined Single Limit Bodily Injury and Property Damage Liability** $1,000,000

f. **Subcontractor's Insurance**
The vendor will either:

i. Require each of their subcontractors to procure and maintain, during the life of the subcontracts, Liability Insurance of the type and in the same amounts as specified above; or

ii. Insure the activities of the subcontractors in their own policies. It will be the responsibility of the vendor to insure that all subcontractors comply with this provision, and the vendor will indemnify and hold harmless the Board of Education of Frederick County for the failure of the vendor, or any subcontractor, to comply with these provisions.

g. **Builder's Insurance**
FCPS shall provide and maintain Builder's Risk Protection.

h. **Proof of Carriage of Insurance**
The vendor will furnish FCPS with certificates showing the type, amount, class of operations covered, effective dates and dates of expiration of policies. Such certificates also shall contain substantially the following statement: "The insurance covered by this certificate will not be cancelled or materially altered, except after thirty days written notice has been received by FCPS."

i. **Additional Insured**
The Owner, Board of Education of Frederick County, the Frederick County Government, and other entities stipulated by the Owner, shall be named as additional insured on all vendor’s policies, other than Worker’s Compensation Insurance policy. The vendor’s insurance will be primary and non-contributory to any insurance carried by the Board of Education of Frederick County or other entity. Waiver of subrogation applies to above policies in favor of the certificate holder. Insurance providers must have an AM Best Company rating of at least A-/VIII.
13. PROTECTION OF WORK AND PROPERTY
   
a. The vendor will be solely responsible for initiating, maintaining and supervising all safety precautions and programs in the performance of this contract and will be responsible for observing the safety regulations of MOSHA, OSHA, and local life safety agencies.

b. The vendor will erect and maintain, as required by conditions and progress of the work, all necessary safeguards for safety and protection, including fences, railing, barricades, lighting, posting of danger signs and other warnings against hazards.

c. The vendor will comply with applicable laws, ordinances, regulations and orders of governing authorities having jurisdiction for the safety of persons and property to protect them from damage, injury or loss. Any damage, loss or injury resulting from the failure of the vendor to safe guard their work and FCPS property will be borne by the vendor.

d. In the case of inclement weather, or an emergency that threatens the loss or damage of property or life safety, the vendor will be allowed to act in a diligent manner without instructions from FCPS. The vendor will notify the Contract Administrator of their actions as soon as possible. Any claim for compensation by the vendor due to such extra work will be submitted promptly to FCPS for approval.

14. INSPECTIONS AND CORRECTION OF WORK
   
a. All work, all materials, whether incorporated into the work or not, all processes of manufacture, and all methods of construction will be, at all times and places, subject to the inspection of FCPS, whose representatives shall be the final judge of the quality and suitability. Should these fail to meet this approval they will be forthwith reconstructed, made good, replaced and/or covered, as the case may be, by the vendor at their own expense. Rejected material will be removed immediately from the site. If, in the opinion of FCPS, it is undesirable to replace any defective or damaged materials, or to reconstruct or correct any portion of the work, the compensation to be paid to the vendor shall be reduced by such amount as in the judgment of FCPS shall be equitable.

b. If the specifications, laws, ordinances, or any public authority require any work to be specially tested or approved, the vendor will give FCPS timely notice of its readiness for observations. If the inspection is by another authority, the vendor will notify FCPS of the date fixed for such inspection and shall use the required Certificate of Inspection.

c. FCPS may order re-examination of questioned work and, if so ordered, the vendor must uncover the work at their expense. If such work is found not to be in accordance with the contract documents, the vendor will pay all costs to correct the work, to the satisfaction of FCPS. If another vendor employed by FCPS caused the defect in the work, FCPS shall pay such cost and recover the charges from the other vendor.

15. TRAINING
   
a. Should training on the system be required, FCPS will organize:
      i. a central location for larger training sessions with multiple attendees
      ii. a training session at the building location, with a minimum of two FCPS representatives present.

16. CHANGES IN WORK
   
a. No changes in the work covered by the approved contract documents will be made without having prior written approval of FCPS. The contract sum may be adjusted according to the approved changes. Consent of the Surety may be required.
b. Charges or credits for the work covered by the approved change will be determined by one or more of the following methods:

   i. Unit bid prices  
   ii. Lump sum  
   iii. Time and materials  

In the event the vendor is directed to proceed with extra work, on a time and material basis, an itemized proposal shall be submitted including material and rental invoices and/or any other backup as requested by FCPS.

c. A fixed fee may be negotiated, and must be agreed upon and added to the costs listed above. The fee will be compensation to cover the cost of supervision, overhead, surety, profit, and any other general expenses.

17. ADDITIONAL CODE INFORMATION  

a. In addition to the Compliance of Specifications and Safety Requirements sections (Items 25 & 27 respectively) called out in Section I, General Terms and Conditions for Bidders, the following codes are applicable to this project:

   i. 2014 NFPA 70 National Electric Code  
   ii. MD Accessibility CODE ADDAG  

18. OWNER RESPONSIBILITY  

a. FCPS assumes responsibility for all existing devices and systems as properly functioning before the placement of new work.

19. GUARANTEES AND WARRANTIES  

a. Revising the standard language under Section I, General Terms and Conditions for Bidders, Item 11, the awarded supplier(s) will guarantee the material and workmanship on all services, equipment, materials, supplies, and labor, furnished under this contract, for a minimum period of two years from the date of installation.

b. The awarded vendor shall have a response time of 24 hours, or less, in the event of a warranty situation.
BID 20MISC8, SECURITY ACCESS UPGRADE
FREDERICK COUNTY PUBLIC SCHOOLS (FCPS)
SCOPE OF WORK
SECTION III

1. SYSTEM BACKGROUND

FCPS has 67 schools serving 44,000 students. FCPS serves a growing county that is geographically Maryland’s largest. FCPS has approximately 6,100 persons employed full time and approximately 2,500 persons employed on a temporary basis.

2. CURRENT PROGRAM BACKGROUND AND INFORMATION

FCPS utilizes Lenel LNL-1000 controllers at the schools to be covered under this contract. Each controller runs multiple readers to allow access to the building.

3. EXPECTED SCOPE OF WORK

FCPS is requesting the following work be performed:

1. Replace the current controllers with Lenel LNL-2220 Dual Reader controllers at 55 FCPS building locations. A list of the locations, with port usage, is attached as Appendix A.

2. Replace the current readers with HID P/N 910NNNNE2037P SEOS readers at 59 FCPS building locations. A list of the locations, with number of readers per building, is attached as Appendix B.

3. Provide 10,000 high security smart cards for IT and physical access. FCPS has identified the HID Crescendo C2300. Other cards, with similar features, will be considered.

4. Provide printing materials to print the provided 10,000 smart cards.
### Elementary

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<th>Ports</th>
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### Middle

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<tbody>
<tr>
<td>Ballenger Creek Middle</td>
<td>1</td>
<td>2,3</td>
</tr>
<tr>
<td>Brunswick Middle</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Crestwood Middle</td>
<td>1</td>
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<td>Middletown Middle</td>
<td>1</td>
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<td>Monocacy Middle</td>
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</tr>
<tr>
<td>New Market Middle</td>
<td>1</td>
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</tr>
<tr>
<td>Oakdale Middle</td>
<td>1</td>
<td>2,3,4,5</td>
</tr>
<tr>
<td>Thurmont Middle</td>
<td>1</td>
<td>2,3</td>
</tr>
<tr>
<td>TJ Middle</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Urbana Middle</td>
<td>1</td>
<td>2,3,4</td>
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</table>
### High Schools

<table>
<thead>
<tr>
<th>School</th>
<th>1000 Board</th>
<th>Ports</th>
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</thead>
<tbody>
<tr>
<td>Brunswick High</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Catoctin High</td>
<td>1</td>
<td>2,3,4</td>
</tr>
<tr>
<td>Middletown High</td>
<td>1</td>
<td>2,3,4,5</td>
</tr>
<tr>
<td>Oakdale High</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>TJ High</td>
<td>1</td>
<td>2,3,4</td>
</tr>
<tr>
<td>Tuscarora High</td>
<td>1</td>
<td>2,3</td>
</tr>
<tr>
<td>Urbana High</td>
<td>1</td>
<td>2,3,4,5</td>
</tr>
<tr>
<td>Walkersville High</td>
<td>1</td>
<td>2,3</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
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### Other Schools

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<thead>
<tr>
<th>School</th>
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</thead>
<tbody>
<tr>
<td>Heather Ridge</td>
<td>1</td>
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</tr>
<tr>
<td>Lincoln A</td>
<td>1</td>
<td>2,3</td>
</tr>
<tr>
<td>Vo Tech (CTC)</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>3</strong></td>
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</table>

**Total of 55 Controllers**
## Reader Upgrade and Board Upgrade Pricing

<table>
<thead>
<tr>
<th>Elementary</th>
<th>Readers</th>
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<tbody>
<tr>
<td>Ballenger Creek Elementary</td>
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</tr>
<tr>
<td>Brunswick Elementary</td>
<td>9</td>
</tr>
<tr>
<td>Carroll Manor Elementary</td>
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<td>Deer Crossing Elementary</td>
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</tr>
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<td>Emmitsburg Elementary</td>
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<tr>
<td>Glade Elementary</td>
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<tr>
<td>Green Valley Elementary</td>
<td>7</td>
</tr>
<tr>
<td>Kempttown Elementary</td>
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</tr>
<tr>
<td>Lewistown Elementary</td>
<td>7</td>
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<tr>
<td>Liberty Elementary</td>
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</tr>
<tr>
<td>Lincoln Elementary</td>
<td>7</td>
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<td>Middletown Elementary</td>
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<td>Middletown Primary</td>
<td>10</td>
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<tr>
<td>Monocacy Elementary</td>
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</tr>
<tr>
<td>Myersville Elementary</td>
<td>7</td>
</tr>
<tr>
<td>New Market Elementary</td>
<td>10</td>
</tr>
<tr>
<td>New Midway Elementary</td>
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</tr>
<tr>
<td>North Frederick Elementary</td>
<td>10</td>
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<td>Oakdale Elementary</td>
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<tr>
<td>Orchard Grove Elementary</td>
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<td>Rock Creek School</td>
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<tr>
<td>Sabillasville Elementary</td>
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<td>Spring Ridge Elementary</td>
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<td>Thurmont Primary</td>
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<td>8</td>
</tr>
<tr>
<td>Valley Elementary</td>
<td>7</td>
</tr>
<tr>
<td>Walkersville Elementary</td>
<td>7</td>
</tr>
<tr>
<td>Waverley Elementary</td>
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<td>Whittier Elementary</td>
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<tr>
<td>Wolfsville Elementary</td>
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<td>Woodsboro Elementary</td>
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<td>Yellow Springs</td>
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<td><strong>Total</strong></td>
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<table>
<thead>
<tr>
<th>Middle</th>
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<tbody>
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</tr>
<tr>
<td>Brunswick Middle</td>
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<tr>
<td>Middletown Middle</td>
<td>7</td>
</tr>
<tr>
<td>Monocacy Middle</td>
<td>11</td>
</tr>
<tr>
<td>New Market Middle</td>
<td>7</td>
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<tr>
<td>HIGH</td>
<td>Readers</td>
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<td>---------</td>
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<tr>
<td>Brunswick High</td>
<td>8</td>
</tr>
<tr>
<td>Catoctin High</td>
<td>11</td>
</tr>
<tr>
<td>Linganore High</td>
<td>6</td>
</tr>
<tr>
<td>Middletown High</td>
<td>11</td>
</tr>
<tr>
<td>Oakdale High</td>
<td>7</td>
</tr>
<tr>
<td>TJ High</td>
<td>9</td>
</tr>
<tr>
<td>Tuscarora High</td>
<td>8</td>
</tr>
<tr>
<td>Urbana High</td>
<td>11</td>
</tr>
<tr>
<td>Walkersville High</td>
<td>7</td>
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<tr>
<td><strong>Total</strong></td>
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<table>
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<td>ESSL</td>
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<td>Heather Ridge</td>
<td>8</td>
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<tr>
<td>Lincoln A/Success</td>
<td>6</td>
</tr>
<tr>
<td>SDC</td>
<td>5</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>33</strong></td>
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</table>
FREDERICK COUNTY PUBLIC SCHOOLS
PURCHASING DEPT.
191 SOUTH EAST STREET
FREDERICK, MD 21701
PHONE: 301-644-5116
FAX: 301-644-5213

BID 20MISC8, SECURITY ACCESS UPGRADE
FORM OF PROPOSAL

Provide and replace 58 Lenel LNL-1000 controllers with Lenel LNL-2220 Dual Reader controllers.

- Remove current LNL-1000 controller from cabinet
- Provide and install LNL-2220 controller into cabinet
- Transfer 12-volt power from the 1000 controller to the 2220 controller
- Wire the new 2220 panel to Lenel specifications
- Re-address reader interfaces as needed to make promotion work utilizing port 2 and on-board ports
- Re-address panels in the Lenel system programming and perform promotion of controller in the Lenel system utilizing FCPS Access Tech’s laptop (on-site)

**Lump Sum (All 58 Schools):**

$__________________________  _____________________________________________

Total Lump Sum  Amount in Words

**Install Controller Boards, Model LNL-2220:**

**Unit Price:**

$__________________________  _____________________________________________

Total Unit Price  Amount in Words

Scope of work to be performed: Provide and install HID P/N 910NNNNEK2037P SEOS readers in existing locations and remove current magstripe readers from 60 different schools. Work directly with Access Tech to get the new readers programmed into the access system.

**Lump Sum :**

$__________________________  _____________________________________________

Total Lump Sum  Amount in Words

**Unit Price (per reader):**

$__________________________  _____________________________________________

Unit Price per Reader  Amount in Words

Form of Proposal Rev.: 4.29.2020
Provide 10,000 high security smart cards for IT and physical access. FCPS has identified the HID Crescendo C2300. Other cards, with similar features, will be considered.

Lump Sum:

$______________________    _____________________________________________

Total Lump Sum Amount in Words

Unit Price (per box):

$______________________    _____________________________________________

Unit Price per Box Amount in Words

Provide printing materials, i.e. color ribbon and transfer film, to print the proposed 10,000 cards. FCPS utilizes a Fargo HDP5000 Dual Side ID Card Printer, and proposed printing materials must be compatible. Vendor shall identify product numbers.

Color Ribbon Product Number: ______________________________________________________

Transfer Film Product Number: ______________________________________________________

Lump Sum:

$______________________    _____________________________________________

Total Lump Sum Amount in Words
Attachment A

CERTIFIED MINORITY BUSINESS ENTERPRISE
UTILIZATION AND FAIR SOLICITATION AFFIDAVIT

NOTE: You must include this document with your bid or offer. If you do not submit the form with your bid or offer, the procurement officer shall deem your bid non-responsive or your offer not reasonably susceptible of being selected for award.

* * * * * * * * * * * * * * * *

Part I.

I acknowledge the:

- Overall certified MBE subcontract participation goal of ___%.
- The subgoals, if applicable, of:
  - ___% for certified African American-owned businesses and
  - ___% for certified Asian American-owned businesses.

I have made a good-faith effort to achieve this goal. If awarded the contract, I will continue to attempt to increase MBE participation during the project.

Part II.

Check ONE Box

NOTE: FAILURE TO CHECK ONE OF BOXES 1, 2, or 3 BELOW WILL RENDER A BID NON-RESPONSIVE OR AN OFFER NOT REASONABLY SUSCEPTIBLE OF BEING SELECTED FOR AWARD

NOTE: INCONSISTENCY BETWEEN THE ASSERTIONS ON THIS FORM AND THE INFORMATION PROVIDED ON THE MBE PARTICIPATION SCHEDULE (ATTACHMENT B) MAY RENDER A BID NON-RESPONSIVE OR AN OFFER NOT REASONABLY SUSCEPTIBLE OF BEING SELECTED FOR AWARD

1 ☐ I have met the overall MBE goal and MBE subgoals for this project. I submit with this Affidavit [Attachment A] the MBE Participation Schedule [Attachment B], which details how I will reach that goal.

or

2 ☐ After having made a good-faith effort to achieve the overall MBE goal and MBE subgoals for this project, I can achieve partial success only. I submit with this Affidavit [Attachment A] the MBE Participation Schedule [Attachment B], which details the MBE participation I have achieved.

I request a partial waiver as follows:

- Waiver of overall MBE subcontract participation goal: ___%
- Waiver of MBE subcontract participation subgoals, if applicable:
  - ___% for certified African American-owned businesses and
  - ___% for certified Asian American-owned businesses.

Within 10 days of being informed that I am the apparent awardee, I will submit MBE Waiver Documentation [Attachment F] (with supporting documentation).
3. After having made a good faith effort to achieve the overall MBE goal and MBE subgoals for this project, I am unable to achieve any portion of the goal or subgoals. I submit with this Affidavit [Attachment A] the MBE Participation Schedule [Attachment B].

I request a full waiver.

Within 10 days of being informed that I am the apparent awardee, I will submit MBE Waiver Documentation [Attachment F] (with supporting documentation).

Part III.

I understand that if I am the apparent awardee or conditional awardee, I must submit within 10 working days after receiving notice of the potential award or within 10 days after the date of conditional award – whichever is earlier – the:

- Outreach Efforts Compliance Statement (Attachment C)
- Subcontractor Project Participation Statement (Attachment D)
- Minority Subcontractors Unavailability Certificate (Attachment E) (if applicable)
- Any other documentation the Procurement Officer requires to ascertain my responsibility in connection with the MBE participation goal and subgoals

I acknowledge that if I fail to timely return complete documents, the Procurement Officer may determine that I am not responsible and therefore not eligible for contract award. If the contract has been awarded, the award is voidable.

I acknowledge that the MBE subcontractors/suppliers listed in the MBE Participation Schedule and any additional MBE subcontractor/suppliers identified in the Subcontractor Project Participation Statement will be used to accomplish the percentage of MBE participation that I intend to achieve.

In the solicitation of subcontract quotations or offers, MBE subcontractors were provided the same information and amount of time to respond as were non-MBE subcontractors.

The solicitation process was conducted in such a manner so as to not place MBE subcontractors at a competitive disadvantage to non-MBE subcontractors.

I solemnly affirm under the penalties of perjury that this Affidavit is true to the best of my knowledge, information, and belief.

________________________________________________________________________
Bidder/Offeror Name Affiant Signature
________________________________________________________________________
Address Printed Name & Title
________________________________________________________________________
Address (continued) Date

October 2017
ATTACHMENT B

MBE PARTICIPATION SCHEDULE

This document must be included with the bid or offer. If the bidder or offeror fails to submit this form with the bid or offer as required, the procurement officer shall deem the bid non-responsive or shall determine that the offer is not reasonably susceptible of being selected for award.

<table>
<thead>
<tr>
<th>1. Prime Contractor’s Name</th>
<th>2. Prime Contractor’s Address/Telephone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>3. Project/School Name</td>
<td>4. Project/School Location</td>
</tr>
<tr>
<td>5. LEA Name:</td>
<td>6. Base Bid Amount $_________________________</td>
</tr>
<tr>
<td></td>
<td>Acceptance Alternates $_______________________</td>
</tr>
<tr>
<td></td>
<td>Total $______________________________________</td>
</tr>
</tbody>
</table>

7a. Minority Firm Name: ___________________________________________
Minority Firm Address: ___________________________________________
MDOT Firm Certification Number: ________________________________
☐ African American ☐ Asian American ☐ Native American ☐ Women ☐ Hispanic ☐ Disabled

<table>
<thead>
<tr>
<th>Subcontractor Firm (Select One)</th>
<th>Allowable Percentage</th>
<th>Percentage of Total Contract</th>
<th>Subcontractor Dollar Amount</th>
<th>Participation Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>MDOT Certified Firm</td>
<td>100%</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>MDOT Certified Prime Contractor</td>
<td>50% of established goal OR 100% of one subgroup contract subgoal</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>MDOT Certified Supplier, Wholesaler and Regular Dealer</td>
<td>60%</td>
<td>$</td>
<td>$</td>
<td></td>
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</table>

7b. Minority Firm Name: ___________________________________________
Minority Firm Address: ___________________________________________
MDOT Firm Certification Number: ________________________________
☐ African American ☐ Asian American ☐ Native American ☐ Women ☐ Hispanic ☐ Disabled

<table>
<thead>
<tr>
<th>Subcontractor Firm (Select One)</th>
<th>Allowable Percentage</th>
<th>Percentage of Total Contract</th>
<th>Subcontractor Dollar Amount</th>
<th>Participation Amount</th>
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</thead>
<tbody>
<tr>
<td>MDOT Certified Firm</td>
<td>100%</td>
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<tr>
<td>MDOT Certified Prime Contractor</td>
<td>50% of established goal OR 100% of one subgroup contract subgoal</td>
<td>$</td>
<td>$</td>
<td></td>
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<tr>
<td>MDOT Certified Supplier, Wholesaler and Regular Dealer</td>
<td>60%</td>
<td>$</td>
<td>$</td>
<td></td>
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</table>

7c. Minority Firm Name: ___________________________________________
Minority Firm Address: ___________________________________________
MDOT Firm Certification Number: ________________________________
☐ African American ☐ Asian American ☐ Native American ☐ Women ☐ Hispanic ☐ Disabled

<table>
<thead>
<tr>
<th>Subcontractor Firm (Select One)</th>
<th>Allowable Percentage</th>
<th>Percentage of Total Contract</th>
<th>Subcontractor Dollar Amount</th>
<th>Participation Amount</th>
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<tr>
<td>MDOT Certified Firm</td>
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<td>$</td>
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<tr>
<td>MDOT Certified Prime Contractor</td>
<td>50% of established goal OR 100% of one subgroup contract subgoal</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>MDOT Certified Supplier, Wholesaler and Regular Dealer</td>
<td>60%</td>
<td>$</td>
<td>$</td>
<td></td>
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</tbody>
</table>

8. MBE Total Amount

9. Total MBE Percent of Entire Contract

10. Form Prepared by:
Name: _____________________________________________________________
Title: _____________________________________________________________
Date: _____________________________________________________________

11. Reviewed and Accepted by Board of Edu. MBE Liaison
Name: _____________________________________________________________
Title: _____________________________________________________________
Date: _____________________________________________________________

Total MBE Participation: $___________________________%  
Total African-American Participation: $___________________________%  
Total Asian-American MBE Participation: $___________________________%  
Total Other Participation: $___________________________%  

October 1, 2017
Special Instructions: An authorized representative of the bidder needs to complete the following affidavit and insert an answer to paragraphs 1 and 3.

BIDDERS: The submission of the following Affidavit at the time of the bid opening is:

- requested to be completed but not required to be notarized.
- required to be completed and notarized.

I, ______________________________, being duly sworn, depose and state:

1. I am the __________________________ (officer) and duly authorized representative of the firm of the organization named ______________________________ whose address is ______________________________ and that I possess the authority to make this affidavit and certification on behalf of myself and the firm for which I am acting.

2. Except as described in paragraph 3 below, neither I, nor to the best of my knowledge, the above firm, nor any of its officers, directors, or partners, or any of its employees who are directly involved in obtaining or performing contracts with any public bodies has:

   a. been convicted of bribery, attempted bribery, or conspiracy to bribe, under the laws of any state or of the federal government;

   b. been convicted under the laws of the state, another state, or the United States of: a criminal offense incident to obtaining, attempting to obtain, or performing a public or private contract; or fraud, embezzlement, theft, forgery, falsification or destruction of records, or receiving stolen property;

   c. been convicted of criminal violation of an antitrust statute of the State of Maryland, another state, or the United States;

   d. been convicted of a violation of the Racketeer influenced and Corrupt Organization Act, or the Mail Fraud Act, for acts in connection with the submission of bids or proposals for a public or private contract;

   e. been convicted of any felony offenses connected with obtaining, holding, or maintaining a minority business enterprise certification, as prohibited by Section 14-308 of the State Finance & Procurement Article;

   f. been convicted of conspiracy to commit any act or omission that would constitute grounds for conviction under any of the laws or statutes described in Paragraph (a) through (e) above; or

   g. been found civilly liable under an antitrust statute of this State, another state, or the United States for acts or omissions in connection with the submission of bids or proposals for a public or private contract.

3. The only conviction, plea, or admission by any officer, director, partner, or employee of this firm to involvement in any of the conduct described in Paragraph 2 above is as follows:

   If none, write “None” below. If involvement, list the date, count, or charge, official or administrative body,
the individuals involved, their position with the firm, and the sentence or disposition of the charge.

(you may attach an explanation if necessary)

4. I affirm that this firm will not knowingly enter into a contract with a public body under which a person or business debarred or suspended under Maryland State Finance and Procurement Title 16, subtitle 3, Annotated Code of Maryland, as amended, will provide, directly or indirectly, supplies, services, architectural services, construction-related services, leases of real property, or construction.

5. I affirm that this proposal or bid to the Board of Education of Frederick County is genuine and not collusive or a sham; that said bidder has not colluded, conspired, connived and agreed, directly or indirectly, with any bidder or person to put in a sham bid or to refrain from bidding and is not in any manner, directly or indirectly, sought by agreement of collusion or communication or conference, with any person to fix the bid prices of the affidavit or any other bidder, or to fix any overhead, profit or cost element of said bid price, or that if any bidder, or to secure an advantage against the Board of Education of Frederick County or any other person interested in the proposed contract; and that all statements in the proposal or bid are true. I acknowledge that, if the representations set forth in this affidavit are not true and correct, the Board of Education of Frederick County may terminate any contract awarded and take any other appropriate action.

I DO SOLEMNLY DECLARE AND AFFIRM under the penalties of perjury that the contents of this affidavit are true and correct, that I am executing this Affidavit in compliance with Section 16-311 of the State Finance and Procurement Article, Annotated Code of Maryland, and in compliance with requirements of the Board of Education of Frederick County, and that I am executing and submitting this Proposal on behalf of and as authorized by the bidder named below.

(Legal Name of Company)

dba

(Address)

(City)  (State)  (Zip)

(Telephone)  (Fax)

(Print Name)  (Title)  (Date)

(Signature)  (Title)  (Date)

We are/I am licensed to do business in the State of Maryland as a:
(   ) Corporation  (   ) Partnership  (   ) Individual  (   ) Other

If required to be notarized:

(Witness)  (Title)

SUBSCRIBED AND SWORN to before me on this ______ day of __________, 20____.

My Commission Expires: ____________________________

NOTARY PUBLIC

Revised 01.20.2016
BID 20MISC8, SECURITY ACCESS UPGRADE
FREDERICK COUNTY PUBLIC SCHOOLS

CERTIFICATION OF COMPLIANCE

1. All Contractors, subcontractors or vendors must abide by FCPS Board policies and regulations while working on FCPS property.

2. Maryland Law requires that any person who enters into a contract with a county board of education may not knowingly employ an individual to work at a school (or FCPS facility) if the individual is a registered sex offender. Please reference §11-113 of the Criminal Procedure Article of Maryland Code for penalty.

3. Be advised that individuals who are registered sex offenders are not eligible to work on any FCPS project. The Contractor must initially check the Maryland Department of Public Safety & Correctional Services' MARYLAND SEX OFFENDER REGISTRY and search for the name of any employee to be assigned to work on this project. This applies to subcontractors and material/equipment suppliers as well.

4. In the event that a registered sex offender is discovered to be working on a FCPS project, whether through employment by the prime Contractor, subcontractor or vendor, the site superintendent will immediately remove the individual from the premises and permanently terminate his work assignment. FCPS may terminate this contract as a result if the Contractor is unable to demonstrate he has exercised care and diligence in the past in checking the Maryland registry.

5. Effective July 1, 2015, amendments to §6-113 of the Education Article of the Maryland Code further require that a contractor or subcontractor or vendor for a local school system may not knowingly assign an employee to work on school premises with direct, unsupervised, and uncontrolled access to children, if the employee has been convicted of, or pled guilty or nolo contendere to, a crime involving:
   a. A sexual offense in the third or fourth degree under §3-307 or §3-308 of the Criminal Law Article of the Maryland Code.
   b. Child sexual abuse under §3-602 of the Criminal Law Article of the Maryland Code or any other State; or
   c. A crime of violence as defined in §14-101 of the Criminal Law Article of the Maryland Code or any other State.

6. With the passing of Maryland Law MD. Code, Educ. 6-113.2, employers of all contracted staff must obtain background information relating to child sexual abuse or sexual misconduct. This means that all contracted staff having direct contact with students must meet all of the FCPS and Maryland State Department of Education (MSDE) requirements before doing business with FCPS. See: Maryland State Department of Education Website; House Bill 486 Child Sexual Abuse and Sexual Misconduct Prevention; MSDE Guidelines For MD. Code, Educ. 6113.2; and Employment History Review Form for Child Abuse and Sexual Misconduct for additional information.

In addition, there has been no change to the current FCPS requirement, that all contracted staff who have contact with students are required to be fingerprinted in order to obtain a criminal background check. Fingerprints and background check are still an enforced FCPS requirement.

7. Under recent amendments to §5-561 of the Family Law Article of the Maryland Code, each contractor, subcontractor, or vendor shall certify by signing this affidavit that any individuals in its work-force including sub-contractors, have undergone a criminal background check, including fingerprinting, if the individuals will work in a FCPS school facility in circumstances where they have direct, unsupervised, and uncontrolled access to children.
By my signature below, I affirm under penalties of perjury that the contents of this Certification of Compliance are true to the best of my knowledge, information and belief.

Signature__________________________________________Date____________________________________

Print name and title of signatory________________________________________________________________________________

Print name of company________________________________________________________________________________
Vendor Conflict of Interest Disclosure Form

All vendors interested in conducting business with Frederick County Public Schools (FCPS) must complete and return the Vendor Conflict of Interest Disclosure Form, in order to be eligible to be awarded a contract with FCPS.

Please note that all vendors must comply with FCPS’s conflict of interest certification, as stated below.

If a vendor has a relationship with a FCPS employee or an immediate family member (spouse, child (stepchild or adopted), parent, or sibling) of a FCPS employee, the vendor shall disclose the information required below.

**Certification:** I hereby certify, that to the best of my knowledge, there is no conflict of interest involving the vendor named below:

1. No FCPS employee or the employee’s immediate family member has an ownership interest in the vendor’s company, or is deriving personal financial gain from this contract.
2. No retired or separated FCPS employee who has been retired or separated from the organization for less than one (1) year has an ownership interest in the vendor’s company.
3. No FCPS employee is contemporaneously employed or prospectively to be employed with the vendor.
4. The vendor did not provide any information or criteria in the drafting of the solicitation prior to it being advertised for competitive pricing.
5. Vendor hereby declares it has not, and will not provide gifts or hospitality of any dollar value, or any other gratuities to FCPS employee to maintain a contract.
6. Vendor hereby declares that in the process of preparing a quote/bid/proposal for FCPS, there have been no acts of bribery, extortion, trading, laundering of corrupt practices, and/or nepotism have transpired between FCPS employee and the vendor.
7. Please note any other exceptions below.

<table>
<thead>
<tr>
<th>Vendor Name &amp; Email</th>
<th>Vendor Address &amp; Phone Number</th>
</tr>
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**Conflict of Interest Disclosure**

Name of FCPS employee or immediate family member with whom there may be a potential conflict of interest. *If no conflict of interest, write “N/A” and initial.*

Disclose the relationship to the employee or the immediate family member, their interest in the vendor’s company, and any additional information

I certify that the information provided is true and correct by my signature below:

________________________________________  ______________________________________
Signature of Vendor Authorized Representative/Date  Printed Name of Vendor Authorized Representative
MD COMAR 21.07.02.10 Performance and Payment Bond

Mandatory provision for all construction contracts exceeding $100,000:

A. Performance Bond. The required performance bond shall be in the form specified as follows:

PERFORMANCE BOND

_______________________________    ________________________________________________
Principal                                                  Business Address of Principal
______________________________________________________________________________________________________________
Surety a corporation of the State of _________________________________
and authorized to do business in the State of Maryland.

Bond Number ___________________  Penal Sum of Bond _____________________________________
(expressed in figures)  Date Bond Executed _______ ___, 20___
(expressed in words)

The Board of Education of Frederick County 191 South East Street, Frederick MD. 21701-5918
Obligee       Business Address of Obligee

Contract Description: Bid Number __________ Contract Date __________, 20___ Project Name: __________________________

KNOW ALL MEN BY THESE PRESENTS, That we, the Principal named above and Surety named above, are held and firmly
bound unto the Obligee named above in the Penal Sum of this Performance Bond stated above, for the payment of which Penal
Sum we bind ourselves, our heirs, executors, administrators, personal representatives, successors, and assigns, jointly and
severally, firmly by these presents. However, where Surety is composed of corporations acting as co-sureties, we the
cosureties, bind ourselves, our successors and assigns, in such Penal Sum jointly and severally as well as severally only for
the purpose of allowing a joint action or actions against any or all of us, and for all other purposes each co-surety binds itself,
jointly and severally with the Principal, for the payment of such sum as appears above its name below, but if no limit of
liability is indicated, the limit of such liability shall be the full amount of the Penal Sum.

WHEREAS, Principal has entered into or will enter into a contract with The Board of Education of Frederick County, which
contract is described and dated as shown above, and incorporated herein by reference. The contract and all items incorporated
into the contract, together with any and all changes, extensions of time, alterations, modifications, or additions to the contract
or to the work to be performed thereunder or to the Plans, Specifications, and Special Provisions, or any of them, or to any
other items incorporated into the contract shall hereinafter be referred as "the Contract."

WHEREAS, it is one of the conditions precedent to the final award of the Contract that these presents be executed.

NOW, THEREFORE, during the original term of said Contract, during any extensions thereto that may be granted by The Board
of Education of Frederick County, and during the guarantee and warranty period, if any, required under the Contract, unless
otherwise stated therein, this Performance Bond shall remain in full force and effect unless and until the following terms and
conditions are met:

1 Principal shall well and truly perform the Contract; and
2 Principal and Surety shall comply with the terms and conditions in this Performance Bond.

Whenever Principal shall be declared by The Board of Education of Frederick County to be in default under the Contract, the
Surety may, within 15 days after notice of default from The Board of Education of Frederick County, notify The Board of
Education of Frederick County of its election to either promptly proceed to remedy the default or promptly proceed to complete
the contract in accordance with and subject to its terms and conditions. In the event the Surety does not elect to exercise either
of the above stated options, then The Board of Education of Frederick County thereupon shall have the remaining contract work
completed, Surety to remain liable hereunder for all expenses of completion up to but not exceeding the penal sum stated
above.

The Surety hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract or
MD COMAR 21.07.02.10 Performance and Payment Bond

PERFORMANCE BOND CONTINUED

to the work to be performed thereunder or the Specifications accompanying the same shall in any way affect its obligation on this Performance Bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the Contract or to the work or to the Specifications.

This Performance Bond shall be governed by and construed in accordance with the laws of the State of Maryland and any reference herein to Principal or Surety in the singular shall include all entities in the plural who or which are signatories under the Principal or Surety heading below.

IN WITNESS WHEREOF, Principal and Surety have set their hands and seals to this Performance Bond. If any individual is a signatory under the Principal heading below, then each such individual has signed below on his or her own behalf, has set forth below the name of the firm, if any, in whose name he or she is doing business, and has set forth below his or her title as a sole proprietor. If any partnership or joint venture is a signatory under the Principal heading below, then all members of each such partnership or joint venture, and each member has set forth below his or her title as a general partner, limited partner, or member of joint venture, whichever is applicable. If any corporation is a signatory under the Principal or Surety heading below, then each such corporation has caused the following: the corporation's name to be set forth below, a duly authorized representative of the corporation to affix below the corporation's seal and to attach hereto a notarized corporate resolution of power of attorney authorizing such action, and each such duly authorized representative to sign below and set forth below his or her title as a representative of the corporation. If any individual acts as a witness to any signature below, then each such individual has signed below and has set forth below his or her title as a witness. All of the above has been done as of the Date of Bond shown above.

In Presence of:       Individual Principal
Witness
_________________________ as to ______________________________ (SEAL)

________________________________________

In Presence of:       Co-Partnership Principal
Witness
_________________________ as to ______________________________ (SEAL)
(Name of Co-Partnership)

_________________________ as to ______________________________ (SEAL)
By: ______________________________ (SEAL)

_________________________ as to ______________________________ (SEAL)

_________________________ as to ______________________________ (SEAL)

________________________________________
(Name of Corporation)

Attest:       Corporate Principal
_________________________ as to ______________________________
(Corporate Secretary)

________________________________________
By: ______________________________ (President)
AFFIX CORPORATE SEAL
B. Payments Bond. The required Payments bond shall be in the form specified as follows:

**PAYMENT BOND**

---

**Principal**

Surety ___________________________ a corporation of the State of ___________________________ and authorized to do business in the State of Maryland

OR

an individual surety qualified in accordance with State Finance and Procurement Article, § 13-207 or 17-104, Annotated Code of Maryland.

---

Bond Number ________________________ Penal Sum of Bond __________________________

(expressed in figures)

Date Bond Executed ________________, 20___

(expressed in words)

---

The Board of Education of Frederick County

191 South East Street, Frederick MD 21701

---

Contract Description: Bid Number # ______ Contract Date ____________, 20___ Project Name: __________________________

---

BY THESE PRESENTS, That we, the Principal named above and Surety named above, being authorized to do business in Maryland, and having business address as shown above, are held and firmly bound unto the Obligee named above, for the use and benefit of claimants as hereinafter defined, in the Penal Sum of this Payment Bond stated above, for the payment of which Penal Sum we bind ourselves, our heirs, executors, administrators, personal representatives, successors, and assigns, jointly and severally, firmly by these co-sureties, bind ourselves, our successors and assigns, in such Penal Sum jointly and severally as well as severally only for the purpose of allowing a joint action or actions against any or all of us, and for all other purposes each co-surety binds itself, jointly and severally with the Principal, for the payment of such sum as appears above its name below, but if no limit of liability is indicated, the limit of such liability shall be the full amount of the Penal Sum.
MD COMAR 21.07.02.10 Performance and Payment Bond

PAYMENT BOND CONTINUED

WHEREAS, Principal has entered into or will enter into a contract with The Board of Education of Frederick County, which contract is described and dated as shown above, and incorporated herein by reference. The contract and all items incorporated into the contract, together with any and all changes, extensions of time, alterations, modifications, or additions to the contract or to the work to be performed thereunder or to the Plans, Specifications, and Special Provisions, or any of them, or to any other items incorporated into the contract shall hereinafter be referred to as the "Contract".

WHEREAS, it is one of the conditions precedent to the final award of the Contract that these presents be executed.

NOW THEREFORE, the condition of this obligation is such that if the Principal shall promptly make payment to all claimants as hereinafter defined, for all labor and materials furnished, supplied and reasonably required for use in the performance of the Contract, then this obligation shall be null and void; otherwise it shall remain in full force and effect, subject to the following conditions:

1. A claimant is defined to be any and all of those persons supplying labor and materials (including lessors of the equipment to the extent of the fair market value thereof) to the Principal or its subcontractors and subcontractors in the prosecution of the work provided for in the Contract, entitled to the protection provided by Section 17-101et. seq., State Finance and Procurement Article of the Annotated Code of Maryland, as from time to time amended.

2. The above named Principal and Surety hereby jointly and severally agree with the Obligee that every claimant as herein defined, who has not been in full may, pursuant to and when in compliance with the provisions of the aforesaid Section 9-113, sue on this Bond for the use of such claimant, prosecute the suit to final judgment for such sum or sums as may be justly due claimant and have execution thereon. The Obligee shall not be liable for the payment of any costs or expenses of any such suit.

The Surety hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract or to the work to be performed thereunder or the Specifications accompanying the same shall in any way affect its obligation on this Payment Bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the Contract or to the work or to the Specifications.

This Payment Bond shall be governed by and construed in accordance with the laws of the State of Maryland and any reference herein to Principal or Surety in the singular shall include all entities in the plural who or which are signatories under the Principal or Surety heading below.

IN WITNESS WHEREOF, Principal and Surety have set their hands and seals to this Payment Bond. If any individual is a signatory under the Principal heading below, then each such individual has signed below on his or her own behalf, has set forth below the name of the firm, if any, in whose name he or she is doing business, and has set forth below his or her title as a sole proprietor. If any partnership or joint venture is a signatory under the Principal heading below, then all members of each such partnership or joint venture have signed below, each member has set forth below the name of the partnership or joint venture, and each member has set forth below his or her title as a general partner, limited partner, or member of joint venture, whichever is applicable. If any corporation is a signatory under the Principal or Surety heading below, then each such corporation has caused the following: the corporation's name to be set forth below, a duly authorized representative of the corporation to affix below the corporation's seal and to attach hereto a notarized corporate resolution of power of attorney authorizing such action, and each such duly authorized representative to sign below and set forth below his or her title as a witness. All of the above has been done as of the date shown above and in the presence of:
Witness (Individual Principal)

_________________________ as to ____________________________ (SEAL)

Witness (Name of Co-Partnership)

_________________________ (SEAL)

 __________________________ as to (Co-Partnership Principal)

_________________________ as to ____________________________ (SEAL)

_________________________ as to ____________________________ (SEAL)

_________________________ as to ____________________________ (SEAL)

(Corporate Principal)

Attest: (Name of Corporation)

_________________________ as to (Corporate Secretary)

_________________________ as to ____________________________ (SEAL)

By: ____________________________ (President) SEAL

(AFFIX CORPORATE)

(Individual or Corporate Surety)

Attest: ____________________________ (SEAL) By: ____________________________ (SEAL)

Signature ____________________________

Title ____________________________

Bonding Agent's Name ____________________________ (Business Address of Surety)

Agent's Address ____________________________ (Business Address of Surety)

Approved as to legal form and sufficiency this _______ day of __________, 20____ ____________________________

(Asst. Attorney General)
I. Policy 202.5

II. Procedures

MBE PROCEDURES FOR STATE-FUNDED PUBLIC SCHOOL CONSTRUCTION PROJECTS

BACKGROUND

In 1978, the Maryland General Assembly passed legislation, which was signed into law to establish the State’s Minority Business Enterprise Program. This new law set as a goal that at least 10 percent of each unit of State government’s total dollar value of procurement contracts for purchases and/or contracts is awarded to minority business enterprises. This law was subsequently modified and the goal was increased to 14 percent. More recently, in 2001, the goal was increased to 25 percent with subcontracting sub-goals of 7 percent for certified African American-owned businesses and 10 percent for certified women-owned businesses.

In 1979, the Rules, Regulations, and Procedures for the Administration of the School Construction Program were revised by the Board of Public Works to require each local board of education to adopt procedures to attempt to include minority business enterprises in State funded school construction projects. The State law was revised and now states: “The Interagency Committee on School Construction (IAC) shall require each local board of education to adopt procedures consistent with this chapter before obtaining funds for public school construction projects”.

In May 2007, the Rules, Regulations, and Procedures were replaced by formal state regulations. The regulations concerning project procurement (COMAR 23.03.03) indicate that the State’s minority business enterprise goals and procedures apply to all State funded projects, irrespective of procurement method.

In July 2011, a Sub-Goal Directive was issued by the Governor’s Office of Minority Affairs (GOMA). This Directive established the process for setting contract by contract sub-goals. Sub-goals consistent with demonstrated underrepresentation were pre-established within the Directive.

OVERVIEW

This Minority Business Enterprise (MBE) procedure document was originally developed in response to a requirement set forth in the Rules, Regulations, and Procedures for the Administration of the School Construction Program. The MBE requirement was initially established under House Bill (HB) 64, which was passed in the 1978 session of the Maryland General Assembly and signed into law as Chapter 575 of the Acts of 1978.

Since the Board adopted its original Minority Business Enterprise Procedures, there have been changes in State statutes, regulations adopted by the Board of Public Works, procedural requirements, project eligibility requirements and the sub-goals to be set for school construction projects. This revised procedure is consistent with current legislation and the changes to the Code of Maryland Regulations (COMAR) requirements.

The revised procedures issued by GOMA in July 2011 provide guidance for establishing overall goals that are contract-specific and reasonable, and for setting sub-goals only on contracts that actually have subcontracting opportunities. The procedures for setting overall MBE goals have not changed, however once the overall goal is decided by the Procurement Review Group (PRG), the sub-goal analysis must be completed for contracts that have a total potential MBE participation over a minimum threshold amount, as defined for specific Major Industry Categories.
All activities funded through the Public School Construction Program (PSCP) fall within Construction in the Major Industry Categories. In place of the original goals of 7 percent for African American-owned businesses and 10 percent for certified women-owned businesses, the sub-goals for construction are now 7 percent for African American-owned businesses and 4 percent for Asian American-owned businesses. Sub-goals are not to be set for other minority groups which may be represented in the overall contract goal.

1.0 PURPOSE

The purpose of these procedures is to fulfill the intent of the law and the guidelines issued by GOMA by setting appropriate goals for minority business enterprise participation in every contract that includes State funding through the PSCP. Local Educational Agencies (LEAs) shall attempt to achieve the result that a minimum of 25 percent of the total dollar value of all construction contracts is made directly or indirectly with certified minority business enterprises when State PSCP funds are utilized, with a minimum of 7 percent from certified African American-owned businesses, a minimum of 4 percent from certified Asian American-owned businesses, and the balance from any certified minority business enterprises. All general contractors, including certified MBE firms, when bidding as general or prime contractors are required to attempt to achieve the MBE subcontracting goals from certified MBE firms.

2.0 EFFECTIVE DATE

These procedures have been adopted for use in Frederick County and supersede previously utilized MBE procedures, in accordance with Title 14, §3, State Finance and Procurement Article.

Note: All current attachments required for MBE participation can be found on the Public School Construction website: [http://www.pscp.state.md.us/programs/mbe/mbeindex.cfm](http://www.pscp.state.md.us/programs/mbe/mbeindex.cfm)

3.0 DEFINITIONS

1. Certification means the determination that a legal entity is a minority business enterprise consistent with the intent of Subtitle 3 of the State Finance and Procurement Article.

2. Certified Minority Business Enterprise means a minority business that holds a certification issued by the Maryland State Department of Transportation (MDOT).

3. Corporation, as defined by MDOT, is an artificial person or legal entity created by or under the authority of the laws of any state of the United States, the District of Columbia or a territory or commonwealth of the United States and formed for the purpose of transacting business in the widest sense of that term, including not only trade and commerce, but also manufacturing, mining, banking, insurance, transportation and other forms of commercial or industry activity where the purpose of the organization is profit. For eligibility for certification, disadvantaged and/or minority individuals must own at least 51 percent of the voting stock and at least 51 percent of the aggregate of all classes of stock that have been issued by the corporation. (Note: stock held in trust is not considered as stock held by the disadvantaged businesspersons when computing the business person(s) ownership.)

4. Managerial Control, as defined by MDOT, means that a disadvantaged or minority owner(s) has the demonstrable ability to make independent and unilateral business decisions needed to guide the future and destiny of a business. Control may be demonstrated in many ways. For a minority owner to demonstrate control, the following examples are put forth, but are not intended to be all inclusive:

   a. Articles of Incorporation, Corporate Bylaws, Partnership Agreements and other agreements shall be free of restrictive language which would dilute the minority owner's control thereby preventing the minority owner from making those decisions which affect the destiny of a business;

   b. The minority owner shall be able to show clearly through production of documents the areas of the disadvantaged business owner's control, such as, but not limited to:

      1) Authority to sign payroll checks and letters of credit;
      2) Authority to negotiate and sign for insurance and/or bonds;
      3) Authority to negotiate for banking services, such as establishing lines of credit; and
4) Authority to negotiate and sign for contracts.

c. Agreements for support services that do not lessen the minority owner’s control of the company are permitted as long as the disadvantaged or minority business owner’s authority to manage the company is not restricted or impaired.

5. **Minority Business Enterprise (MBE)** means any legal entity, except a joint venture, that is (a) organized to engage in commercial transactions, and (b) at least 51 percent owned and controlled by one or more individuals who are socially and economically disadvantaged including: African Americans; American Indian/Native Americans; Asians; Hispanics; Physically or mentally disabled individuals; or, Women.

6. **Minority Business Enterprise Liaison** means the employee of the LEA designated to administer the Minority Business Enterprise Procedures for State funded public school construction projects.

7. **Operational Control, as defined by MDOT**, means that the disadvantaged or minority owner(s) must possess knowledge necessary to evaluate technical aspects of the business entity. The primary consideration in determining operational control and the extent to which the disadvantaged or minority owner(s) actually operates a business will rest upon the specialties of the industry of which the business is a part. The minority owner should have a working knowledge of the technical requirements needed to operate in his/her industry. Specifically, in the construction industry and especially among small (one to five person firms) contractors, it is reasonable to expect the disadvantaged or minority owner(s) to be knowledgeable of all aspects of the business. Accordingly, in order to clarify the level of operational involvement which a minority owner must have in a business for it to be considered eligible, the following examples are put forth, but are not intended to be all inclusive:

   a. The minority owner should have experience in the industry for which certification is being sought; and

   b. The minority owner should demonstrate that basic decisions pertaining to the daily operations of the business are independently made. This does not necessarily preclude the disadvantaged or minority owner(s) from seeking paid or unpaid advice and assistance. It does mean that the minority owner currently must possess the knowledge to weigh all advice given and to make an independent determination.

8. **Ownership, as defined by MDOT**, means that:

   a. The minority owner(s) of the firm shall not be subject to any formal or informal restrictions, which limit the customary discretion of the owner(s). There shall be no restrictions through, for example, charter requirements, by-law provisions, partnership agreements, franchise or distributor agreements or any other agreements that prevent the minority owner(s), without the cooperation or vote of any non-minority, from making a business decision of the firm.

   b. This means that the disadvantaged or minority persons, in order to acquire their ownership interests in the firm, have made real and substantial contributions of capital, expertise or other tangible personal assets derived from independently owned holdings without benefit of a transfer of assets, gift or inheritance from non-minority persons. Examples of insufficient contributions include a promise to contribute capital, a note payable to the firm or its owners who are not minority persons or the mere participation as an employee rather than as a manager. If the ownership interest held by a disadvantaged or minority person is subject to formal or informal restrictions, such as options, security interests, agreements, etc., held by a non-minority person or business entity, the options, security interests, agreements, etc., held by the non-minority person or business entity must not significantly impair the disadvantaged or minority person’s ownership interest.

9. **Partnership** means an unincorporated association of two or more persons to carry on as co-owners of a business for profit. For a partnership to be deemed eligible for certification under the MDOT Program, the disadvantaged or minority person’s interest must be at least 51 percent of the partnership capital.
10. **Disadvantaged Business Enterprise (DBE)** means a citizen or lawfully admitted permanent resident of the United States who is socially disadvantaged and economically disadvantaged. The law establishes the level of personal net worth at $1,500,000, adjusted annually for inflation according to the Consumer Price Index (CPI); above this net personal worth figure, an individual may not be found to be socially and economically disadvantaged. The current personal net worth (PNW) figure can be found on the MDOT website at: http://www.mdot.maryland.gov/Office%20of%20Minority%20Business%20Enterprise/Resources%20Information.

11. **Sole Proprietorship**, as defined by MDOT, is a for-profit business owned and operated by a disadvantaged or minority person in his or her individual capacity. For a sole proprietorship to be deemed eligible for certification under the DBE/MBE Program, the disadvantaged or minority person must be the sole proprietor.

12. **Days** mean business days unless otherwise specified. Business days are defined as Monday through and including Friday, with the exception of Nationally or State recognized holidays.

13. **Regular Dealer** is defined to be a firm that owns, operates, or maintains a store, warehouse, or any other establishment in which materials, supplies, articles, or equipment are of the general character described by the specifications required under the contract and are bought, kept in stock, or regularly sold or leased to the public in the usual course of business. A “regular dealer” does not include a packager, broker, manufacturer’s representative, or any other person that arranges or expedites transactions.

### 4.0 MBE GOAL SETTING PROCEDURES

1. General
   
a. The overall MBE goal and the sub-goals, if appropriate, are established on a per-contract basis for the purposes of solicitation.
      
1) Where a project consists of more than one contract, the individual contract goals and sub-goals, if appropriate, should reflect the overall project goal and sub-goals.
      
2) The words “if appropriate” and “if applicable” throughout this document reflect the understanding that for some solicitations, no African American or Asian American sub-goals should be established.

   b. The MBE program requires that all race-neutral measures be considered before making use of race-based measures. Using a combination of race-neutral and race-based measures for each specific school construction project will help ensure that certified MBE firms are afforded the opportunity to submit bids and be utilized to the greatest extent possible.
      
1) **Race-neutral measures** include any action taken by the LEA to make it easier for all contractors, including MBEs, to compete successfully for public school construction project contracts. These might include widespread advertising of bidding opportunities, job fairs, and similar publicity events.
      
2) **Race-based measures** include setting an overall MBE goal and MBE sub-goals, if appropriate, based upon race, gender, ethnicity, etc., for a specific contract.

2. General Considerations for Setting MBE Goal and Sub-goal. The overall MBE goal and the sub-goals, if appropriate, should be set for each specific project contract, considering but not limited to, the following factors:

   a. The extent to which the work to be performed can reasonably be segmented to allow for MBEs to participate in the project contract;

   b. A determination of the number of certified MBEs that potentially could perform the identified work;
c. The geographic location of the project in relationship to the identified certified MBEs;

d. Information obtained from other state and local departments/agencies related to establishing a MBE goal and/or sub-goals for similar construction projects or work in the jurisdiction;

e. A State agency may apply only 60% of the cost of materials and supplies provided by a regular dealer that is a certified MBE toward achieving an MBE contract goal. For materials or supplies purchased from a certified MBE that is neither a manufacturer nor a regular dealer, only the fees, commissions, or transportation charges related to the purchase can be counted toward achieving the MBE contract goal, if the agency determines that they are reasonable and not excessive; the actual cost of materials and supplies cannot be counted toward the MBE contract goals.

f. Information obtained from other state and local departments/agencies related to MBE participation in similar construction projects or work in the jurisdiction; and

g. Any other activities or information that may be identified as useful and productive.

h. Procurement agencies may not use quotas.

i. Procurement agencies may not use any project goal-setting process that:

1) Solely relies on the State’s overall percentage goal, or any other jurisdiction’s overall percentage goal; or

2) Fails to incorporate an analysis of:

   • The potential subcontract opportunities available in the prime procurement contract;
   • The availability of certified MBEs to respond competitively to the potential subcontract opportunities;
   • Guidelines established by GOMA; and
   • Other factors that contribute to constitutional goal setting.

3. MBE Sub-goal Setting Procedure:

a. Once an overall MBE participation goal is set for a project contract, each unit shall determine the appropriate contract sub-goals.

b. If the expected value of the procurement is not equal to or in excess of $200,000, the Sub-goal process is discretionary.

c. All State funded public school construction is classified as Construction in the Major Industry Category schedule established by regulation.

1) Accordingly, sub-goals for school construction projects receiving State funding participation apply to the following Subgroups:

   • African American: 7%
   • Asian American: 4%

2) Dually certified firms are to be counted as being owned by a member of the relevant ethnic Subgroup, not as a woman-owned business.

d. Sub-goals shall only be set when the overall goal is greater than or equal to the sum of the sub-goals listed in subsection 3.c.1 of this section, plus two percent (2%), i.e., the overall goal must be at least 13%; otherwise, no sub-goals may be established for the contract.

e. A sub-goal may not be set if the number of certified firms in the Subgroup is less than three (3).
f. If the Subgroup has three (3) or more certified firms available to perform the work, the Recommended Sub-goal should be set at the number specified above, unless a basis is provided in the Procurement Review Group documentation for not applying the specified sub-goal.

g. For each procurement that has an overall goal, the MBE Program Sub-goal Worksheet (Appendix I) shall be completed and signed by the LEA Procurement Officer and MBE Liaison.

4. The Superintendent or designee shall establish one or more procurement review groups (PRG). The PRG must include at a minimum the MBE liaison and the Procurement Officer (PO) or a representative from the procurement office. The PRG could also include a capital improvement project manager, the project architect, the cost estimator, the Construction Manager, and/or other individuals selected by the Superintendent or designee.

a. The PRG should communicate and/or meet as needed to consider the subcontracting goal and sub-goals, if applicable, for individual projects or groups of projects.

b. The PRG should consider the factors cited in 4.0, subsection 2, when establishing the MBE goal and sub-goals, if applicable, for each project or segmented piece of a project that are reasonable and attainable.

c. The PRG must complete and submit a written analysis for each state funded school construction project with an estimated cost that is expected to exceed $200,000.

1) For state-funded projects that required review of construction documents, the written analysis and the MBE Program Worksheet (Appendix I) shall be submitted with the construction documents to the Department of General Services (DGS), and will be reviewed by the DGS for submission, appropriate signatures and correspondence between the goal and sub-goals, if applicable, indicated in the analysis and those of the procurement documents.

2) For state-funded projects that do not require review of construction documents, the written analysis and the MBE Program Worksheet shall be submitted to the PSCP, and will be reviewed for submission and appropriate signatures.

3) For locally funded projects that are anticipating to be requested for state approval of planning and funding, the written analysis and the MBE Program Worksheet shall be submitted with construction documents to the Maryland State Department of Education (MSDE), and will be reviewed for submission, appropriate signatures, and correspondence between the goal and sub-goals, if applicable, indicated in the analysis and those of the procurement documents. Submission of the documents is a pre-condition for recommendation for state approval of planning and funding when submitted in an annual CIP.

4) If the project cost is estimated to exceed $200,000 then a copy of the written analysis shall also be sent to GOMA at the same time that the written analysis is submitted to the DGS or the PSCP.

d. For projects estimated to cost between $50,000 and $200,000 the same analysis form is to be completed and submitted. This could be a responsibility of the PRG, but could be performed by others as well.

1) For state-funded projects that require review of construction documents, the written analysis and the MBE Program Worksheet shall be submitted with the construction documents to the DGS, and will be reviewed for submission, appropriate signatures, and correspondence between the goal and sub-goals, if applicable, indicated in the analysis and those of the procurement documents.

2) For state-funded projects that do not require review of construction documents, the written analysis and the MBE Program Worksheet shall be submitted to the PSCP and will be reviewed for submission and appropriate signatures.

e. The PRG should consult with local counsel for the Board of Education as needed.
5. It is recognized that by utilizing the factors cited in Section 4.0, subsection 2, the MBE goal and/or sub-goals, if applicable, for a specific project or portion thereof may be significantly higher than the overall goals of the program (25% overall, with 7% from African American-owned businesses and 4% from Asian American-owned businesses). It is also recognized and possible that there will be MBE goals set that are lower than those stated above or even that no MBE goal and/or sub-goals will be set for a specific project or the segmented piece of the project.

6. Assistance in reviewing the factors cited above and setting a goal and/or sub-goals, if applicable, for specific projects or a segmented piece of a project can be obtained by contacting the PSCP and/or GOMA.

5.0 IMPLEMENTING PROCEDURES - $50,000 OR LESS

For construction projects estimated to cost $50,000 or less, the following procedures will be utilized:

1. A MBE goal and/or MBE sub-goals are not required to be set for contracts that are anticipated to be for $50,000 or less.

2. All advertisements, solicitations, and solicitation documents shall include the following statement:
   a. "Certified Minority Business Enterprises are encouraged to respond to this solicitation."

3. To encourage greater MBE participation, the staff of the LEA should send out notices of potential projects and a specific project to MBEs to solicit bids or proposals directly from minority business enterprise contractors that are certified.

4. A copy of the solicitation notice, preferably electronically, shall be sent to GOMA at the same time the advertisement for the solicitation is released.

5. When a pre-bid or pre-proposal conference or meeting is held, the MBE liaison or designated representative shall explain that all bidders or offerors are encouraged to utilize certified MBEs for this project or segments of the project.

6. FCPS provides current solicitation packages on the FCPS website: [http://www.fcps.org/bidlist](http://www.fcps.org/bidlist). Large solicitation packages that contain drawings are available thru a third party electronic plan room.

7. Minority Business Enterprise forms identified in Section 6.0 of this procedure for projects over $50,000, are not required to be submitted for these projects ($50,000 or less).

8. The names of prime contractors obtaining drawings and specifications will be shared with certified MBEs and MBE associations, upon request.

9. At the time of the contract award, the MBE Liaison or a designated person will record any anticipated certified minority business enterprise participation data made available from the successful contractor.

10. A business that presents itself as a minority business may participate in a project but may not be counted toward MBE participation until it is a certified minority business enterprise. If the MBE is not certified at the time of contract award, it may not be counted at that time. Only the funds paid after MDOT certification can be counted as MBE participation in the project. If a certified MBE fails to meet the standards specified in State Finance and Procurement Article14-301 (F) and (J), Annotated Code of Maryland, the payments made to the MBE can be recorded and counted under a contract entered into when the MBE was eligible and certified. Ineligibility of an MBE to participate in the MBE program may not be the sole cause of the termination of the MBE contractual relationship for the remainder of the term of the contract.

11. The contractor will complete the Standard Monthly Contractor’s Requisition for Payment (IAC/PSCP Form 306.4), specifically page 3 of 16, Minority Business Enterprise Participation, with each requisition submitted for payment. If certified MBE firms are known at the time of contract award, their names and other appropriate information should be entered on page 3 of the first and all subsequent requisitions for payment. Any MBEs identified during the life of the project should be added as soon as the contractor engages them after approval by the LEA.
12. Upon completion of the project, the contractor will provide a summary of the total of all funds paid to certified MBE firms. This should be within the contractor’s final requisition for payment. The summary shall be forwarded to the PSCP with the close-out paperwork.

6.0 IMPLEMENTING PROCEDURES - Over $50,000

For construction projects estimated to cost in excess of $50,000, the following procedures will be utilized:

1. All advertisements, solicitations, and solicitation documents shall include the following statements:
   a. “Certified Minority Business Enterprises are encouraged to respond to this solicitation notice.”
   b. “The contractor or supplier who provides materials, supplies, equipment and/or services for this construction project shall attempt to achieve the specific overall MBE goal of ___ percent established for this project. All prime contractors, including certified MBE firms, when submitting bids or proposals as general or prime contractors, are required to attempt to achieve this goal from certified MBE firms.”
   c. If sub-goals have been established for this project then one of the following should be included:
      1) “The sub-goals established for this project are ___ percent from African American-owned businesses and ___ percent from Asian American-owned businesses.”
      2) “The sub-goal established for this project is ___ percent from African American-owned businesses.”
      3) “The sub-goal established for this project is ___ percent from Asian American-owned businesses.”
   d. “The bidder or offeror is required to submit with its bid or proposal a completed form “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit” as described in the solicitation documents.
   e. If there are no overall MBE goal or MBE sub-goals established for the project, then only 1.A. above is to be included.

2. Other Advertisement and Outreach Requirements:
   a. To encourage greater MBE participation the staff of the LEA should send out notices of potential projects to MBEs or solicit bids or proposals directly from minority business enterprise contractors that are certified.
   b. A copy of the solicitation notice, preferably electronically, shall be sent to GOMA at the same time the advertisement for the solicitation is released.
   c. FCPS provides solicitation packages on the FCPS website: http://www.fcps.org/bidlist. Large solicitation packages that contain drawings are available thru a third party plan room.
   d. When a pre-bid or pre-proposal conference is held, the MBE Liaison or designated representative shall explain the MBE goal and sub-goals, if applicable; the MBE provisions of the solicitation; the documentation required at the time of submission; its relationship to the responsiveness of the bidder or offeror; how to complete the required schedules, and additional information and supporting documentation that may be required after the bid or proposal opening. All contractors who attend the pre-bid or pre-proposal conference should receive a list or information explaining how to obtain a listing of certified MBE firms who could perform the work or have expressed an interest in performing the school construction work required for the specific project in the jurisdiction.
   e. The names of prime contractors obtaining drawings and specifications will be shared with certified MBEs and MBE associations, upon request.
f. The MBE liaison, in conjunction with the procurement officer or project staff, should respond to all applicable questions and concerns relating to the project’s MBE requirements, completely and in a timely fashion, to ensure that all potential contractors and subcontractors can compete effectively.

3. All Solicitation Documents Shall Include the Following:
   a. “Certified Minority Business Enterprises are encouraged to respond to this solicitation notice”. “All contractors, including certified MBE firms, when submitting bids or proposals as prime contractors are required to attempt to achieve the MBE goal and sub-goals, if applicable, established for the project from certified MBEs”.
   b. “The contractor or supplier who provides materials, supplies, equipment and/or services for this construction project shall attempt to achieve the result that a minimum of __ percent of the total contract value is with certified Minority Business Enterprises, with a minimum of __ percent from certified African American-owned businesses, a minimum of __ percent from certified Asian American-owned businesses, and the balance from any certified Minority Business Enterprises. All contractors, including certified MBE firms, when submitting bids or proposals as prime contractors, are required to attempt to achieve the MBE goal and sub-goals, if applicable, from certified MBEs”.
      Note: see 6.1.C. above for variations that may be required.
   c. Each bid or offer submitted, including a submittal from a certified minority business enterprise in response to this solicitation, shall be accompanied by a completed “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit” and a completed “MBE Participation Schedule”. These two forms must be accurate and consistent with each other.
      1) The forms shall be submitted with the sealed bid price or proposal at a place, date, and time specified in the solicitation document.
      2) As an alternative, and at the discretion of the school system, the “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit” could be submitted with the sealed bid price or proposal at a place, date, and time specified in the solicitation document. The sealed bids or proposals received by the time specified could be held, unopened for a maximum of 30 minutes. Within that time (30 minutes) each bidder or offeror must submit the “MBE Participation Schedule” in a separate sealed envelope. The sealed price envelopes from each bidder or offeror who submits both the sealed bid or proposal and the envelope with “MBE Participation Schedule” will then be opened and reviewed and recorded as a viable submission. Any contractor that fails to submit the second envelope, with the “MBE Participation Schedule”, prior to the specified time allowed (30 minutes) after the submittal of the sealed bid or proposal will be deemed non-responsive and the sealed bid or proposal will not be opened or considered.
   d. The submittal of a completed and signed “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit” and a completed and signed “MBE Participation Schedule” indicates the bidder’s or offeror’s recognition and commitment to attempt to achieve the MBE goal and/or MBE sub-goals, if applicable, for the specific project.
      1) The bidder or offeror recognizes that their efforts made to initiate contact, to solicit, and to include MBE firms in this project will be reviewed carefully and evaluated based upon the actions taken by them prior to and up to 10 business days before the bid or proposal opening. Follow-up actions taken by the bidder or offeror within the 10 business days prior to the bid opening will also be considered.
      2) Based upon this review and evaluation it will be determined, by the MBE liaison, procurement officer, or a designated person, if a good faith effort was made by the apparent low bidder or apparent successful offeror.
e. The bidder or offeror must check one of the three boxes on the "Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit" which relates to the level of MBE participation achieved for the project. The bidder’s or offeror’s signature indicates that in the event that they did not meet the MBE goal or sub-goals, if applicable, that:

1) They are therefore requesting a waiver, and

2) Documentation of their good faith efforts will be provided to the school system staff within 10 business days of being notified that they are the apparent low bidder or apparent successful offeror.

f. The bidder or offeror must submit the "MBE Participation Schedule" (as and when described above), which lists and provides information related to each certified MBE firm that the bidder or offeror will utilize on this project. A completed and accurate "Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit" is required. All of the work specified to be performed by each MBE firm, MDOT certification number, minority type, and percentages must be correct.

g. The "MBE Participation Schedule" should be completed and submitted with all calculations utilizing the base bid or offer only. A revised "MBE Participation Schedule" should be submitted by the successful bidder or offeror once a determination is made as to the acceptance and/or rejection of any alternates.

h. If a request for a waiver has been made, the appropriate box on the "Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit" has been checked and the form signed, then the LEA should obtain and review the apparent low bidder’s or successful offeror’s supporting documentation of the good faith efforts to justify the granting of the waiver, prior to submitting the contract award for approval to the board of education.

i. The following documentation shall be considered as part of the contract, and shall be furnished by the apparent low bidder or successful offeror to the MBE Liaison or designated person, within ten (10) business days from notification that the firm is the apparent low bidder or successful offeror:

1) A completed and signed "Outreach Efforts Compliance Statement" and "Minority Business Enterprise Subcontractor Project Participation Statement". One “Minority Business Enterprise Subcontractor Project Participation Statement” shall be completed and signed by the prime contractor and each MBE firm listed on the "Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit".

2) Notification for purposes of this procedure means the earliest of the following methods of communication: orally in person, orally by telephone, orally by a telephone message, a faxed communication, a letter by date received or an electronic communication.

3) The ten (10) business days do not include the day the notification is received, weekends or holidays (State or Federal), but the material submitted must be received by the close of business on the tenth day.

4) The requirement to submit the above-listed documentation within the time frame specified will be considered by the IAC in its review of the request for contract award for the project. Failure to submit the required documentation within the time frame specified may result in a delay of the approval of the award of the contract, or the materials being returned without the approval of the award of the contract.

4. Waiver Procedures:

a. If the apparent low bidder or successful offeror has determined that they are unable to meet the overall MBE goal or sub-goals, if applicable, for the project at the time of submission of a bid or offer, they must check either of the three boxes on the "Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit". The signature recognizes and acknowledges that a request for a waiver is being made. The apparent low bidder or successful offeror will therefore be required
to submit information and substantiating documentation that will be reviewed to justify the granting of a waiver.

b. If the apparent low bidder or successful offeror is unable to achieve the overall MBE contract goal and/or the MBE sub-goals, if applicable, from certified African American-owned businesses and/or from certified Asian American-owned businesses, the apparent low bidder or successful offeror shall submit, within 10 working days from notification that the firm is the apparent low bidder or successful offeror, a completed “Outreach Efforts Compliance Statement”, “Minority Subcontractors Unavailability Certificate” and “MBE Waiver Documentation” which shall include the following:

1) A detailed statement of the efforts made by the bidder or offeror to identify and select portions of the work proposed to be performed by subcontractors in order to increase the likelihood of achieving the stated goal;

2) A detailed statement of the efforts made by the bidder or offeror prior to and at least ten (10) days before the bid or proposal opening to solicit minority business enterprises through written notices that describe the categories of work for which subcontracting is being solicited, the type of work to be performed and specific instructions on how to submit a bid or proposal;

3) Follow-up actions taken by the bidder or offeror within the 10 days prior to the bid or proposal opening will also be considered;

4) A detailed statement of the contractor’s efforts to make personal contact with MBE firms identified for item (2) above;

5) A record of the name, address, telephone number and dates contacted for each MBE identified under items (2) and (3) above;

6) A description of the information provided to MBEs regarding the drawings, specifications and the anticipated time schedule for portions of the work to be performed;

7) Information on activities to assist minority business enterprises to fulfill bonding requirements or to obtain a waiver of these requirements;

8) Information on activities to publicize contracting opportunities to minority business enterprises, attendance at pre-bid meetings or other meetings scheduled by the MBE Liaison or designated representative; and

9) As to each MBE that placed a subcontract quotation or offer which the apparent low bidder or successful offeror considers not to be acceptable, a detailed statement of reasons for this conclusion.

c. In addition, to any waiver documentation, the apparent low bidder or successful offeror shall submit one completed “Minority Business Enterprises Subcontractor Project Participation Statement” for each MBE firm that will participate in the project consistent with the information previously provided at the time of the submission of the “MBE Participation Schedule” or the revised “MBE Participation Schedule”.

d. A waiver of an MBE contract goal or sub-goal, if applicable, may be granted by the LEA only upon receipt of “Outreach Efforts Compliance Statement”, “Minority Subcontractor Unavailability Certificate” and “MBE Waiver Documentation” as described above in 4. b. items 1 through 9.

1) The MBE Liaison will review and accept or reject the minority business enterprise material that is submitted, and could obtain legal advice or assistance from their attorney.
2) The MBE waiver request may not be considered unless all of the documentation specified above has been submitted in a timely fashion by the apparent low bidder or successful offeror.

3) Assistance in the review of a request for a waiver (the documentation and justifications) may be requested from the Public School Construction Program and/or the Governor’s Office of Minority Affairs.

4) If a determination is made that the apparent low bidder or successful offeror did make a good faith effort, based upon a review of the documentation submitted, then the waiver must be granted. The award of contract shall then be made. The material and information submitted including the LEA’s review and analysis notes and conclusion shall be retained in the project file.

5) If a determination is made that the apparent low bidder or successful offeror did not make a good faith effort, based upon a review of the documentation submitted, then the waiver should not be granted. The material and information submitted including the LEA’s review and analysis notes and conclusion shall be retained in the project file. The award of contract shall then be made to the next lowest bidder or offeror, who meets the contractual requirements, including the MBE requirements.

6) When a waiver is granted, a copy of “MBE Waiver Documentation” accepted and signed by a LEA representative and with the reasons for the determination, shall be forwarded to the Governor’s Office of Minority Affairs and the Public School Construction Program within 10 days after approval of the contract award by the Board of Education. Failure to submit the required documentation within the time frame specified may result in delayed approval of the award of contract by the IAC.

5. All Contracts Shall Include The Following:

   a. The contractor shall perform the contract in accordance with the representations made in the “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit” and the “MBE Participation Schedule” submitted as part of the bid or proposal.

   b. Failure to perform the contract as specified and presented in the bid or proposal submission without prior written consent of the owner shall constitute a violation of a material term of the contract.

      1) The contractor shall structure his/her operations for the performance of the contract to attempt to achieve the MBE goals as stated in the solicitation document.

      2) The contractor agrees to use his/her best efforts to carry out these requirements consistent with the efficient and effective performance of the contract.

      3) The contractor must ensure that all certified MBEs shall have the maximum practical opportunity to compete for additional subcontract work under the contract, even after the award of the contract.

      4) The contractor shall submit monthly to the MBE Liaison or the LEA’s designated representative a report listing any unpaid invoices, over 30 days old, received from any certified MBE subcontractor, the amount of each invoice and the reason payment has not been made.

      5) The contractor shall include in its agreements with its certified MBE subcontractors, a requirement that those subcontractors submit monthly to the MBE Liaison or appropriate representative a report that identifies the prime contract and lists all payments received from the contractor in the preceding 30 days, as well as any outstanding invoices, and the amount of those invoices.
6) The contractor shall cooperate in any reviews of the contractor’s procedures and practices with respect to minority business enterprises, which the MBE Liaison, the PSCP, and/or GOMA may, from time to time, conduct.

7) The contractor shall maintain such records as are necessary to confirm compliance with its MBE participation obligations. These records must indicate the identity of certified minority and non-minority subcontractors employed on the contract, the type of work performed by each, and the actual dollar value of work performed. Subcontract agreements documenting the work performed by all MBE participants must be retained by the contractor and furnished to the MBE Liaison and/or appropriate representative on request.

8) All records concerning MBE participation must be retained by the contractor for a period of five years after final completion of the contract, and will be available for inspection by the MBE Liaison, representatives from the PSCP and/or other designated official entities.

9) At the option of the MBE Liaison, or appropriate agency representative, upon completion of the contract and before final payment and/or release of retainage, the contractor shall submit a final report in affidavit form and under penalty of perjury, of all payments made to, or withheld from MBE subcontractors.

10) If at any time after submission of a bid or proposal and before execution of a contract, the apparent successful bidder or offeror determines that a certified MBE listed on its “MBE Participation Schedule” has become or will become unavailable, then the apparent successful bidder or offeror shall immediately notify the procurement officer and provide such officer with a reason(s) why the change has occurred. Any desired change in the “MBE Participation Schedule” shall be approved in advance by the procurement officer and shall indicate the contractor’s efforts to substitute another certified MBE subcontractor to perform the work. Desired changes occurring after the date of contract execution may occur only upon written approval by the agency head and subsequently by contract amendment.

11) A business that presents itself as a minority business may participate in a project but the contract value may not be counted toward the MBE goal or sub-goals, if applicable, until the business is certified by MDOT. If it is not certified at the time of contract award it may not be counted toward the goal or sub-goals, if applicable, at that time. Only the funds paid after MDOT certification can be counted toward meeting the MBE goal or sub-goals, if applicable. If a certified MBE fails to meet the standards specified in State Finance and Procurement Article 14-301.1, Annotated Code of Maryland, the payments made to the MBE can be recorded and counted under a contract entered into when the MBE was eligible and certified. Ineligibility of an MBE to participate in the MBE program may not be the sole cause of the termination of the MBE contractual relationship for the remainder of the term of the contract.

12) Contractors are encouraged to seek additional MBE participation in their contracts during the life of the project. Any additional MBE participation from certified MBEs should be reported to the MBE liaison prior to initiation and should be included in subsequent monthly requisitions for payment.

13) The contractor shall complete the Certified Minority Business Participation Standard Monthly Contractor’s Requisition for Payment (IAC/PSCP Form 306.4), specifically page 3 of 16, Minority Business Enterprise Participation, with each requisition submitted for payment this submittal should accurately reflect the payments to be made that month to MBEs and the cumulative total for the period specified. Any and all MBE firms that are identified on the “MBE Participation Schedule” should be included on page 3 of the first and all subsequent requisitions for payment. Any MBEs identified during the life of the project should be added as soon as the contractor engages them.
At the completion of the project the contractor shall prepare a written summary of the final certified MBE participation in the contract as compared to the proposed participation at the time of contract award. This should include the name of each certified MBE, the percentage and amount that was anticipated to be paid at the time of contract award, the percentage and amount actually paid, and an explanation of any differences that have occurred. Special attention should be given to any situations where the final payments to any MBE were below the level of commitment at the time of contract award. The summary shall be forwarded to the LEA with the final requisition. The LEA shall include this documentation with the submittal of the close-out paperwork to the PSCP.

6. Projects Utilizing a Construction Manager Delivery Method

This section of the procedure has been prepared based upon the utilization of Construction Manager Agency method of delivery. If another alternative method of project delivery is being considered, then these procedures would need to be adapted in consultation with the PSCP before proceeding.

a. For projects that are being designed and solicited utilizing a Construction Manager Agency delivery method with multiple prime contracts, the LEA can structure its procedures to attain the overall MBE goal and sub-goals, if applicable, for the project as presented below:

b. The MBE liaison and other LEA staff should work with the project’s construction manager, cost estimator, and architect, along with any other individuals who could provide assistance, to determine the overall MBE utilization strategy for the work required, appropriate bid packages, and an appropriate overall MBE goal and sub-goals, if applicable, for each specific bid or proposal package.

c. The overall MBE goal and sub-goals, if applicable, for the project shall represent the aggregate of the individual goals and sub-goals, if applicable, set for each bid or proposal package.

d. In setting the specific goals and sub-goals, if applicable, for each solicitation package consideration should be given to the potential for MBE participation to the maximum extent possible. The information and procedures provided in section 4.0 MBE Goal Setting Procedures should be consulted and followed for these types of projects.

e. Prior to submitting the construction documents for State review and authorization to solicit bids or proposals, the LEA’s representative will prepare a complete list of the individual solicitation packages and indicate the MBE goal and sub-goals, if applicable, for each solicitation package. This would include the overall MBE goal and sub-goals, if applicable, established in the solicitation documents, the estimated cost for each solicitation package, and the estimated MBE dollar amounts for each solicitation package. A copy of this list should be submitted with the construction documents. The list should be retained as a record by the LEA for comparison to the actual contracts awarded with MBE participation, and the final actual MBE participation at the completion of the project.

f. Contractors submitting bids or proposals for solicitation packages that do not include a MBE goal and sub-goals, if applicable, would not be required to submit any of the MBE schedules that are otherwise required nor would they be required to indicate that they are requesting a waiver. The LEA representative would, however, request information from the contractor at the completion of the project to determine if any certified MBE firms had participated in the contract.

g. All other submittals of MBE materials and reporting requirements are applicable for the project, including the submittal of the “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit” and “MBE Participation Schedule” as described above in section 6.0. This includes the documentation for a request for a waiver, if applicable and appropriate.

7. Projects Utilizing an Indefinite Delivery/Indefinite Quantity (IDIQ) or Job Order Contracting (JOC) Method of Delivery:
a. The solicitation should be prepared and the overall MBE goal and sub-goals, if applicable, established based upon the type of work that is anticipated to be specified or performed under the contract and the availability of certified MBEs. This could include an analysis of the percentages of the different types of work, the estimated dollar value in the entire contract, and the availability of MBEs.

b. If an overall goal and sub-goals, if applicable, are set the bidders or offerors would be required to submit “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit” in which they could indicate their anticipated MBE participation based upon the entire contract amount and the types of work specified. The award of contract can be made based upon their estimate of MBE participation since there is no specific task order or description of work to be performed and subcontractors have not been identified or engaged through any type of commitment or subcontract.

c. Since MBE participation is only anticipated in a general sense as an objective and specific contracts to MBEs have not been signed, then the contract award would not be included in any reporting to the PSCP or subsequent reporting to GOMA.

d. However, as the contract proceeds and individual task orders and/or purchase orders are issued, the contractor should submit the “MBE Participation Schedule” for any and all projects or work where MBE subcontractors and/or suppliers might reasonably be utilized. Discussions between the contractor or offeror and the LEA as the task orders and/or purchase orders are being developed should address this aspect of the contract requirements.

e. Any MBE participation should be recorded by the MBE liaison and reported to the PSCP as the task orders and/or purchase orders are approved.

f. The contractor shall complete the Certified Minority Business Participation Standard Monthly Contractor’s Requisition for Payment (IAC/PSCP FORM 306.4), specifically page 3 of 16, Minority Business Enterprise Participation, with each requisition submitted for payment. This submittal should accurately reflect the payments to be made that month to MBEs, and the cumulative total for the period specified. Any and all MBE firms that are identified on the “MBE Participation Schedule” should be included on page 3 of the first and all subsequent requisitions for payment. Any MBEs identified during the life of the project should be added as soon as the contractor engages them.

g. At the completion of the contract period or the full utilization of the contract’s value a report should be prepared by the LEA MBE Liaison and submitted to the PSCP summarizing the MBE participation in each and all of the task orders or purchase orders issued under the contract. This should include the anticipated MBE participation prior to the issuance of the solicitation, the MBE participation anticipated at the time of contract award and the actual MBE participation at the completion of the contract. The summary shall be forwarded to the LEA with the final requisition. The LEA shall include this documentation with the submittal of the close-out paperwork to the PSCP.

8. Projects Utilizing the Design/Build Delivery Method:

a. The solicitation is for both A/E services and the actual construction of a public school project. The solicitation should be prepared and the MBE goal and sub-goals, if applicable, established for the construction work that is anticipated for the project. The goal setting procedures described in Section 4.0 above should be utilized for these types of projects.

b. The bidders or offerors should be required to submit “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit” on which they would indicate their anticipated MBE participation based upon the construction work anticipated and their understanding of the MBE goal and sub-goals, if applicable, the types of work involved, and the availability of certified MBEs for the project. Since there are no detailed plans or designs for the project and there are no contracts or subcontracts for the actual construction work there is no need to submit any other MBE schedules, at this time.
c. If the bidder, or offeror, who is to be awarded this contract has indicated that they do not anticipate achieving the overall MBE participation goal and sub-goals, if applicable, for this project on the “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit” then they are in effect requesting a waiver. They will be required to submit documentation at a later date to justify this request.

d. As the project proceeds through the design phase and the project is nearing the completion of the construction documents for submission to the State to review, the Design/Build Team (team) in consultation with LEA representatives should discuss the opportunities and potential for certified MBEs to participate in the project.

e. The team should begin to identify potential contractors and subcontractors, opportunities to segment the project, and MBEs that could participate in the project.

f. At a point in time that is approximately 30 days prior to the anticipated construction document submission to the State; the team should complete and submit a revised “MBE Participation Schedule” to the LEA for their review and approval.

g. If the team had indicated on the original “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit” that they would meet the goals and the information on the “MBE Participation Schedule” indicates that they did meet the goals then the team should proceed with the construction of the project.

h. If the team had indicated on the “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit” that they did not anticipate meeting the overall MBE goal and sub-goals, if applicable, or only a portion of the goal and sub-goals, if applicable, then the “MBE Participation Schedule” should be reviewed by the LEA. The team should, at this time, submit their documentation in support of the waiver requested.

i. The proposed MBE participation should be reviewed and a determination made as to whether the team has made a good faith effort to meet the MBE goals and sub-goals, if applicable, established for the project and as stated on the revised “Certified Minority Business Enterprise Utilization and Fair Solicitation Affidavit”.

j. If a request for a waiver is made and approved, “MBE Waiver Documentation” should be signed by a LEA representative and submitted to the PSCP and GOMA.

k. Since there was no MBE participation reported at the time of the award of the Design/Build contract, the LEA would submit the entire package of information, including all of the MBE related schedules to the PSCP within ten (10) days of the team being directed to proceed with the actual construction work.

l. All other submittals of MBE materials and reporting requirements are applicable for the project, as described above in Section 5.0.

7.0 RECORDS AND REPORTS

1. The MBE Liaison shall maintain such records as are necessary to confirm compliance with its Minority Business Enterprise Procedures and activities. The records shall be maintained until the project is audited by the PSCP. These records shall include by project:

   a. The contractor report submitted at the completion of the project;

   b. The identity of the minority contractors employed on the project;

   c. The type of work performed;

   d. The actual dollar value of the work, services, supplies or equipment; and
e. The MBE percentage of the total contract.

2. The MBE Liaison will maintain a record of all waivers approved for each project or solicitation package where the prime contractor was unable to achieve the established overall goal or sub-goals, if applicable. The MBE Liaison will, however, report to the PSCP all MBE participation by MDOT certified firms who are prime contractors, subcontractors, suppliers, or otherwise making an economically viable contribution to each project. This information shall be reported to PSCP within ten (10) days after approval of the award of the contract by the board of education.

3. The LEA shall submit the “Certified Minority Business Enterprise Participation Standard Monthly Contractor’s Requisition for Payment” (IAC/PSCP Form 306.4 page 3 of 16, located in the Administrative Procedures Guide), to the PSCP Director of Fiscal Services as part of the regular monthly request for payment for the project.

4. The LEA shall submit the “Close-Out Cost Summary” (IAC/PSCP Form 306.6 located in the Administrative Procedures Guide), along with the “Certified Minority Business Enterprise Participation Standard Monthly Contractor’s Requisition for Payment” (IAC/PSCP Form 306.4) to the PSCP Director of Fiscal Services within 180 days of completion of the project.

   a. All final MBE payments should be verified by the LEA MBE Liaison before submission to the PSCP.

5. Each quarter and at the end of each fiscal year end, the LEA will submit to the, PSCP Fiscal Services a report “Payments Made to Contractors during The Fiscal Year” and maintain such records as are necessary to confirm compliance with its minority business enterprise procedures and activities.

6. Each fiscal year end, PSCP Fiscal Services will create a report “Projects Completed during the Fiscal Year” and maintain such records as are necessary to confirm compliance with its Minority Business Enterprise Procedures and activities. This report will compare the overall MBE goal and sub-goals, if applicable, for each specific project with the MBE participation anticipated at the time of contract award and the actual MBE participation at the completion of the project.

8.0 MONITORING

1. The LEA’s procurement personnel or project staff shall verify that the certified MBE’s listed in the MBE participation schedule are actually performing the work.

2. The LEA’s procurement personnel or project staff shall ensure that MBE subcontractors are receiving compensation as set forth in the “MBE Participation Schedule” by ensuring that the contractor submits monthly reports, listing any unpaid invoices over 30 days old received from any certified MBE subcontractor, the amount of each invoice, and the reason payment has not been made.

3. PSCP Fiscal Services will:

   a. Compile data on projects completed during the fiscal year;

   b. Confirm that all MBE subcontractors listed in the “MBE Participation Schedule” have received payment; and

   c. Maintain such records as are necessary to confirm compliance with its Minority Business Enterprise Procedures and activities.

4. The MBE Liaison and/or the PSCP will conduct reviews as deemed necessary to confirm compliance with the minority business enterprise participation requirements.

5. The MBE Liaison will maintain appropriate records, and shall assist the PSCP in on-site or post-audit reviews upon request.

6. Auditors from the PSCP will have access to and the ability to audit MBE participation for specific projects, information retained by the LEA, and/or submitted to the IAC in reports/forms filed by the LEA as referenced above.
9.0 MINORITY BUSINESS ENTERPRISE LIAISON

1. The Superintendent shall designate an individual to be identified as the MBE Liaison for the school system.

2. The MBE Liaison will be the contact person who will work with the PSCP and GOMA to implement the Minority Business Enterprise Program for the school system and the State of Maryland.

3. The Superintendent will immediately notify the PSCP if there is a change in the MBE Liaison for the school system.

10.0 PAYMENT/REIMBURSEMENT FOR ALL PSCP FUNDED PROGRAMS

1. Use IAC/PSCP Form 306.4 Page 3. (“Certified Minority Business Enterprise Participation Standard Monthly Contractor’s Requisition for Payment”)

2. The Prime Contractor must complete this Form and submit it with each Monthly Requisition/Invoice for Payment for each project in which they are seeking payment from either the Local Education Agency (LEA) or State of Maryland Public School Construction Program. If no MBE Sub-Contractors were utilized on a project (i.e., no MBE goals were set for the project and/or full waiver was granted), this Form must still be submitted by the Prime Contractor.

a. IAC/PSCP Form 306.4 Page 3 must be PROJECT specific – If one bid/contract covers multiple projects (either different schools or scopes of work), this Form must be calculated and submitted by the Prime Contractor on an individual project basis.

b. IAC/PSCP Form 306.4 Page 3 must be Prime Contractor/Trade Package specific – If the IAC recognized multiple Prime Contractors and/or Trade Packages, this Form must be completed by each Prime/Trade Contractor recognized by the IAC and submitted.

3. All ORIGINAL MBE Sub-Contractors must be listed on this Form with their full company name, MDOT Certification Number, MDOT Classification and ORIGINAL Contract Amount as stated on the “MBE Participation Schedule” and “Minority Business Enterprises Subcontractor Project Participation Statement”. (ONLY MDOT Certified companies should be listed on this Form.)

4. Any additional MBE Sub-Contractors utilized on a project must be listed on this Form with their full company name, MDOT Certification Number, MDOT Classification and total contract amount. (ONLY MDOT Certified companies should be listed on this Form.)

5. The Prime Contractor should fill in the amount they intend to pay each MBE Sub-Contractor for the current requisition as well as all money paid to date. By signing this Form, the Prime Contractor is certifying their intent to pay the “Amount to be Paid This Requisition”. They are also certifying the distribution of money listed under the “Total Paid to Date” column.

6. The LEA MBE Liaison shall verify each month with the MBE Sub-Contractors that all money listed under the “Total Paid to Date” column has been received from the Prime Contractor. By signing this Form, the LEA MBE Liaison is certifying all MBE Sub-Contractors have been paid all money due to them by the Prime Contractor.

7. The MBE Liaison should also be comparing the current Form with the prior month(s) to make sure information is not being duplicated and/or repeated. Payments to MBE Sub-Contractors should be progressive and recorded.

8. If for any reason, an amount the Prime Contractor listed on the Form as intending to pay the MBE Sub-Contractor was not made, or if the payment amount changed, the LEA MBE Liaison should be inquiring about the change in payment or non-payment to the MBE Sub-Contractor.
9. NO REQUESTS FOR PAYMENT/REIMBURSEMENT SHOULD BE SUBMITTED TO PSCP UNTIL THE PROCEDURES ABOVE HAVE BEEN COMPLETED.

11.0 CLOSE-OUT SUMMARY SUBMISSION

1. Use IAC/PSCP Form 306.6 (“Close Out Cost Summary”).

2. The Prime Contractor must complete this Form and submit it with the FINAL Requisition (IAC/PSCP Form 306.4) to the LEA or upon LEA request. If no MBE Sub-Contractors were utilized on a project (i.e., no MBE goals were set for the project and/or a full waiver was granted), this Form must still be submitted by the Prime Contractor.

   a. IAC/PSCP Form 306.4 Page 3 must be PROJECT specific – If one bid/contract covers multiple projects (either different schools or scopes of work), this Form must be calculated and submitted by the Prime Contractor on an individual project basis.

   b. IAC/PSCP Form 306.4 Page 3 must be Prime Contractor/Trade Package specific – If the IAC recognized multiple Prime Contractors and/or Trade Packages, this Form must be completed by each Prime/Trade Contractor recognized by the IAC and submitted.

3. All ORIGINAL MBE Sub-Contractors must be listed on this Form with their full company name, MDOT Certification Number, MDOT Classification and ORIGINAL Contract Amount as stated on the “MBE Participation Schedule” and “Minority Business Enterprises Subcontractor Project Participation Statement” (ONLY MDOT Certified companies should be listed on this Form.)

4. Any additional MBE Sub-Contractors utilized on a project must be listed on this Form with their full company name, MDOT Certification Number, MDOT Classification and total contract amount. (ONLY MDOT Certified companies should be listed on this Form.)

5. The Final Form 306.4 should reflect ALL money paid to each MBE Sub-Contractor. There is a column on the Form to answer “Yes” or “No” for the MBE Sub-Contractor being paid in full. There is also a column on the Form for the Prime Contractor to state a brief reason if a MBE Sub-Contractor was paid less than the original contract amount stated on the “MBE Participation Schedule” and “Minority Business Enterprises Subcontractor Project Participation Statement”. By signing this Form, the Prime Contractor is certifying the MBE Sub-Contractors have been paid in full for this project.

6. The LEA MBE Liaison shall verify with the MBE Sub-Contractors that all money listed under the “Total Paid to Date” column has been received and no additional money is owed to them by the Contractors have been paid in full by the Prime Contractor for this project.

7. NO CLOSE-OUT COST SUMMARY SHOULD BE SUBMITTED TO PSCP UNTIL THE ABOVE PROCEDURES HAVE BEEN COMPLETED.

Additional Submission Requirements Applicable to All State Funded Projects

1. If an ORIGINAL MBE Sub-Contractor listed on the “MBE Participation Schedule” and “Minority Business Enterprises Subcontractor Project Participation Statement” is not paid in full and/or not utilized on a project, the Prime Contractor shall submit in writing an explanation for either the reduction in contract amount/payment or why the MBE Sub-Contractor was not utilized.

2. It is the responsibility of the LEA MBE Liaison to contact the MBE Sub-Contractor to verify the explanation provided by the Prime Contractor. Any correspondence between the LEA MBE Liaison and both the Prime Contractor and MBE Sub-Contractors should be kept by the LEA and be made available to PSCP upon request or audit.

3. If an MBE Sub-Contractor originally listed on the “MBE Participation Schedule” and “Minority Business Enterprises Subcontractor Project Participation Statement” becomes unavailable and/or is not going to be utilized. This information should be communicated to the PSCP MBE Program Manager and the PSCP Finance Department by the LEA immediately.
4. If additional MBE Sub-Contractors are hired after the “MBE Participation Schedule” and “Minority Business Enterprises Subcontractor Project Participation Statement” have been submitted to PSCP, the LEA MBE Liaison must submit this information to the PSCP MBE Program Manager and the PSCP Finance Department immediately.

12.0 LIQUIDATED DAMAGES PROVISION FOR CONTRACTS CONTAINING MINORITY BUSINESS ENTERPRISE PARTICIPATION GOALS

Chapter 154, Laws of Maryland 2012 required the Board of Public Works (BPW) to promulgate a regulation that included a requirement that all contracts containing minority business enterprise participation goals contain a liquidated damages provision that applies in the event that the contractor fails to comply in good faith with the provisions of the Subtitle 11 of Title 21 or the pertinent terms of the applicable contract. See § 14-303(b)(5), State Finance and Procurement Article, Maryland Annotated Code (SFP).

The regulation promulgated by the BPW, effective May 13, 2013, states that: “All contracts containing certified MBE participation goals shall contain a liquidated damages provision that applies if the contractor fails to comply in good faith with the provisions of State MBE laws or the pertinent terms of the procurement contract.” Code of Maryland Regulations (COMAR) 21.11.03.10(E).

Approved:

Original signed by

Theresa R. Alban
Superintendent of Schools
Outreach Efforts Compliance Statement

**Complete and submit this form within 10 business days of notification of apparent award**

In conjunction with the bid or offer submitted in response to Frederick County Public Schools for the solicitation for [project name, PSC#], I affirm the following:

1. Bidder/Offeror identified opportunities to subcontract in these specific work categories (extend list as needed):
   a. __________________________
   b. __________________________
   c. __________________________
   d. __________________________
   e. __________________________
   f. __________________________

2. Attached to this form are copies of written solicitations (with bidding instructions) used to solicit certified MBEs for these subcontract opportunities.

3. Bidder/Offeror made the following attempts to contact personally the solicited certified MBEs (extend list as needed):
   a. __________________________________________________________
   b. __________________________________________________________
   c. __________________________________________________________

4. Select ONE of the following:
   a. □ This contract does not involve bonding requirements.
   OR
   b. □ Bidder/Offeror assisted certified MBEs to fulfill or seek waiver of bonding requirements (describe efforts).

5. Select ONE of the following:
   a. □ Bidder/Offeror did/did not attend the pre-bid/proposal conference.
   OR
   b. □ No pre-bid/proposal conference was held.

Bidder/Offeror Printed Name: _______________________________
Signature: _______________________________
Title: _______________________________
Date: _______________________________
Address: __________________________________________
________________________________________

October 2017
MINORITY BUSINESS ENTERPRISES SUBCONTRACTOR PROJECT PARTICIPATION STATEMENT

PROJECT/ SCHOOL NAME: ____________________________________________________

PROJECT/ SCHOOL LOCATION: ____________________________________________

LEA: _________________________________________________________________

NAME OF PRIME CONTRACTOR: __________________________________________

NAME OF MBE SUBCONTRACTOR: _________________________________________

  MDOT Certification Number                           NAICS Code

1. Work/Services to be performed by MBE Subcontractor: ___________________________

2. Subcontract Amount: $ __________________________ Participation Amount $_________

3. Bonds - Amount and type required of Subcontractor if any: ___________________________

4. MBE Anticipated or Actual Commencement Date: ________________ Completion Date: _________

5. This MBE subcontract represents the following percentage of the total contract cost: _________

6. This is an African American Firm: Yes ______ No _________

7. This is an Asian American Firm: Yes ______ No _________

8. This is a Native American, Hispanic or Disabled Firm: Yes ______ No _________
   (Circle One)

The undersigned subcontractor and prime contractor will enter into a contract for the work/service indicated above upon the prime contractor’s execution of a contract for the above referenced project with the Board of Education. The undersigned subcontractor is a MDOT certified Minority Business Enterprise. The terms and conditions stated above are consistent with our agreements.

Signature of Subcontractor: ________________________________________________

Date: __________________________

The term and conditions stated above are consistent with our agreements.

Signature of Prime Contractor: ______________________________________________

Date: __________________________
MINORITY SUBCONTRACTOR UNAVAILABILITY CERTIFICATE

1. It is hereby certified that the firm of  
   (Name of Minority firm)
located at  
   (Number) (Street)
   (City) (State) (Zip)

   was offered an opportunity to bid on the school project
   in County by (Name of Prime Contractor’s Firm)

2. (Minority Firm), is either unavailable for the work/service or unable to prepare a bid for this project for the following reason(s):

   __________________________________________________________
   __________________________________________________________
   __________________________________________________________

   Signature of Minority Firm’s MBE Representative  Title  Date

   MDOT Certification #  Telephone #

3. To be completed by the prime contractor if Section 2 of this form is not completed by the minority firm.

   To the best of my knowledge and belief, said Certified Minority Business Enterprise is either unavailable for the work/service for this project, is unable to prepare a bid, or did not respond to a request for a price proposal and has not completed the above portion of this submittal.

   Signature of Prime Contractor  Title  Date

October 2017
Attachment F

MBE WAIVER DOCUMENTATION

Project Name: ____________________________

PSC No. ____________________________

Base Contract Amount $ ____________________________

Plus Accepted Alternates $ ____________________________

Equals Total Contract Amount $ ____________________________

I have previously requested that a waiver be granted to the overall MBE goal for this project of _____ percent, with a minimum of ____ percent from certified African American-owned businesses, a minimum of _____ percent from certified Asian American-owned businesses, and the balance from all certified minority business enterprises, if applicable. This would include the total dollar value of all materials, supplies, equipment, and services, including construction services directly or indirectly, from Minority Business Enterprises (MBE) which are currently certified by the Maryland Department of Transportation (MDOT).

I ____________________________, hereby certify that my position is ____________________________ , and I am the duly authorized representative of ____________________________. (Name of Company Representative) (Position Title)

______________________________ , (Company Name)

I further certify that I have submitted a Schedule for Participation of Certified Minority Business Enterprises which reflects the percentage and dollar value of certified Minority Business Enterprise participation which my company expects to achieve for this contract. Therefore, the request for the waiver is as follows:

Summary MBE Participation Schedule from Attachment B

<table>
<thead>
<tr>
<th>Minority Group</th>
<th>MBE GOAL</th>
<th>Actual MBE Participation</th>
<th>Request For Waiver</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Dollar Value of Total Contract*</td>
<td>Percent of Total Contract</td>
<td>Dollar Value</td>
</tr>
<tr>
<td>a. Sub Goal</td>
<td>African American</td>
<td></td>
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</tr>
<tr>
<td>b. Sub Goal</td>
<td>Asian American</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c. Other * in Sub Goal group a/b above</td>
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<tr>
<td>TOTALS</td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

* with accepted/rejected alternates

Page 1 of 2

October 2017
To support this request for a waiver, I include the following information as attachments which I certify to be true to the best of my knowledge.

1. A detailed statement of the efforts made by the contractor to identify and select portions of the work proposed to be performed by subcontractors in order to increase the likelihood of achieving the stated goal;

2. A detailed statement of the efforts made by the contractor prior to and up to 10 days before the bid opening to solicit minority business enterprises through written notices that describe the categories of work for which subcontracting is being solicited, the type of work to be performed, and specific instructions on how to submit a bid;

3. A detailed statement of the contractor’s efforts to make personal contact with MBE firms identified for Item 2. above;

4. A record of the name, address, telephone number, and dates contacted for each MBE identified under items 2. and 3. above;

5. A description of the information provided to MBE’s regarding the plans, specifications and the anticipated time schedule for portions of the work to be performed;

6. Information on activities to assist minority business enterprises to fulfill bonding requirements, or to obtain a waiver of these requirements;

7. Information on activities to publicize contracting opportunities to minority business enterprises, attendance at pre-bid meetings, or other meetings scheduled by the MBE Liaison or designated representative;

8. As to each MBE that placed a subcontract quotation or offer which the apparent low bidder or successful offeror considers not to be acceptable, a detailed statement of reasons for this conclusion; and

9. A list of minority subcontractors found to be unavailable. This shall be accompanied by a Minority Subcontractor Unavailability Certificate signed by the minority business enterprise or from the apparent low bidder or successful offeror indicating that the minority business did not provide the written certification.

[Signature] ________________________  [Date] ________________________
(Company Representative Name)

[Sworn and subscribed before me this] ________________________ day.
[ ] ________________________  [ ] ________________________
of ________________________ in the year ________________________ Notary Public

[Reviewed and accepted by the] ________________________ County Board of Education MBE Liaison.
[County Name]

[Signature] ________________________  [Date] ________________________
(County Representative Name)
## Attachment G

### CERTIFIED MINORITY BUSINESS ENTERPRISE PARTICIPATION

### STANDARD MONTHLY CONTRACTOR’S REQUISITION FOR PAYMENT

**LEA:** ___________________________  **DATE:** ___________________________

**FACILITY NAME:** ___________________________  **PSC NO:** ___________________________

**SCOPE OF WORK:** ___________________________  **REQ NO:** ___________________________

<table>
<thead>
<tr>
<th>Name of MBE Sub-Contractor</th>
<th>MDOT Certification Number and Classification</th>
<th>TOTAL MBE Contract Amount</th>
<th>Amount to be Paid THIS Requisition</th>
<th>TOTAL Paid to Date</th>
<th>MBE has Received FINAL Payment?</th>
<th>If amount paid is LESS than TOTAL MBE Contract Amount, EXPLAIN VARIANCE</th>
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</table>

**TOTAL:** $  $  $  

MDOT Certification Number and Classification can be located at [http://mbe.state.mdot.state.md.us/diretory/](http://mbe.state.mdot.state.md.us/diretory/)

### MBE Classification:

- African American = AA
- Hispanic American = H
- Native American = N
- Asian American = A
- Women = W
  
- African American/Women = AAW
- Hispanic American/Women = HW
- Native American/Women = NW
- Asian American/Women = AW

I certify that the figures and information presented above represent accurate and true statements that timely payments have been and will be, made to suppliers and subcontractors on the project, as requisitioned payments are received, and in accordance with our contracts.

______________________________  _________________________________
Name of Contractor Firm  Authorized Contractor Signature/Date

______________________________  _________________________________
Contractor Federal Tax ID#  Contractor MBE Classification # (if applicable)

______________________________  _________________________________
Name of LEA MBE Liaison (Printed)  Signature of LEA MBE Liaison/Date
CLOSE-OUT COST SUMMARY

LEA: ___________________________  DATE: ____________
SCHOOL NAME: ___________________  PSC #: ____________

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</table>

I hereby certify that the data shown hereon is correct and request this project be closed.

Signature of LEA Representative

FOR STATE USE ONLY

ADJUSTMENTS:

Allocation: ___________________________  Initials:

Cash: ___________________________  Date:

AUDIT COMMENTS:

Initials:

Date:

Revised 7/1/00
MBE PROGRAM SUBGOAL WORKSHEET – Appendix I

This Worksheet must be completed for all procurements that have an overall goal. It requires the approval of the Procurement Officer and the MBE Liaison, who also must sign the Worksheet. Each unit must maintain a copy of the Worksheet as a part of the Procurement Review Group (PRG) documentation for the procurement.

<table>
<thead>
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<th>Agency/Unit:</th>
<th>Project Description:</th>
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<tbody>
<tr>
<td>Signature of Procurement Officer</td>
<td>Date</td>
</tr>
<tr>
<td>Signature of MBE Liaison</td>
<td>Date</td>
</tr>
</tbody>
</table>

1. What is the expected value of the procurement (excluding options)? $

2. Does the expected value of the procurement equal or exceed $200,000?

   ( ) Yes ( ) No

   ☑ If YES, completion of the rest of this Worksheet to determine the appropriate subgoals, if any, is mandatory.

   ☑ If NO, the unit may, at its discretion, determine that subgoals will not be set for the procurement. If, however, the unit determines that subgoals should be set, the rest of this Worksheet must be completed to determine the appropriate subgoals, if any.

3. Select, from Table 1 below, the Major Industry Category for the procurement based on the definitions in COMAR 21.01.02.01.

4. What is the Combined Industry Category, from Table 1 below, based on the Major Industry Category selected for the procurement in Step 3?

   **TABLE 1 – INDUSTRY CATEGORY CONVERSION CHART**

<table>
<thead>
<tr>
<th>Major Industry Category</th>
<th>Combined Industry Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>Architectural &amp; Engineering</td>
<td>AE-CRS</td>
</tr>
<tr>
<td>Construction</td>
<td>Cons</td>
</tr>
<tr>
<td>Construction Related Services</td>
<td>AE-CRS</td>
</tr>
<tr>
<td>Human, Cultural, Social &amp; Educational Services</td>
<td>Serv</td>
</tr>
<tr>
<td>IT Services</td>
<td>IT IT</td>
</tr>
<tr>
<td>IT Supplies &amp; Equipment</td>
<td>Main</td>
</tr>
<tr>
<td>Maintenance</td>
<td>Serv</td>
</tr>
<tr>
<td>Services</td>
<td>CSE</td>
</tr>
<tr>
<td>Supplies &amp; Equipment</td>
<td></td>
</tr>
</tbody>
</table>

Page 1 of 2 (Issued 7/1/2011)
5. Check the appropriate column for the Combined Industry Category in Table 2 below based on the answer to Step 4.

6. What is the Subgoal Total Plus 2% Margin shown in the last line of Table 2 below for the Combined Industry Category?

7. What is the overall MBE participation goal for the procurement?

8. Does the overall MBE participation goal for the procurement equal or exceed the Subgoal Total Plus 2% Margin? (Compare Steps 6 and 7)

☐ If YES, proceed with the remaining steps in this Worksheet.
☐ If NO, do not set any subgoals for the procurement.

9. For Column 9 in Table 2, insert the number of certified firms available to perform the work of the procurement for each Subgroup that has a Recommended Subgoal. (NOTE: For purposes of determining the number of certified firms in a Subgroup, count dually certified firms as being owned by a member of the relevant racial or ethnic Subgroup, not as a woman-owned firm.)

10. For column 10 in Table 2, insert a “Y” (for Yes) or “N” (for No) to indicate whether the number of certified firms in a Subgroup that has a Recommended Subgoal equals or exceeds 3 firms.

**TABLE 2 – RECOMMENDED SUBGOALS**

<table>
<thead>
<tr>
<th>Combined Industry Category</th>
<th>Cons</th>
<th>AE-CRS</th>
<th>Main</th>
<th>IT</th>
<th>Serv</th>
<th>CSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Check appropriate column per Step 5.)</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>9. # of Firms</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Subgroups</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>African American</td>
<td>7%</td>
<td>6%</td>
<td>8%</td>
<td>7%</td>
<td>7%</td>
<td>6%</td>
</tr>
<tr>
<td>Hispanic American</td>
<td>—</td>
<td>2%</td>
<td>3%</td>
<td>2%</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>Asian American</td>
<td>4%</td>
<td>—</td>
<td>3%</td>
<td>—</td>
<td>4%</td>
<td>5%</td>
</tr>
<tr>
<td>Women</td>
<td>—</td>
<td>9%</td>
<td>—</td>
<td>8%</td>
<td>12%</td>
<td>10%</td>
</tr>
<tr>
<td>Subgoal Total</td>
<td>11%</td>
<td>17%</td>
<td>14%</td>
<td>17%</td>
<td>23%</td>
<td>21%</td>
</tr>
<tr>
<td>*Subgoal Total Plus 2% Margin</td>
<td>13%</td>
<td>19%</td>
<td>16%</td>
<td>19%</td>
<td>25%</td>
<td>23%</td>
</tr>
</tbody>
</table>

*The Subgoal Total Plus 2% Margin is the sum of the Recommended Subgoals plus 2%.

☐ Refer to Table 2 above. If there are 3 or more certified firms in a Subgroup for the work of the procurement (indicated by a “Y” in Column 10), the Recommended Subgoal for the Combined Industry Category in Table 2 should be set for that Subgroup unless an explanation is provided in Step 11.

☐ A subgoal may not be set if the number of certified firms in that Subgroup is less than 3 (indicated by an “N” in Column 10).

11. The following Recommended Subgoals have not been set because: