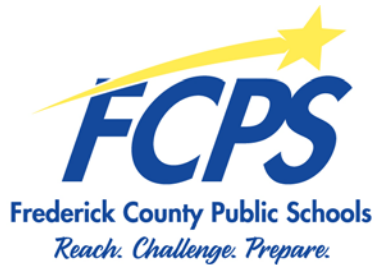


**Purchasing Office**  
191 South East St  
Frederick, Maryland 21701  
301-644-5209 phone  
301-644-5213 fax



Kerrie Koopman CPPB, CPPO,  
Purchasing Manager  
Kim Miskell, CSBO, Assistant Purchasing  
Manager  
**Bill Meekins CPPB, CSBO, CPCP,**  
**Purchasing Agent**  
Shane Ryberg, Purchasing Agent

---

RFP NUMBER/RFP NAME: RFP 20MISC2, Banking and Direct Deposit Services

RFP ISSUE DATE: September 24, 2019

CONTRACT MANAGER: Bill Meekins CPPB, CSBO, CPCP, Purchasing Agent, [billy.meekins@fcps.org](mailto:billy.meekins@fcps.org)

CONTRACT ADMINISTRATOR: Melissa Rollison, Financial Reporting Manager, [melissa.rollison@fcps.org](mailto:melissa.rollison@fcps.org)

QUESTIONS: Questions due no later than 4:00 P.M., local time, on October 16, 2019. Submit questions in writing to the Contract Manager listed above with a copy to the Contract Administrator.

PRE-PROPOSAL DATE: 10:00 A.M., local time, October 7, 2019. (Attendance is encouraged, but not mandatory.)

PRE-PROPOSAL LOCATION: FCPS Main Lobby  
191 South East Street  
Frederick, MD 21701  
(Parking is available in Deck #5 on All Saints Street)

JOIN SKYPE PRE-PROPOSAL MTG:  
(This is an online meeting for Skype for Business, formerly known as Lync.) (240) 236-6172 (FCPS) Conference ID: 9065784  
If you have problems joining this meeting, please call  
Krista Long @ 301-644-5042

OBTAINING BID DOCUMENTS: To view and/or download this solicitation package please visit our webpage at: [www.fcps.org/bidlist](http://www.fcps.org/bidlist). If you have problems downloading this bid or applicable addenda, contact: [krista.long@fcps.org](mailto:krista.long@fcps.org)

BONDS REQUIRED: NO

MBE REQUIREMENTS: NO

RFP DUE: 2:00 P.M., local time, on October 21, 2019  
Faxed or emailed bids are not acceptable.

SEALED RFP DELIVERED TO: Frederick County Public Schools  
Attn: Purchasing Department  
191 South East Street  
Frederick, MD 21701  
(Parking is available at Deck #5 on All Saints Street. Recent security upgrades at the FCPS Central Office Building will require visitors to request entry utilizing the phone buzzer/button system. Please allow enough time to ensure access to the building prior to the bid due time.)

Proposals must be properly marked with vendor's business name, address, RFP name and number on the envelope or package.

TENTATIVE AWARD DATE: BOE Work Session, scheduled on: November 25, 2019

ELIGIBILITY TO BID: All Frederick County Public School vendors and or contractors interested in bidding on FCPS projects must register at [www.emarylandmarketplace.com](http://www.emarylandmarketplace.com). FCPS will no longer accept bidder's applications.

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## Frederick County Public Schools, MD, School Year 2019-2020 Calendar

### August 2019

23, 26-30 Fri, Mon-Fri Teacher Work Days

### September 2019

02 Mon FCPS Closed: Labor Day

03 Tue First Day of School for Students

20 Fri Schools Closed: Fair Day

### October 2019

08 Tue 2-Hour Early Dismissal for Students: Teacher Mid-Term Work Session

09 Wed Schools Closed. Yom Kippur.

23 Wed Elementary and Middle Schools Open 4 Hours Late for Evening Parent-Teacher Conferences; High Schools Are Full Day

24 Thu Elementary and Middle Schools Open 4 Hours Late for Evening Parent-Teacher Conferences; High Schools Are Full Day

25 Fri Elementary and Middle Students Dismissed 3.5 Hours Early for Afternoon Parent-Teacher Conferences; High Schools Are Full Day

### November 2019

07 Thu End of Term 1

08 Fri Schools Closed for Students: Teacher Work Day

11 Mon Term 2 Begins

27, 28\*, 29\* Wed-Fri Schools Closed: Thanksgiving Break

### December 2019

20 Fri 2-Hour Early Dismissal for Students: Teacher Mid-Term Work Session

23, 24\*-31\* Mon-Tue Schools Closed: Winter Break

### January 2020

01\* Wed Schools Closed: New Year's Day

20\* Mon Schools Closed: Dr. Martin Luther King Jr. Day

28 Tue End of Term 2

29 Wed Schools Closed for Students: Teacher Work Day

30 Thu Second Semester and Term 3 Begin

### February 2020

14 Fri 2-Hour Early Dismissal for Students: Teacher Work Session

17\* Mon Schools Closed: Presidents' Day

### March 2020

04 Wed 2-Hour Early Dismissal for Students: Teacher Mid-Term Work Session

### April 2020

08 Wed 2-Hour Early Dismissal for Students: Teacher Work Session; End of Term 3

09 Thu Schools Closed for Students: Teacher Work Day

10\*, 13\* Fri-Mon Schools Closed: Spring Break

14 Tue Term 4 Begins

28\* Tue Schools Closed: Primary Election Day

### May 2020

25\* Mon Schools Closed: Memorial Day

### June 2020

22\*\*Mon Last Day of School for Students /2-Hour Early Dismissal: Teacher Work Session. End of Term 4

23\*\* Tue Last Day of School for Teachers

\*State-Mandated Public Schools Holiday

\*\*This calendar includes 8 days for snow or other emergency closings. If there are no days needed for emergency closings, the last day for students will be June 10. Subject to BOE revision, FCPS will make up emergency-closing days in the following sequence: June 11, 12, 15, 16, 17, 18, 19 and 22. The June two-hour early dismissal will occur on the last day of school for students.

## DIRECTORY OF SCHOOLS

### ELEMENTARY

1. **Ballenger Creek** ♦ 240-236-2500  
Ms. Kristen Canning, Principal  
5250 Kingsbrook Drive  
Frederick, MD 21703  
Fax 240-236-2501
2. **Brunswick** ♦♦ 240-236-2900  
Mr. Justin McConaughy, Principal  
400 Central Avenue  
Brunswick, MD 21716  
Fax 240-236-2901
3. **Butterfly Ridge** ♦♦♦♦ 240-566-0300  
Dr. Patricia Hosfelt, Principal  
601 Contender Way  
Frederick, MD 21703  
Fax 240-566-0301
4. **Carroll Manor** ♦♦ 240-236-3800  
Ms. Kimberly Robertson, Principal  
5624 Adamstown Road  
Adamstown, MD 21710  
Fax 240-236-3801
5. **Centerville** 240-566-0100  
Ms. Karen Hopson, Principal  
3601 Carriage Hill Drive  
Frederick, MD 21704  
Fax 240-566-0101
6. **Deer Crossing** 240-236-5900  
Ms. Amy Routzahn, Principal  
10601 Finn Drive  
New Market, MD 21774  
Fax 240-236-5901
7. **Emmitsburg** ● 240-236-1750  
Ms. Mary Ann Wiles, Principal  
300 South Seton Avenue  
Emmitsburg, MD 21727  
Fax 240-236-1751
8. **Glade** ♦♦ 240-236-2100  
Mr. Lorán ÓEithir, Principal  
9525 Glade Road  
Walkersville, MD 21793  
Fax 240-236-2101
9. **Green Valley** 240-236-3400  
Dr. Giuseppe Di Monte, Principal  
11501 Fingerboard Road  
Monrovia, MD 21770  
Fax 240-236-3401
10. **Hillcrest** ♦♦♦♦ 240-236-3200  
Mr. Karl Williams, Principal  
1285 Hillcrest Drive  
Frederick, MD 21703  
Fax 240-236-3201
11. **Kemptown** 240-236-3500  
Ms. Kathryn Golightly, Principal  
3456 Kemptown Church Road  
Monrovia, MD 21770  
Fax 240-236-3501
12. **Lewistown** ♦ 240-236-3750  
Ms. Dana Austin, Principal  
11119 Hessong Bridge Road  
Thurmont, MD 21788  
Fax 240-236-3751
13. **Liberty** 240-236-1800  
Ms. Jana Strohmer, Principal  
11820 Liberty Road  
Frederick, MD 21701  
Fax 240-236-1801
14. **Lincoln** ♦♦♦♦ 240-236-2650  
Mr. Eric Rhodes, Principal  
200 Madison Street  
Frederick, MD 21701  
Fax 240-236-2651
15. **Middletown** 240-236-1100  
Grades 3-5  
Ms. Jan Hollenbeck, Principal  
201 East Green Street  
Middletown, MD 21769  
Fax 240-236-1150
16. **Middletown Primary** ♦♦ 240-566-0200  
Grades Pre-K-2  
Ms. Sandra Fox, Principal  
403 Franklin Street  
Middletown, MD 21769  
Fax 240-566-0201
17. **Monocacy** ♦♦♦ 240-236-1400  
Mr. Troy Barnes, Principal  
7421 Hayward Road  
Frederick, MD 21702  
Fax 240-236-1401
18. **Myersville** 240-236-1900  
Ms. Kathy Swire, Principal  
429 Main Street  
Myersville, MD 21773  
Fax 240-236-1901
19. **New Market** ♦ 240-236-1300  
Mr. Jason Bowser, Principal  
93 West Main Street  
New Market, MD 21774  
Fax 240-236-1301
20. **New Midway-Woodsboro**  
Ms. Kimberly Clifford, Principal  
A) **New Midway** 240-236-1500  
Grades 3-5  
12226 Woodsboro Pike  
Keymar, MD 21757  
Fax 240-236-1501  
B) **Woodsboro** ♦ 240-236-3700  
Grades Pre-K-2  
101 Liberty Road  
Woodsboro, MD 21798  
Fax 240-236-3701
21. **North Frederick** ♦♦♦♦ 240-236-2000  
Ms. Kimberly Seiss, Principal  
1010 Fairview Avenue  
Frederick, MD 21701  
Fax 240-236-2001
22. **Oakdale** 240-236-3300  
Ms. Leigh Warren, Principal  
5830 Oakdale School Road  
Ijamsville, MD 21754  
Fax 240-236-3301
23. **Orchard Grove** ♦♦ 240-236-2400  
Mr. Jay Corrigan, Principal  
5898 Hannover Drive  
Frederick, MD 21703  
Fax 240-236-2401
24. **Parkway** 240-236-2600  
Ms. Stephanie Brown, Principal  
300 Carroll Parkway  
Frederick, MD 21701  
Fax 240-236-2601
25. **Sabillasville** 240-236-6000  
Ms. Kate Krietz, Principal  
16210-B Sabillasville Road  
Sabillasville, MD 21780  
Fax 240-236-6001
26. **Spring Ridge** ♦♦ 240-236-1600  
Ms. DeVeda Coley, Principal  
9051 Ridgefield Drive  
Frederick, MD 21701  
Fax 240-236-1601
27. **Thurmont** 240-236-0900  
Grades 3-5  
Ms. Debra O'Donnell, Principal  
805 East Main Street  
Thurmont, MD 21788  
Fax 240-236-0901
28. **Thurmont Primary** ♦ 240-236-2800  
Grades Pre-K-2  
Dr. Michele Baisey, Principal  
7989 Rocky Ridge Road  
Thurmont, MD 21788  
Fax 240-236-2801

29. **Tuscarora** ● 240-566-0000  
Dr. Kimberly Mazaleski, Principal  
6321 Lambert Drive  
Frederick, MD 21703  
Fax 240-566-0001
30. **Twin Ridge** ♦ 240-236-2300  
Ms. Heather Hobbs Michael, Principal  
1106 Leafy Hollow Circle  
Mt. Airy, MD 21771  
Fax 240-236-2301
31. **Urbana at Sugarloaf** ♦ 240-566-0500  
Ms. Tess Blumenthal, Principal  
3400 Stone Barn Drive  
Frederick, MD 21704  
Fax 240-566-0501
32. **Valley** ♦ 240-236-3000  
Ms. Tracy Poquette, Principal  
3519 Jefferson Pike  
Jefferson, MD 21755  
Fax 240-236-3001
33. **Walkersville** ♦ 240-236-1000  
Ms. Christina McKeever, Principal  
83 West Frederick Street  
Walkersville, MD 21793  
Fax 240-236-1050
34. **Waverley** ♦♦♦♦ 240-236-3900  
Dr. Allie Watkins, Principal  
201 Waverley Drive  
Frederick, MD 21702  
Fax 240-236-3901
35. **Whittier** ♦♦ 240-236-3100  
Ms. Amy Schwiagerath, Principal  
2400 Whittier Drive  
Frederick, MD 21702  
Fax 240-236-3101
36. **Wolfsville** 240-236-2250  
Ms. Megan Stein, Principal  
12520 Wolfsville Road  
Myersville, MD 21773  
Fax 240-236-2251
37. **Yellow Springs** 240-236-1700  
Ms. Sue Gullo, Principal  
8717 Yellow Springs Road  
Frederick, MD 21702  
Fax 240-236-1701

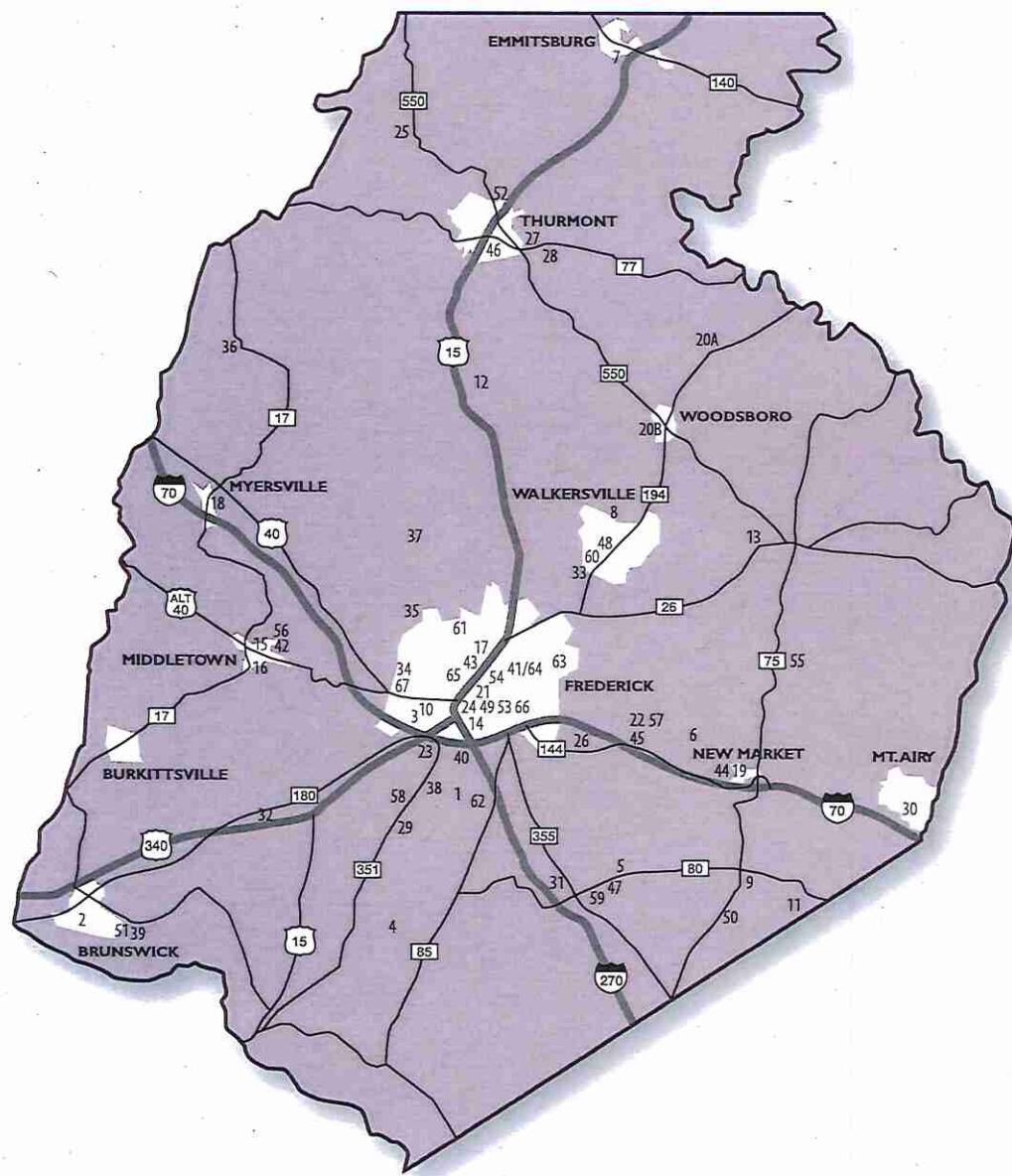
### MIDDLE

38. **Ballenger Creek** 240-236-5700  
Mr. Jay Schill, Principal  
5525 Ballenger Creek Pike  
Frederick, MD 21703  
Fax 240-236-5701
39. **Brunswick** 240-236-5400  
Mr. Everett Warren, Principal  
301 Cummings Drive  
Brunswick, MD 21716  
Fax 240-236-5401

### HIGH

51. **Brunswick** 240-236-8600  
Mr. Michael Dillman, Principal  
101 Cummings Drive  
Brunswick, MD 21716  
Fax 240-236-8601
52. **Catoctin** 240-236-8100  
Ms. Jennifer Clements, Principal  
14745 Sabillasville Road  
Thurmont, MD 21788  
Fax 240-236-8101
53. **Frederick** 240-236-7000  
Dr. David Franceschina, Principal  
650 Carroll Parkway  
Frederick, MD 21701  
Fax 240-236-7015
54. **Governor Thomas Johnson** 240-236-8200  
Dr. Dan Lippy, Principal  
1501 North Market Street  
Frederick, MD 21701  
Fax 240-236-8201
55. **Linganore** 240-566-9700  
Ms. Nancy Doll, Principal  
12013 Old Annapolis Road  
Frederick, MD 21701  
Fax 240-566-9701
56. **Middletown** 240-236-7400  
Mr. Bernard Quesada, Principal  
200 Schoolhouse Drive  
Middletown, MD 21769  
Fax 240-236-7450
57. **Oakdale** 240-566-9400  
Ms. Lisa Smith, Principal  
5850 Eaglehead Drive  
Ijamsville, MD 21754  
Fax 240-566-9401
58. **Tuscarora** 240-236-6400  
Mr. Christopher Berry, Principal  
5312 Ballenger Creek Pike  
Frederick, MD 21703  
Fax 240-236-6401
59. **Urbana** 240-236-7600  
Mr. David Kehne, Principal  
3471 Campus Drive  
Ijamsville, MD 21754  
Fax 240-236-7601
60. **Walkersville** 240-236-7200  
Ms. Tracey K. Kibler, Principal  
81 West Frederick Street  
Walkersville, MD 21793  
Fax 240-236-7250





#### KEY

- ▷ Half-day pre-kindergarten program available
- Full-day pre-kindergarten program available
- ◆ Special education pre-kindergarten available
- ★ STAR (Title I) Schools

FINDOUTFIRST EMAIL AND TEXTING: [WWW.FCPS.ORG/FOF](http://WWW.FCPS.ORG/FOF)

#### Middle (continued)

40. **Crestwood** 240-566-9000  
Mr. Neal Case, Principal  
7100 Foxcroft Drive  
Frederick, MD 21703  
Fax 240-566-9001
41. **Governor Thomas Johnson** 240-236-4900  
Ms. Maggie Gilgallon, Principal  
1799 Schifferstadt Boulevard  
Frederick, MD 21701  
Fax 240-236-4901
42. **Middletown** 240-236-4200  
Mr. Paul Fer, Principal  
100 Martha Mason Street  
Middletown, MD 21769  
Fax 240-236-4250
43. **Monocacy** 240-236-4700  
Dr. Stephanie Ware, Principal  
8009 Opossumtown Pike  
Frederick, MD 21702  
Fax 240-236-4701
44. **New Market** 240-236-4600  
Ms. T.C. Suter, Principal  
125 West Main Street  
New Market, MD 21774  
Fax 240-236-4650
45. **Oakdale** 240-236-5500  
Ms. Mita Badshah, Principal  
5810 Oakdale School Road  
Ijamsville, MD 21754  
Fax 240-236-5501
46. **Thurmont** 240-236-5100  
Mr. Daniel Enck, Principal  
408 East Main Street  
Thurmont, MD 21788  
Fax 240-236-5101
47. **Urbana** 240-566-9200  
Ms. Stacey Hiltner, Principal  
3511 Pontius Court  
Ijamsville, MD 21754  
Fax 240-566-9201
48. **Walkersville** 240-236-4400  
Mr. Frank Vetter, Principal  
55 West Frederick Street  
Walkersville, MD 21793  
Fax 240-236-4401
49. **West Frederick** 240-236-4000  
Ms. Pattie Barnes, Principal  
515 West Patrick Street  
Frederick, MD 21701  
Fax 240-236-4050
50. **Windsor Knolls** 240-236-5000  
Mr. Brian Vasquez, Principal  
11150 Windsor Road  
Ijamsville, MD 21754  
Fax 240-236-5001

#### OTHER

61. **Career and Technology Center** 240-236-8500  
Mr. Michael Concepcion, Principal  
7922 Opossumtown Pike  
Frederick, MD 21702  
Fax 240-236-8501
62. **Carroll Creek Montessori Public Charter School \*** 240-566-0600  
Ms. Marilyn Horan, Principal  
7215 Corporate Court  
Frederick, MD 21703  
Fax 240-566-0601
63. **Frederick Classical Charter School** 240-236-1200  
Dr. Camille S. Bell, Principal  
8445 Spires Way, Suite CC  
Frederick, MD 21701  
Fax 240-236-1201
64. **Frederick County Virtual School** 240-236-8450  
(includes Flexible Evening High School)  
Dr. Stacey Adamick, Principal  
c/o GTJMS  
1799 Schifferstadt Boulevard  
Room 116  
Frederick, MD 21701  
Fax 240-236-8451
65. **Heather Ridge** 240-236-8000  
Ms. Elizabeth Stiffler, Principal  
1445 Taney Avenue  
Frederick, MD 21702  
Fax 240-236-8001
66. **Monocacy Valley Montessori Public Charter School \*** 240-236-6100  
TBD, Principal  
217 Dill Avenue  
Frederick, MD 21701  
Fax 240-236-6101
67. **Rock Creek School** 240-236-8700  
Ms. Katie Buckley, Principal  
191 Waverley Drive  
Frederick, MD 21702  
Fax 240-236-8701

**For other useful numbers,  
see next page**

**FREDERICK COUNTY PUBLIC SCHOOLS (FCPS)**  
**GENERAL TERMS AND CONDITIONS**  
**SECTION I**

**1. BIDDER REGISTRATION**

- a. All Frederick County Public School (FCPS) vendors and or contractors interested in bidding on FCPS projects must register on eMaryland Marketplace Advantage [www.procurement.maryland.gov](http://www.procurement.maryland.gov). FCPS will no longer accept bidder's applications.

**2. PRE-BID MEETING**

- a. A Pre-Bid Meeting will be held at the date and time indicated on the cover page of this solicitation package.
- b. Attendance at the Pre-Bid Meeting is not mandatory; however, all vendors are strongly encouraged to attend.
- c. The agenda for this Pre-Bid Meeting will include the following: introduction of staff; description of scope of work; timeline/scheduling; budget priorities/concerns; and procurement responsibilities.
- d. Questions shall be submitted, via email, to the person(s) indicated on the cover page of this solicitation package. Due to possible changes and/or additions to the specifications, bids should not be submitted prior to the Pre-Bid meeting.
- e. If FCPS offices are closed, or operating on a modified schedule, due to inclement weather on the day a Pre-Bid is scheduled, the Pre-Bid is cancelled and will not be rescheduled unless an addendum is issued. Bidders are advised that they are to email questions to the identified Contract Manager by the date and time required within this solicitation. For the fastest, most reliable information, regarding closures and/or delays check the following:
  - [www.fcps.org](http://www.fcps.org)
  - Social Media: FCPS on Twitter and FCPS on Facebook
  - Email/Text Messages: Sign up for FindOutFirst email and emergency-only text messages
  - FCPS TV: Comcast Channel 18 (Frederick area)
  - Local radio and TV stations

**3. RECEIPT OF BIDS**

- a. Bids received prior to the time of opening will be time stamped and securely kept unopened. No bid received thereafter will be considered. FCPS will not be responsible for the premature opening of bids received that are not properly addressed or identified. Any bid may be withdrawn before the scheduled time for opening bids.
- b. All inner and outer envelopes and packaging, used by Fed Ex, UPS and etc., are to be labeled with the following:
  - Bidder Name
  - Bid Number and Name



- Due Date and Time
- c. Bids received after the designated date and/or time will not be accepted, regardless of when they were mailed or given to a delivery carrier.
  - d. Bids not received by the date, time, and location designated on the solicitation cover sheet, due to improper labeling, may be considered non-responsive.
  - e. In the event of inclement weather on the date when bids are scheduled to be opened and the FCPS offices are closed, or operating under a modified schedule, bids will be opened on the next business day at the same time as previously scheduled. Bids will be accepted until the scheduled time of opening on the next business day. (Often when schools are closed due to inclement weather, administrative offices remain open. When in doubt, call the Purchasing Department.)

#### **4. OPENING OF BIDS**

- a. Sealed bids will be opened at the location, date, and time indicated on the solicitation cover sheet.
- b. All bids received must include original signatures; no photo copies will be accepted. Unless specifically authorized, facsimile or emailed bids will not be considered. Modifications by facsimile, or email, of bids already submitted will be considered if received prior to the time set for opening. No bids will be accepted via telephone.

#### **5. ADDENDA**

- a. All changes to the bid solicitation will be made through appropriate addenda issued from the Purchasing Department.
- b. Addenda will be available on the FCPS Purchasing Department webpage. All vendors who are known by the Purchasing Department to have downloaded the bid documents will receive an email notification.
- c. Addenda will be issued a minimum of five days prior to the bid opening date, unless the addenda issued extends the due date.
- d. Each bidder shall ascertain, prior to submitting a bid that they have received all Addenda issued and the bidder shall acknowledge receipt on the Signature Acknowledgement Form. Failure of any bidder to acknowledge the receipt of addenda will not relieve that bidder from any obligations under this solicitation as amended by addenda. All addenda so issued will become a part of the award and contract documents.

#### **6. PREPARATION OF BID**

- a. Should any bidder be in doubt as to the meaning of the specifications, or should they find any discrepancy or omission, they shall notify the Contract Manager listed on the solicitation cover sheet. If required, bidders will be notified of clarifications and/or additional information by means of addenda.
- b. Bidder must submit one original proposal, with original signatures, unless otherwise specified. Bids must be prepared on the proposal form(s) provided.

- c. Each bid will be sealed, show the full business address and contact information of the bidder and be signed by the person(s) legally authorized to sign contracts. All correspondence concerning the bid and contract, including notice of award, copy of contract, and purchase order, will be emailed, or mailed, to the address shown on the bid in the absence of written instructions from the bidder to the contrary.
- d. The following items must be included in submission:
  - i. Proposal pages completely and accurately filled out.
  - ii. Signature Acknowledgement Form completed and signed.
  - iii. Statutory Affidavit and Non-Collusion Certification form completed and signed.
  - iv. Certificate of Compliance form completed and signed.
- e. Bidders shall be required under Article 56, Section 270(4), Annotated Code of Maryland, to provide proof of Certificate of Registry and must be licensed to do business in the State of Maryland and must provide a tax certification number. Visit the following website to ensure compliance: [www.Egov.maryland.gov/BusinessExpress](http://www.Egov.maryland.gov/BusinessExpress) .
- f. Bids by partnerships must be signed with the partnership name, followed by the signature and designation of the person having authority to sign. When requested, satisfactory evidence of authority of the person signing will be furnished. Anyone signing the bid as an agent shall file satisfactory evidence of their authority to do so, if requested.
- g. Bids by corporations must be signed with the name of the corporation, followed by the signature and designation of the person having authority to sign. When requested, satisfactory evidence of authority of the person signing will be furnished. Anyone signing the bid as an agent shall file satisfactory evidence of their authority to do so, if requested.
- h. Failure to sign the bid document will result in rejection of the bid as non-responsive.
- i. FCPS will not be responsible for any costs incurred by a bidder in preparing and submitting a proposal in response to this solicitation.

## **7. STANDARD OF QUALITY, "OR EQUAL CLAUSES," AND SUBSTITUTIONS**

- a. Any make/model specified in the solicitation is used only to establish a quality level, unless specifically noted in Section II. Any material or article that will perform adequately the duties imposed by the general design will be considered equal and satisfactory. FCPS retains the right to determine if items are equivalent and will be accepted.
- b. It will be the responsibility of the bidder to submit a clear and concise proposal wherein each substitution and deviation is identified and described, in writing, at the time of solicitation submission.
- c. In the absence of any statement to the contrary by the bidder, the submission will be interpreted as being the exact brand and/or qualities, etc., enumerated in the detailed specifications, whenever the specifications indicate a product of a particular manufacturer, model or brand.
- d. Bidders must submit detailed literature if bidding an item other than the specified item. Detailed literature is defined as product features or specifications relating to construction and/or performance.
- e. The detailed literature is to be arranged and labeled according to item number.

- f. It is the bidders' responsibility to submit required literature, or links to webpages, with the bid submission. Failure to submit such data as required and/or at the time designated by the Purchasing Department shall be cause for rejection of that item.
- g. No substitutions or deviations will be permitted following the award of the contract unless "cause and effect" is presented in writing and approved by the Contract Manager. A statement of any credit or extra cost involved will be included with the request.
- h. FCPS shall not be responsible to provide personnel, testing facilities, or other resources necessary to search out substitutions and deviations in bid proposals which are unclear through the nebulous terms such as "comparable", or blanket statements of deviation such as "our standard design, construction, hardware, finishes, etc."
- i. The bidder will, upon request and with no cost to the FCPS, furnish documents, independent laboratory tests reports, and/or similar materials of proof to substantiate that the substitutions and deviations of the items they propose to furnish do not prevent these items from being truly and factually equal to, or exceeding, that which is specified.
- j. The cost of testing a representative sample of an order or shipment for acceptance and compliance with specifications shall be borne by FCPS. If the order or shipment is rejected for failure to meet the requirements of the specifications or purchase description, the cost of testing will be charged to the awarded vendor.

## **8. SAMPLES**

- a. Samples may be requested for testing and evaluation purposes. Failure to submit samples as required at the time designated may be cause for rejection of that item.
- b. All samples must be delivered with all charges prepaid to the designated point of delivery. Samples must be marked as "SAMPLE" and include the name of the bidder, bid name and number, and return instructions, if applicable.
- c. The right is reserved to retain any sample submitted with bids for the purposes of examination and testing. FCPS reserves the right to use all samples in any manner which may best serve the final determination of the successful bidder, even if said examination and testing results in damage to or destruction of the sample.
- d. FCPS retains the right to determine the method of testing to be utilized.
- e. Samples that are not retained by FCPS must be removed within two weeks upon notification. Return shipping must be prepaid by the vendor. Samples not removed within this two-week period shall be retained, or disposed of, at the discretion FCPS, and without compensation to the bidder.

## **9. BID PRICING**

- a. Prices quoted shall not exceed the prices established under any governmental price control regulations.
- b. All prices shall be firm for a period of 90 days from the date of bid opening unless otherwise stated in Section II. FCPS retains the right, with mutual consent of the bidder(s), to utilize the bid pricing and approved price changes for future purchases for as long as the bidder(s) mutually agrees to



extend the prices.

- c. FCPS will not accept any proposal with escalator clauses, minimum order requirements or irregular features unless specifically authorized in Section II.
- d. If the contract includes equipment, all prices must be FOB-Destination (inside delivery), unless specifically authorized in Section II.
- e. Charges for express delivery will only be allowed if authorized by FCPS in writing.
- f. The bidder(s) are encouraged to bid only one product per line item that most nearly meets the specifications. If the bidder believes that there is more than one product available, a limit of two offers will be considered for each line item.
- g. If two or more particular brands, models, or makes are listed in the specifications (under Base and Alternate Bids) and the bidder has not indicated in the bid which of the two or more brands, models, etc., is being bid, it shall be understood that FCPS may require the bidder to furnish whichever is preferred by FCPS.
- h. All unit prices on items bid shall be completed on the provided proposal sheet(s). A "NO BID" or "N/A" notation should be completed for each item not being bid. Blank spaces in the proposal sheet will be considered as not being bid.
- i. In case of an error in the extension of prices in the bid, the unit price shall govern.
- j. Unit Prices must be rounded off to no more than two decimal places unless so specified in Section II.
- k. FCPS reserves the right to consider discounts in evaluating a bid with line item pricing requirements. The bidder should calculate all discounts, other than prompt payment, as part of their unit pricing.

## **10. TAXES**

- a. No charge will be allowed for federal excise, state, and/or municipal sales and use taxes, from which the Board of Education of Frederick County is exempt.
- b. A contractor is not eligible, per the Maryland Comptroller's Office, to utilize the tax exemption certificate for governmental agencies.

## **11. GUARANTEES AND WARRANTIES**

- a. The awarded vendor(s) will guarantee the material and workmanship on all services, equipment, materials, supplies, and labor, furnished by them, for a minimum period of one year from the date of acceptance, unless a longer period of time is specified in Section II.
- b. If, within the guarantee period, any defects or signs of deterioration are noted, the awarded vendor(s), at their expense, shall correct the condition or they shall replace the part or entire unit of work/equipment to the complete satisfaction of FCPS. These repairs, replacements, or adjustments shall be made only at such times as will be designated by FCPS to minimize the disruption to building/school operations.
- c. Should the awarded vendor(s) fail to comply with the terms of this guarantee, FCPS may have such work performed as it deems necessary to fulfill the guarantee, charging the cost to the awarded

vendor(s).

## **12. BID OPENING**

- a. Bids shall be opened in public at the time and place designated in the bid solicitation.
- b. Complete evaluation of the proposals will not take place at the bid opening and no indication of award will be made. A final recommendation(s) shall be prepared for review and approval by the Board of Education of Frederick County.
- c. The recommended award will be posted to the FCPS BoardDocs website a minimum of three days prior to the Board of Education meeting in which it will be presented.
- d. Final award recommendation, and the bid tabulation, will be posted on the FCPS webpage, [www.fcps.org/bidlist](http://www.fcps.org/bidlist), after the Board of Education of Frederick County approval.

## **13. ERRORS IN BID SUBMISSIONS**

- a. Bidders, or their authorized representatives, are expected to fully inform themselves as to the conditions and requirements of the specifications before submitting bids. Failure to do so will be at the bidder's own risk.
- b. If the bidder has made an error, the bidder may request, in writing, to have their bid withdrawn. Approval of a bidder's request is not automatic and may be given only by the Purchasing Manager. Requests for withdrawal are usually denied, unless the bidder proves to the satisfaction of the Purchasing Manager that the mistake was either a scrivener's error or another type of clearly unintentional error so departing from customary and reasonable business practices as to be obvious and to legitimately and substantially impair the vendor's business.
- c. Neither law nor regulations make allowance for errors either of omission or commission on the part of the bidders. In case of error in multiplication of unit price when arriving at total price per line item, the unit price shall govern. If there is a discrepancy between the price written in numbers and the price written in words, the words will govern.

## **14. AWARDS OR REJECTION OF BIDS**

- a. The basis of award shall be the lowest responsible bidder submitting a responsive bid that conforms to the specifications established in the solicitation with consideration given to the quantities involved, time required for delivery, purpose for which required, competency and responsibility of bidder, the ability of the bidder to perform satisfactory service, and the plan for utilization of minority contractors, if applicable.
- b. FCPS reserves the right to determine completeness and/or timeliness of bids, to reject any or all bids in whole or in part, to make partial awards, to waive any informality in any quotation, to increase or decrease quantities if quantities are listed in the bid, to reject any bid that shows any omissions, alterations of form, additions not called for, conditions, or alternate proposals, and to make any such award as is deemed to be in its best interest.
- c. Bidders may be required, before the awarding of a contract, to show to the complete satisfaction of FCPS, that they have the necessary facilities, ability and financial resources to execute the contract in a satisfactory manner, and within the time specified. Bidders may be required to demonstrate they

have the necessary experience, history and references to assure FCPS of their qualifications.

- d. The Board of Education of Frederick County reserves the right to award the bid within 90 days from the date of the bid opening unless a different time period is stated in the bid document.
- e. Unless stated otherwise in Section II, the contract may be awarded by line item, group, or in the aggregate, whichever is in the best interest of FCPS.
- f. In the event of a tie, where all other factors, such as past performance, are considered comparable, the award shall be made to the Frederick County based bidder; the closest Maryland out-of-county based bidder; and the closest out-of-state based bidder, in that order of preference.
- g. FCPS does not have local, state or federal preference requirements except when mandated by a targeted funding source.
- h. If, after competitive sealed bids have been opened, the Purchasing Manager determines that only one responsible bidder has submitted a responsive bid, the procurement contract may be negotiated with that one bidder as sole source procurement.
- i. A recommendation for the award of a contract will be presented to the Board of Education of Frederick County for approval. Upon approval of the award of contract, the bidder(s) shall be notified of their award(s). If applicable, an FCPS contract document shall also be issued.
- j. The Board of Education of Frederick County reserves the right to reject the bid of a bidder who has, in the opinion of FCPS, failed to properly perform under previous contracts, or, who investigation shows, is not in a position to perform the contract.
- k. The Board of Education of Frederick County retains the right to reject any and all bids, if it is deemed in the best interest of FCPS to do so.
- l. If, during the life of the contract, a product or service does not meet the solicitation terms and conditions, FCPS retains the right to cancel the awarded item(s) and award to a new bidder, as long as that bidder mutually agrees to the award.

## **15. CONTRACT FORMATION**

- a. Notification of the contract award will be made by letter after approval by the Board of Education of Frederick County.
- b. The primary form of contract is the purchase order(s), and any agreed upon schedules, addenda, shop drawings, and documents associated with the bid solicitation/submission/award.
- c. A secondary form of contract, if required, may be noted in Section II of this bid solicitation.
- d. No amendment, modification or change to the contract shall be effective unless such change is in writing and mutually agreed upon by authorized representatives of FCPS and the awarded vendor(s). Changes may not significantly alter the original scope of the agreement.

## **16. PROTESTS**

- a. The Purchasing Manager or designee (when the Purchasing Manager administers the bid being protested) shall attempt to resolve, informally, all protests of bid award recommendations. Bidders



are encouraged to present their concerns promptly to the Contract Manager for consideration.

- i. The bidder must submit their concern, in writing, addressed to the Purchasing Manager. It should include the following:
    - Name, address, contact information of the protestor;
    - Statement of reasons for the protest;
    - Supporting documentation to substantiate the claim;
    - The remedy sought.
  - ii. The protest must be received by the Purchasing Manager at least two calendar days prior to the date of the Board of Education meeting at which the recommendation will be presented. It is the vendor's responsibility to ascertain the date and time of award.
  - iii. A bidder who does not file a timely protest before the contract is awarded by the Board of Education of Frederick County is deemed to have waived any objection.
- b. The Purchasing Manager shall inform the Chief Financial Officer and/or general counsel upon receipt of the protest, and shall confer with them prior to the issuance of a decision regarding disputes of contracts or awards valued at \$25,000 or above.
  - c. The Purchasing Manager shall issue a decision in writing.
  - d. Should the protestor disapprove of the Purchasing Manager's decision, they have the right to address the Board of Education of Frederick County during the public comment section of the same Board meeting where the award recommendation is scheduled for award.
  - e. The Board of Education of Frederick County's decision is deemed the final action at the local level.
  - f. A bidder may appeal a decision of the Board directly to the Maryland State Board of Education in accordance with Board Policy 105.11 and Maryland law.

## **17. CONTRACT ASSIGNMENT**

- a. The awarded vendor(s) will not assign or transfer any portion of their interest or obligation under this Agreement to any third party, without the prior written consent of the Contract Manager. Nothing herein shall be construed to create any personal or individual liability upon any employee, officer, elected official of the Board of Education of Frederick County, nor shall this Agreement be construed to create any rights hereunder in any person or entity other than the parties to this Agreement.
- b. The awarded vendor(s) will, when required, submit to the Contract Manager, in writing, the name of each subcontractor they intend to employ, the portion of the material to be furnished, their place of business, and any such information as may be required in order to know whether such subcontractor is reputable and reliable and able to furnish satisfactorily the material as called for in the specifications.
- c. FCPS reserves the right to approve or disapprove all subcontractors to be employed on a project. FCPS further reserves the right to approve or disapprove a change of subcontractor once an initial subcontractor has been approved. Any increased cost associated with the change of a subcontractor shall be the full obligation and responsibility of the awarded vendor(s).
- d. The awarded vendor(s) will not legally, or equitably, assign any of the funds payable under the

contract, or its claim thereto, unless by, and with, the consent of the Contract Manager.

- e. The awarded vendor(s) will have the same provisions inserted in all subcontracts relative to the terms of the general conditions and other contract documents. Nothing contained in this contract shall create any contractual relations between any subcontractor and FCPS.

## **18. MULTI-YEAR CONTRACT**

- a. Contracts that require funding appropriation for more than one fiscal year automatically terminate if money sufficient for the continued performance is not appropriated for any fiscal year. The date of termination is the last day of the fiscal year for which money was last appropriated, or the date provided in the termination clause of the procurement contract, whichever is earlier.
- b. If the multi-year contract is terminated due to lack of funding, FCPS shall reimburse the vendor for the reasonable value of any nonrecurring costs that were incurred as a result of the multi-year contract, but not amortized in the price of the supplies or services delivered under the multi-year contract. The reasonable value will be negotiated, and mutually agreed upon, by FCPS and the vendor.
- c. The cost of termination may be paid from any appropriation available for that purpose.

## **19. HOLD HARMLESS**

It is understood that the awarded vendor(s) shall defend and hold harmless the Board of Education of Frederick County, and its representatives, from all suits, actions, or claims of any kind brought about as a result of any injuries or damages sustained by person(s) or property during the performance of this contract.

## **20. CONTRACT DISPUTES**

- a. Any dispute arising under this contract shall be decided by the Contract Administrator, the Contract Manager and the Purchasing Manager, who will communicate their decision to writing and furnish a copy to the vendor. This decision shall be final and conclusive unless, within 30 days, the vendor furnishes a written appeal addressed to the Board of Education of Frederick County. The local Board of Education has the right to hear appeals as provided by Maryland law.
- b. The Board of Education of Frederick County, or its duly authorized representative, will review the appeal for the determination of such appeal and their finding shall be final and conclusive. In connection with any appeal preceding under this clause, the vendor will be afforded an opportunity to be heard and to offer evidence in support of his appeal. Pending final decision of a dispute, the vendor shall proceed diligently with the performance of the contract and in strict accordance with the FCPS staff's decision. Exceptions are decisions determined by a court of competent jurisdiction to have been fraudulent, capricious, arbitrary, or so grossly erroneous as to imply bad faith, or not supported by evidence.
- c. This clause does not preclude consideration of laws questioned in connection with the decision provided for above.

## **21. TERMINATION FOR DEFAULT**

- a. When an awarded vendor has not performed or has unsatisfactorily performed the contract, payment

shall be withheld at the discretion of FCPS. FCPS may, by written notice of default to the vendor, terminate the whole or any part of the contract in any of the following circumstances:

- i. If the vendor fails to perform the services or provide the products within the time and manner specific herein or any extension thereof, or:
  - ii. If the vendor fails to perform any of the provisions of this contract, or fails to make progress as to endanger performance of this contract, in accordance with its terms and in either of these two circumstances does not cure such failure within a period of ten calendar days (or longer as authorized by the Purchasing Manager) after receipt of written notice from the Purchasing Manager of such failure, or:
  - iii. If the vendor willfully attempts to perform the services other than specified as to coverage, limits, protections, and quality or otherwise, without specified authorization in the form of contract amendment, or:
  - iv. If a determination is made by FCPS that the obtaining of the contract was influenced by an employee FCPS having received a gratuity, or a promise therefore, in any way or form.
- b. In the event FCPS terminates the contract in whole or in part, FCPS may procure such products and services, in a manner the Purchasing Manager deems appropriate, and the vendor shall be liable to FCPS for any additional cost(s) incurred.
  - c. If, after notice of termination of this contract under provisions of this clause, it is determined for any reason that the vendor was not in default under the provisions of this clause, or that the default was excusable under the provisions of this clause, the rights and obligations of the parties shall be the same as if the notice of termination had been issued pursuant to a termination for convenience.

## **22. TERMINATION FOR CONVENIENCE**

The contract may be terminated by FCPS in accordance with this clause in whole, or in part, whenever FCPS determines that such a termination is in the best interest of FCPS. Written notice shall be given a minimum of 30 days in advance. FCPS will pay for all services, in accordance with contract pricing, up to the date of the termination. However, the awarded vendor(s) shall not be reimbursed for any anticipatory profits that have not been earned up to the date of termination. Under this contract, the awarded vendor does not have a right to unilateral termination for convenience.

## **23. GOVERNING LAW AND VENUE**

The solicitation shall be construed in accordance with, and interpreted under, the laws of the State of Maryland. Any lawsuits shall be filed in the appropriate State Court located in Frederick County, Maryland.

## **24. MULTI-AGENCY PARTICIPATION**

- a. FCPS reserves the right to extend the terms and conditions of this solicitation to any and all other agencies within the state of Maryland, as well as, any other federal, state, municipal, county, or local governmental agency under the jurisdiction of the United States and its territories. This is conditioned upon mutual agreement of all parties pursuant to special requirements, which may be appended thereto. The awarded vendor(s) agrees to notify the issuing body of those entities that wish to use any contract resulting from this bid and will also provide usage information, which may be



requested.

- b. By agreeing to extend the contract to other agencies, the vendor(s) reaffirms and warrants his original commitment to FCPS so that afterwards all items and services shall continue to conform to the requirements and conditions of the original agreement for its duration. Agencies who utilize the contract agree to notify FCPS Purchasing Department of any significant experiences, problems or issues which may, or may have the potential to, affect our administration of this contract.
- c. FCPS assumes no obligation on behalf of any other agency and shall be held harmless if either party is damaged due to the agency or vendor's failure to become informed of, or comply with, any provision or pricing under this agreement. All purchase orders and billing will be transacted between the vendor and the public agency.
- d. Each participating jurisdiction or agency shall enter into its own contract with the awarded vendor(s) and this contract shall be binding only upon the principals signing such an agreement. Invoices shall be submitted in duplicate "directly" to the ordering jurisdiction for each unit purchased. Disputes over the execution of any contract shall be the responsibility of the participating jurisdiction or agency that entered into that contract. Disputes must be resolved solely between the participating agency and the awarded vendor(s). The Lead Agency does not assume any responsibility other than to obtain pricing for the specifications provided.

## **25. PACKAGING AND DELIVERY REQUIREMENTS**

- a. All materials must be securely packed in accordance with accepted trade practices.
- b. A packing list will be included in each shipment. This list shall contain the following information: Purchase Order Number, Vendor Name, Item Description, Item Number, Quantity and Delivery Location. Failure to comply with this condition may be considered sufficient reason to refuse to accept the goods.
- c. All materials, supplies and equipment for FCPS shall be delivered F.O.B Destination. Unless otherwise noted in Section II, all items shall be delivered inside the office, school, or warehouse.
- d. Special delivery and handling instructions will be defined in Section II of each bid.
- e. All school deliveries shall be made during the hours of 9:00 A.M. and 2:00 P.M. local time and only on regular school days, see School Calendar Closings enclosed, except where modified in Section II.
- f. All warehouse deliveries shall be made during the hours of 9:00 A.M. to 2:30 P.M. on all regular scheduled school days, see School Calendar Closings enclosed, except where modified in Section II.
- g. Bulk materials, delivered to the Warehouse, are to be delivered on skids, or pallets, to the Warehouse receiving platform.
- h. No help for unloading will be provided. Suppliers shall notify their delivery personnel accordingly.
- i. The awarded vendor(s) shall be held responsible for and shall be required to make good at their expense, any and all damage done or caused by their employees in the execution of the contract as determined by FCPS.
- j. The vendor will be required to furnish proof of signed delivery in every instance. Delivery receipts indicating only the number and weight of cartons received will not constitute "proof" of delivery in

the event of a dispute. FCPS will not accept responsibility for deliveries that have not been signed for by an FCPS employee.

## **26. BILLING AND PAYMENT**

- a. Invoices shall be submitted to: [accounts.payable@FCPS.org](mailto:accounts.payable@FCPS.org) or in duplicate to:

FREDERICK COUNTY PUBLIC SCHOOLS  
Accounts Payable Department  
191 South East Street  
Frederick, MD 21701

- b. Invoices and packing slips must contain the following information:
- i. Bid Number
  - ii. Purchase Order Number
  - iii. Item Number (if applicable)
  - iv. Quantity (if applicable)
  - v. Brief Description of Item or Work Performed
  - vi. Unit Price Bid/Partial Payment Amount
  - vii. Extended Total for Each Item
  - viii. Grand Total
  - ix. Public School Construction Number (PSC) (if applicable)
- c. Payments will be made by FCPS check, single use credit account or credit card. Credit card statements with level three data are preferred. Bidders are prohibited from charging additional costs or fees from their bid price to process such orders.

## **27. COMPLIANCE WITH SPECIFICATIONS**

- a. The awarded vendor(s) will abide by, and comply with, the true intent of the specifications and not take advantage of any unintentional error or omission, but will fully complete every part as to the true intent and meaning of the specifications and drawings.
- b. Whenever mention is made of any article, material, or workmanship to be in accordance with laws, ordinances, building codes, underwriter's code, A.S.M.E. regulations, or similar expressions, the requirements of these laws, ordinances, etc., shall be construed as to the minimum requirements of these specifications.
- c. Where the requirements of the specifications call for a higher grade and are not in conflict with the laws, ordinances, etc., the specifications shall govern.
- d. In the case of any apparent conflict between the specifications and such laws, ordinances, etc., the awarded vendor(s) will contact the Contract Administrator and the Contract Manager for a decision before proceeding with any work.

## **28. LIQUIDATED DAMAGES**

- a. A date for delivery and/or installation/assembly shall be stated in the specifications. Requests for extension of completion time due to strikes, lack of materials, or any other causes over which the awarded vendor(s) has no control must be submitted, in writing, with supporting documentation, to the Contract Manager. Requests must occur immediately upon occurrence of conditions for a time

extension to be granted. Extensions are not guaranteed.

- b. If the awarded vendor(s) fails to provide the services, equipment, or other items required within the prescribed time limits, the Contract Manager may elect to obtain services, equipment, or other items necessary from an alternate source.
- c. The awarded vendor(s) will pay any additional cost(s) incurred by FCPS for obtaining replacement services, equipment, and other necessary items.
- d. FCPS shall have the unilateral right of alternate source selection to perform the work when the awarded vendor(s) does not perform the required work.
- e. In addition to, or in lieu of, paying for any incurred replacement costs(s), the awarded vendor(s) may pay liquidated damages, in the amount of \$150 per day, for any delay or failure in performance, as well as any related damages sustained by FCPS.
- f. The assessment of liquidated damages by FCPS against the awarded vendor(s) does not supersede or affect the right of FCPS to impose other remedies that may be available.

## **29. SAFETY REQUIREMENTS**

- a. When applicable, all machinery/equipment must meet OSHA-MOSHA requirements as to the safety of the operation of the equipment. All required safety devices shall be included in the price(s) bid.
- b. When applicable, kitchen equipment and supplies must meet Maryland State Health Department, National Sanitation Foundation (NSF) and Frederick County Health Department requirements.
- c. All construction activities must be conducted in strict compliance with OSHA/MOSHA requirements.
- d. Equipment offered which fails to comply with any applicable section of the National Electrical Code, or is not U.L. Listed (where U.L. Listings have been established for that type of device) shall be rejected.
- e. The awarded vendor(s) shall submit Safety Data Sheets (SDS) for all items awarded to that vendor provided under the terms of this proposal, if applicable.
- f. The awarded vendor(s) and subcontractor(s) are required to comply with all provisions of the Access to Information about Hazardous and Toxic Substances Act, a part of the Maryland Occupational Safety and Health Law.
- g. The awarded vendor(s) is responsible to report to FCPS any asbestos material or suspected material found or uncovered that is not part of the scope of the project. In addition, they may not introduce new asbestos or asbestos bearing materials into the site.
- h. It is the responsibility of the awarded vendor(s) to comply with all Municipal, State, and Federal EPA regulations and laws when handling or disposing of asbestos materials.
- i. If the awarded vendor(s) intentionally endangers or jeopardizes the health of any building/school occupant(s) through mishandling of hazardous material, the vendor(s) will be held liable for such action.

### **30. LAWS AND REGULATIONS**

- a. The vendor will comply with all Federal, State, and local laws, ordinances and regulations pertaining to work under their charge. If the vendor performs any work which it knows or should know to be contrary to such laws, ordinance, and regulations and without such notices to FCPS they shall bear all costs arising therefrom.
- b. All vendors and subcontractors must abide by the Board of Education of Frederick County policies and FCPS regulations while working on school property.
- c. The vendor certifies that their firm adheres to or follows non-discriminatory practices with respect to the employment and promotion of personnel without regard to color, creed, race, sex, or national origin.

### **31. PATENTS**

The vendor will defend all suites or claims for infringement of any patent rights and will save the Board of Education of Frederick County harmless from loss.

### **32. TECHNOLOGY-BASED INSTRUCTIONAL PRODUCTS**

All FCPS technology based instructional products (instructional software, online resources, and computer based equipment) must be consistent with the federal Rehabilitation Act, Maryland Subpart B Technical Standards, Section 508, for accessibility by students with disabilities unless doing so would fundamentally alter the nature of the instructional activity or result in undue financial and administrative burdens. Requests for bids, proposals, procurement contracts, and grants will follow established procedures for evaluating compliance to accessibility standards in all purchase decisions.

### **33. EMPLOYMENT OF CHILD SEX OFFENDERS AND PERSONS WITH UNCONTROLLED ACCESS TO STUDENTS**

- a. Be advised that individuals who are registered sex offenders are not eligible to work on any FCPS' project. The awarded vendor(s) must initially check the Maryland Department of Public Safety & Correctional Services' Maryland Sex Offender Registry and search for the name of any employee to be assigned to work on this project. This applies to subcontractors and material/equipment suppliers as well. For projects lasting more than a few months, the vendor will periodically re-check the names of workers against the registry to ensure ongoing compliance. In the event that a registered sex offender is discovered to be working on a FCPS project, whether through employment by the vendor, subcontractor or equipment or material supplier, FCPS will notify the site superintendent to immediately remove the individual from the premises and permanently terminate his work assignment. FCPS may terminate this contract at no additional costs, as a result if the vendor is unable to demonstrate they have exercised care and diligence in the past in checking the Maryland registry.
- b. Contracted service providers who have regular, direct and unsupervised access to children cannot begin service without undergoing the same process as new employees per FCPS Regulation 300-33. If required, an awarded vendor(s) is responsible for payment of the full cost of the criminal background check. Additional information regarding this requirement will be found in Section II.
- c. The awarded vendor(s), or subcontractor(s), may not knowingly assign an employee to work on FCPS school premises with direct, unsupervised, and uncontrolled access to children, if the employee

has been convicted of a crime identified as a crime of violence.

- d. The awarded vendor(s) will not assign employees who has been convicted of an offense under § 3-307 or § 3-308 of the Criminal Law Article or an offense under the laws of another state that would constitute a violation of § 3-307 or § 3-308 of the Criminal Law Article if committed in the state.
- e. An awarded vendor will not assign employee who has been convicted of a crime of violence as defined in § 14-101 of the Criminal Law Article, or an offense under the laws of another state that would be a violation of § 14-101 of the Criminal Law Article if committed in this state.
- f. With the passing of Maryland Law MD. Code, Educ. 6-113.2, employers of all contracted staff must obtain background information relating to child sexual abuse or sexual misconduct. This means that all contracted staff having direct contact with students must meet all of the FCPS and Maryland State Department of Education (MSDE) requirements before doing business with FCPS. See: [Maryland State Department of Education Website](#); [House Bill 486 Child Sexual Abuse and Sexual Misconduct Prevention](#); [MSDE Guidelines For MD. Code, Educ. 6113.2](#); and [Employment History Review Form for Child Abuse and Sexual Misconduct](#) for additional information.

In addition, there has been no change to the current FCPS requirement, that all contracted staff who have contact with students are required to be fingerprinted in order to obtain a criminal background check. Fingerprints and background check are still an enforced FCPS requirement.

#### **34. DRUG, ALCOHOL, AND TOBACCO-FREE WORKPLACE**

- a. All awarded vendors and subcontractors must abide by Board Policy 112 while working on any FCPS property at all times.
- b. The Board of Education of Frederick County endorses the provisions of Public Law 100-690, Title V, Subtitle D (Drug-Free Workplace Act of 1988) and Public Law 101-226 (The Drug-Free Schools and Communities Act of 1989) and regulations promulgated there under and establishes a drug-free and alcohol-free workplace and school system.
- c. Maryland State Law (COMAR 13A.02.04) provides that each local school system is required to maintain a tobacco-free school environment.

#### **35. WEAPON POSSESSION ON SCHOOL PROPERTY**

- a. The criminal code of Maryland makes it illegal to possess a weapon on school property.
- b. No person shall carry or possess any rifle, gun, knife, or deadly weapon of any kind on FCPS property.
- c. Any awarded vendor(s) whose employees violate this clause may be subject to the termination of the contract for cause.

#### **36. FOREIGN LANGUAGE TRANSLATOR REQUIREMENT**

- a. An awarded vendor(s) that assigns employees to an FCPS project that do not speak English must



have an on-site, full time interpreter.

- b. Failure of an awarded vendor(s) to have an on-site, full time interpreter that is fluent in speaking and understanding an employee's native language for those employees that do not speak English is reason for immediate termination of the contract for cause.

### **37. ILLEGAL IMMIGRANT LABOR**

The use of illegal immigrant labor to fulfill contracts solicited by FCPS is in violation of the law and is strictly prohibited. Awarded vendor(s) and subcontractors must verify employment eligibility of workers in order to assure that they are not violating federal/state/local laws regarding illegal immigration. A compliance audit may be conducted.

### **38. STUDENT/STAFF CONFIDENTIALITY**

Under no circumstances may any vendor/contractor/provider/consultant release, disclose, sell or otherwise use names, addresses, or any other information related to students, or staff, of FCPS and may only use this information for purposes required under any contract/agreement or memorandum of understanding.

### **39. PUBLIC INFORMATION ACT NOTICE**

- a. Bidders should identify those portions of their solicitation, which they deem to be confidential, or to contain proprietary commercial information or trade secrets. Bidders should provide justification why such material, upon request, should not be disclosed by FCPS under the Public Information Act, Title 4, General Provisions Article, Annotated Code of Maryland.
- b. Unless portions of a solicitation are identified as confidential, all records are considered public. A person or governmental unit that wishes to inspect a public record, or receive copies of a public record, shall submit a written or electronic request and direct it to the Office of Legal Services per FCPS Regulation 200-42.

### **40. FORCE MAJEURE**

Force Majeure is defined as an occurrence beyond the control of the affected party and not avoidable by reason of diligence. It includes the acts of nature, war, riots, strikes, fire, floods, epidemics, terrorism, or other similar occurrences. If either party is delayed by Force Majeure, said party shall provide written notification to the other within 48 hours. Delays shall cease as soon as practicable and written notification of same provided. The time of contract completion may be extended by contract modification, for a period of time equal to that delay caused under this condition. FCPS may also consider requests for price increase for raw materials that are directly attributable to the cause of delay. FCPS reserves the right to cancel the contract and/or purchase materials, equipment or services from the best available source during the time of Force Majeure, and the vendor shall have no recourse against FCPS. Further, except for payment of sums due, neither party shall be liable to the other or deemed in default under this contract, if and to the extent that such party's performance of this contract is prevented by reason of Force Majeure as defined herein.

### **41. ETHICS POLICY**

- a. The Board of Education of Frederick County has an Ethics Policy, which covers conflict of interest, financial disclosure and lobbying. All bidders are expected to comply with any and all Ethics Policies

that may apply to them individually or as a business entity.

- b. All bidders should carefully review Board Policy 109, Ethics, which prohibits FCPS employees from benefiting from business with the school system.

#### **42. NON-COLLUSION**

- a. Bidder represents and certifies that prices for these services have been arrived at independently without consultation, communication, or agreement for the purpose of restricting competition or any matter related to such prices with any competitor or other bidder. Prices quoted in this bid have not been knowingly disclosed directly or indirectly to any competitor or other vendor prior to the opening of this bid.
- b. Bidder represents and certifies that it has not employed or retained any other company or person (other than a full-time bona fide employee working exclusively for the bidder) with the primary intent to solicit or secure the contract.

#### **43. CONFLICT OF INTEREST**

The bidder will advise FCPS in writing as soon as possible, but not later than the date of award of the contract, of any known relationships with a third party, or FCPS employee or representative, which would present a significant advantage to one bidder over another bidder or present a conflict of interest with the rendering of products and services under this agreement.

#### **44. eMARYLAND MARKETPLACE ADVANTAGE REGISTRATION**

Contractors are required to register with eMaryland Marketplace Advantage [www.procurement.maryland.gov](http://www.procurement.maryland.gov) within five days following notice of award. Maryland law requires local and state agencies to post award notices on eMaryland Marketplace Advantage. This cannot be done without the contractor's self-registration in the system. Registration is free. Failure to comply with this requirement may be considered grounds for default. It is recommended that any interested bidder register with eMaryland Marketplace Advantage regardless of the award outcome for this procurement as it is a valuable resource for bid notification for municipalities through Maryland.

**FREDERICK COUNTY PUBLIC SCHOOLS (FCPS)**  
**SPECIFIC TERMS AND CONDITIONS**  
**SECTION II**

**1. SCOPE**

It is the intent of this RFP to solicit sealed technical and cost proposals from qualified firms to establish a contract(s) for banking services for Frederick County Public Schools (FCPS). The services to be provided include depository, disbursement, payroll direct deposit, investments, custodial, etc. FCPS reserves the right to award these services in whole or in part to any vendor. It is FCPS' intent to achieve the most productive use of cash, minimize operating costs, safeguard assets and provide maximum flexibility in the management of cash.

**2. CONTRACT PERIOD**

The contract term will be effective from February 29, 2020 through February 28, 2023. FCPS retains the right to renew the contract for two additional two-year periods, with all terms and conditions of the original contract applying to any such renewal. The renewal of this contract will be invoked at the discretion of the Board of Education. When a bidder submits a bid, they automatically accept the above referenced renewal options as a condition of award.

Prior to contract renewal, FCPS and the awarded firm will communicate regarding performance, revisions, and modifications to this contract.

Direct Deposit services currently expire on March 14, 2020. Awarded Direct Deposit services will begin on March 15, 2020, then follow the above contract term.

**3. PRICING**

- a. All prices shall remain firm through the initial contract period.
- b. FCPS expects all vendors to provide year over year cost reductions recommendations.
- c. Price decreases are acceptable at any time, need not be verifiable, and are required should the contractor/producer/processor/manufacture experience a decrease in costs associated with the execution of the contract.
- d. Price adjustments from the contractor/producer/processor/manufacture for any/all items may be considered at renewal. The request is subject to approval by the Contracting Officer. The request must be submitted in writing at least 60 days prior to the renewal term and shall be accompanied by supporting documentation.
- e. Should the awarded vendor, at any time during the life of the contract, sell materials of similar quality to another customer, or advertise special discounts or sales, at a price below those quoted within the contract, the lowest discounted prices shall be offered to FCPS.

**4. ACCEPTANCE OF TERMS AND CONDITIONS**

By submitting a response to this RFP, a vendor shall be deemed to have accepted all the terms, conditions, and requirements set forth in the RFP unless otherwise clearly noted and explained in its proposal. All proposals submitted in response to this RFP become the property of FCPS.

**5. EXCEPTIONS TO SPECIFICATIONS**

Bidders taking exception to, or deviating from the scope of services, terms or conditions as stated, shall list such exceptions and/or deviations on a separate sheet to be submitted with their proposal. The absence of such a list shall indicate that the bidder has taken no exception to the terms as written. FCPS shall have the right to accept or reject such exception/deviation as may be determined to be in the best interest of FCPS.

## **6. SERVICES TO BE AVAILABLE AFTER CONTRACT EXPIRATION**

After the termination of the Contract between FCPS and the vendor(s), the vendor will provide continuing access to financial information for at least three (3) years after the contract for financial research and reporting purposes. This access can be an electronic copy of the information or access to the vendor's web-based account access system.

## **7. ARBITRATION**

It is expressly agreed that nothing under the contract shall be subject to arbitration, and any references to arbitration are expressly deleted from the Contract Documents.

## **8. PROJECT STAFFING**

Frederick County Public Schools will, throughout the life of this Agreement, have the right of reasonable rejection and approval of staff or subcontractors assigned to the project by the Vendor. If Frederick County Public Schools reasonably rejects the staff or subcontractors, the Vendor must provide replacement staff or subcontractors satisfactory to Frederick County Public Schools in a timely manner and at no additional cost to Frederick County Public Schools. The day-to-day supervision and control of the Vendor's employees shall be the sole responsibility of the Vendor.

## **9. GENERAL BANK REQUIREMENTS**

Bidder must be FDIC insured, and cannot be listed on the FDIC "problem list."

## **10. BIDS FOR ALL OR PART**

A bidder may restrict their bid to be considered in the aggregate by so stating, but must submit a unit price on each item bid. Failure to provide unit prices may result in the bid being considered non-responsive.

## **11. PREPARATION OF PROPOSAL**

- a. Due to possible changes and/or additions to the solicitation package, FCPS requests that bidders delay submission of their bid package until after the date of the pre-bid meeting or the date that questions are due to allow time for the possible issuance of an addendum. All changes will be processed through appropriate addenda.
- b. The Technical and Cost proposals will be submitted in separate envelopes.

## **12. EVALUATION CRITERIA AND AWARD**

- a. The following factors will be considered in the award of this contract:
  - i. Adherence to the Mandatory Requirements in Section IV, Item 3.
  - ii. Depth of response to the Technical Requirements in Section IV, Item 4.
  - iii. Details of the approach and methodology of the program.
  - iv. Reasonableness of the cost proposal.

- b. A committee of FCPS staff will independently review and evaluate all proposals. The committee will conduct a preliminary evaluation of all proposals on the basis of the information provided within the technical proposal, and the evaluation factors. Based upon this review, the cost proposals of the highest rated offeror(s) will then be reviewed and evaluated on a weighted basis.
- c. In the event of receipt of an adequate number of proposals that are determined to require no clarifications and/or supplementary information, such proposals may be evaluated without further discussion. Consequently, all offerors should make all efforts to provide complete, thorough proposals with the offeror's most favorable terms.
- d. The Contract Manager may arrange for discussions with firms submitting proposals, if required, for the purpose of obtaining additional information or clarification. Should a proposal require additional information/clarification, the offeror will submit such material in a timely manner.
- e. Based on the results of the preliminary evaluation, the highest rated offeror(s) may be invited to make an oral presentation to obtain more information prior to recommendation for award. FCPS reserves the right to request Best and Final offers from the bank(s) invited.
- f. The contract will be awarded to the offeror(s) whose proposal is determined to be the most advantageous to FCPS, as shown in the final scoring rubric. FCPS reserves the right to award the contract, in aggregate, to a single Bank, or award each scope to separate Banks.

### **13. VENDOR PERFORMANCE EVALUATION**

- a. The Contract Manager and Administrator shall confer periodically to discuss the status of the contract. Issues of noncompliance may arise throughout the contract term and shall be brought to the attention of the Contract Manager as they occur.
- b. The Contract Manager or Administrator may request multiple metrics, from the vendor, to evaluate contract performance. Metrics may include, but are not limited to:
  - i. Delivery
  - ii. Response time
  - iii. Backorders
  - iv. Quality of deliverables
  - v. Invoicing
  - vi. Sales data (Contract data, non-contract data)
  - vii. Financial
- c. Where technical, construction or performance specifications have been identified in the bidding document, the contract administrator shall utilize these specifications as the basis of determining contract compliance.
- d. If noncompliance occurs, it shall be documented in a timely manner, including actions taken and final resolution. Copies of the correspondence will be maintained in the Purchasing Department bid documents.
- e. Issues of noncompliance will be handled on a case by case basis. This may include, but is not limited to, written correspondence, face-to-face meetings, and/or an agreed upon performance management plan. FCPS retains the right to terminate the contract, in whole or in part, if the noncompliance issue is not resolved to the satisfaction of FCPS.

### **14. CONTRACTOR'S AND SUBCONTRACTOR'S INSURANCE**



FCPS requires insurance certificates evidencing the compliance of insurance requirements at least ten calendar days after receipt of the Notice of Award. The vendor will not commence work until a notice to proceed letter, or purchase order, is issued, nor will the vendor allow any subcontractor to commence work on their subcontract until the insurance required of the subcontractor has been obtained and approved.

a. Worker's Compensation

The vendor will procure and maintain, during the life of the contract, Worker's Compensation Insurance, as required by applicable State laws. In the case of sublet work, the vendor will require the subcontractor similarly to provide Worker's Compensation Insurance for all of the latter's employees to be engaged in such work unless such employees are covered by the protection afforded by the vendor's Worker's Compensation Insurance.

b. Employers' Liability Insurance

The vendor will procure and maintain, during the life of the contract, Employers' Liability Insurance in the following amounts:

E.L. Each Accident	\$100,000.00
E.L. Disease - Each Employee	\$100,000.00
E.L. Disease - Policy Limit	\$500,000.00 each employee

The vendor will require any subcontractor to procure and maintain Employer's Liability Insurance during the life of the contract. It will be the responsibility of the vendor to ensure that all subcontractors comply with this provision, and the vendor will indemnify, and hold harmless, the Board of Education of Frederick County for the failure of the vendor, or any subcontractor, to comply with these provisions.

c. Commercial General Liability Insurance

The vendor will procure and maintain, during the life of the contract, Commercial General Liability Insurance including premises and operations, completed operations and products, on a per occurrence basis, with at least the following limits:

General Aggregate	\$2,000,000 per project
Products-Completed Operations Aggregate	\$2,000,000
Personal & Advertising Injury	\$1,000,000 each occurrence
Each Occurrence	\$1,000,000
Fire Damage	\$50,000
Medical Expense	\$5,000 any one person

The "X, C, U" Coverage for explosion, collapse, and underground property damage shall not be excluded from the policy.

Completed operations liability coverage shall be in force for one year after completion of work.

d. Scope of Insurance and Special Hazards

The insurance required in C. and E. will provide adequate protection for the vendor and subcontractors, respectively, against damage claims which may arise from operations under the contract, whether such operations be by the insured or by anyone directly or indirectly employed by them and, also against any of the special hazards which may be encountered in the performance of this contract as enumerated in C. above. Insurance coverage required under C. above shall specifically include property damage caused by conditions otherwise subject to exclusions "X, C, U" (Explosion, Collapse or Underground Damage) as defined by the National Bureau of Casualty Underwriters. Exceptions: contracts that do not require excavation or underground work are not required to have the above "X, C, U" coverage.

e. Comprehensive Automobile Liability

The vendor shall maintain Comprehensive Automobile Liability Insurance including all automotive equipment owned, non-owned and hired, operated, rented, or leased. Minimum limits of Automobile Liability Insurance shall be:

Bodily Injury	\$1,000,000 per person/\$1,000,000 accident
Property Damage	\$1,000,000 each occurrence, or
Combined Single Limit Bodily Injury and Property Damage Liability	\$1,000,000

f. Subcontractor's Insurance

The vendor will either:

- i. Require each of their subcontractors to procure and maintain, during the life of the subcontracts, Liability Insurance of the type and in the same amounts as specified above; or
- ii. Insure the activities of the subcontractors in their own policies. It will be the responsibility of the vendor to insure that all subcontractors comply with this provision, and the vendor will indemnify and hold harmless the Board of Education of Frederick County for the failure of the vendor, or any subcontractor, to comply with these provisions.

g. Builder's Insurance

FCPS shall provide and maintain Builder's Risk Protection.

h. Professional Liability Insurance

The vendor shall maintain, during the life of the contract, professional liability and errors and omissions coverage in the minimum amounts of \$1,000,000 per claim and \$3,000,000 in aggregate.

i. Proof of Carriage of Insurance

The vendor will furnish FCPS with certificates showing the type, amount, class of operations covered, effective dates and dates of expiration of policies. Such certificates also shall contain substantially the following statement: "The insurance covered by this certificate will not be cancelled or materially altered, except after thirty days written notice has been received by FCPS."

i. Additional Insured

The Owner, Board of Education of Frederick County, the Frederick County Government, and other entities stipulated by the Owner, shall be named as additional insured on all vendor's policies, other than Worker's Compensation Insurance policy. The vendor's insurance will be primary and non-contributory to any insurance carried by the Board of Education of Frederick County or other entity. Waiver of subrogation applies to above policies in favor of the certificate holder. Insurance providers must have an AM Best Company rating of at least A-/VIII.

## 15. SECURITY AND CONFIDENTIALITY

The vendor shall adopt, implement, and maintain commercially reasonable security measures and procedures (including firewalls, passwords, encryption, commercially available virus protection, access and use of adequate back-up computer servers, and periodic backup of data) on a continuing basis. The vendor acknowledges that the FCPS data house on the vendor's system is the property of FCPS and the vendor agrees not to use such data for any purpose except to the extent necessary to fulfill its obligation under the agreement. The vendor agrees that it shall treat FCPS data with the same degree of care as it accords its own confidential information of a similar nature. The vendor will agree to comply with the provisions regarding the protection of confidential student data as proscribed in the Student Data Privacy Act of 2015 (H.B. 298), and FCPS Policy 442: Student Data Privacy.

## **16. SERVICE ORGANIZATION CONTROL (SOC) AUDIT REPORT**

This section applies to the vendor and any relevant subcontractor who provides services for FCPS identified critical functions, handles Sensitive Data, and/or hosts any related implemented system for FCPS under the Contract. For purposes of this section, “relevant subcontractor” includes any subcontractor that assists the vendor in the critical functions of the Contract, handles Sensitive Data, and/or assists with any related implemented system, excluding subcontractors that provide secondary services that are not pertinent to assisting the vendor in the critical functions of the Contract, handling Sensitive Data, and/or assisting with any related implemented system.

The vendor shall provide annually, at no cost to FCPS, evidence of compliant and ongoing internal control of sensitive data and processes through a standard methodology, such as but without limitation the American Institute of Certified Public Accountant (AICPA) Service Organization Control (SOC) Reports. The evidence of compliance shall be contained in a report describing the effectiveness of the vendor’s internal controls.

If deficiencies in the vendor’s internal control processes and procedures are described in the most recent version of the report, the vendor shall automatically submit the report to the Contract Manager, within a timely manner, and shall describe the corrective actions to be put into place by the vendor to remedy the deficiencies. Failure to report and/or repair deficiencies in a timely manner shall be cause for FCPS to make a determination of breach of contract.

**FREDERICK COUNTY PUBLIC SCHOOLS (FCPS)**  
**SCOPE OF SERVICES**  
**SECTION III**

**1. Direct Deposit Services**

To secure the services of a qualified bank for the Direct Deposit of Payroll and other payroll related deposits into the accounts of participating employees of Frederick County Public Schools. Deposits may be directed to any bank, savings and loan, or credit union that is a member of the National Association of Clearing Houses.

Frederick County Public Schools will consider proposals which include fee(s) for service. Firms are required to disclose in their cost proposal any and all costs associated with providing the level of service specified. Costs that have not been clearly identified in the proposal will not be subject to billing/payment later. Pricing should be stated in terms of an annual cost and the proposal shall address payment terms and terms of price escalation over the life of the contract, if any. Costs/fees will be an important evaluative factor in the review of proposals.

Please be advised that transmission of payroll data is made through the FCPS Fiscal Services - Accounting Department, 191 South East Street, Frederick, Maryland 21701.

The Accounting Department compiles and maintains employee payroll data via PeopleSoft HR software version 9.2. This includes adding and removing employees and making changes in employee payroll information. Currently, FCPS transmits payroll data two days before each payday to the bank on a flat file by electronic transmission using software provided by the bank. The file is sent through a secure internet connection via the bank's secured web site. Each transmission is confirmed on-line as soon as the file transfer is complete. Two days prior to the effective pay date, an ACH is sent to the bank in the total amount of the net pay for that specific payroll. The ACH should be confirmed with FCPS.

**Background**

FCPS is currently utilizing a 48-hour time frame for sending payroll data for timely deposits.

**Enrollment**

Direct deposit is mandatory for all union employees and non-union employees (part time non-benefitted employees have the option to also direct deposit their pay). All employees may change banks at any time as long as the new bank can accept direct deposit of payroll.

**Statistics**

- Gross dollars per payroll is currently approximately \$18,600,000.
- Maximum of 24 pay periods per annum; may vary per employee.
- Approximately 7,650 employees are paid on a regular basis.
- Currently 7,500 employees participate with the payroll direct deposit approximating \$10,250,000.

**BANK RESPONSIBILITIES**

The successful lead bank will be responsible for the following activities:

- Advise Frederick County Public Schools of all procedures and timetables for adding and removing employees from the direct deposit file, amending employee information, submitting payroll information and making changes in payroll information.

- Forward recorded payroll information to agent banks as required so that deposits are made in the specified accounts by the effective pay date.
- Provide Frederick County Public Schools with statements of deposit and other documents necessary and requested for audit and record keeping purposes.
- Designate one or more individuals as contacts for Frederick County Public School's account. These persons will be available to assist Frederick County Public Schools staff in answering questions that employees may have regarding the direct deposit program. They will also be authorized to obtain clarifications regarding discrepancies in payroll data and other operating problems that may arise.

## 2. **Public Funds Banking**

The following data presents FCPS' existing account structure. Vendors are encouraged to propose alternate account structures if deemed appropriate and beneficial to FCPS.

1. General Fund Checking Account
2. Payroll Checking Account
3. Self-Insurance Checking Account
4. Construction Fund Checking Account
5. Food Service Checking Account
6. Individual School Activity Accounts
7. Other specialized Accounts

*The following information is believed to be accurate, and is provided as an estimate of the activity handled by each of the existing accounts. Please note that this is FCPS' current account structure and that vendors may make recommendations as to different structures.*

### **General fund checking account:**

The current account clears approximately 553 checks per month. At the present time the General Fund account serves as the main disbursement account for FCPS and also as the base account for the Restricted Fund ZBA account, Imprest Fund ZBA and Payroll ZBA account. Approximately 8 stops and cancels are placed on-line per month on checks written from the General Fund account. Approximately 47 ACH transactions are initiated per month by outside vendors for payments of federal, FICA, and Maryland taxes, Child Support payments via Expert Pay, and approximately 38 ACH credits per month are received into the General Fund Account from Frederick County Government, Maryland State Retirement Agency and others. On average 6 internal account transfers are initiated per month from this account to other FCPS accounts. ZBA debits are approximately 296 per year and there are no ZBA credits. Approximately 37 deposits are made on a monthly basis for an average of \$900,000 deposited over the counter each month. The average number of items in a deposit is 20. This account carries a monthly average collected balance of \$5,290,000. The General fund checking account uses positive pay for processing payments and preventing fraud.

### **Payroll Checking Account:**

The current account clears approximately 400 checks per month. FCPS employees are paid semi-monthly on the 15<sup>th</sup> and last business day of the month. Approximately 1 stop payment is made monthly on this account. This account presently operates as a zero-balance account. The Payroll checking account uses positive pay for processing payments and preventing fraud.

### **Self-Insurance Checking Account:**



Presently FCPS is self-insured for medical, prescription and dental coverage. Third parties handle the payment of claims and make regularly (usually weekly) ACH drafts from the Self-Insurance Checking Account to pay these claims. On average this account pays 27 checks and has 49 ACH debits per month. Typically, each pay date, a transfer of funds is initiated from the General fund checking account into this account. Additionally, FCPS initiates ACH drafts typically twice a month to pay prescription claims. This account carries a monthly average collected balance of \$3,942,000.

**Construction Fund Checking Account:**

FCPS maintains a separate checking account to track the receipts and payments for its capital projects. In the fiscal year 19 approximately 637 checks were paid for a total of \$32.9 million in disbursements compared to 817 checks paid in fiscal year 18 with a total of \$83 million in disbursements. Other account activity in fiscal year 19 included 25 ACH credits, 16 deposits, 1 ACH debit and 9 money transfer debits. The Construction account balances can fluctuate annually based on the capital projects being funded for the year. This account carried an average collected balance in fiscal year 19 of \$2.6 million compared to \$4 million in fiscal year 18. The highest monthly deposit in the last two years was \$18 million.

**Food Service Checking Account:**

Presently FCPS food service operations provide students several options for students and /or parents to pay for meals at the school locations. Food Service uses a third party vendor to process on-line payments. The on-line payments are deposited via My School Bucks, a Heartland Company. There are currently two Food Service accounts and their combined monthly activity includes 878 deposits, 48 ACH deposits, 24 checks paid, and 1,308 cash verification/night drops. In the past year currency was furnished 125 times and coin rolls 76 times. The monthly average collected balance for the two accounts is approximately \$2.1 million.

**Individual School Activity Checking Accounts:**

Currently 51 of our schools have individual checking accounts with our lead bank mainly due to the convenience of the lead bank's branch locations to the school. This is an area where providing a courier service would be beneficial especially if branch locations were not available. These accounts can range in value from \$500 to \$380,000 and their monthly activity combined averages 320 deposits; 500 ACH deposits; 630 checks paid, 17 ACH debits and 10 return items.

**Other specialized accounts:**

FCPS has two Money Market Accounts with our lead bank, each carrying an average balance of \$2 to \$2.5 million. At this time FCPS places the majority of its funds in Maryland Local Government Investment Pool (MLGIP) due the rate of interest earned. There are currently four accounts with MLGIP carrying monthly average balances of \$47 million, \$4.7 million, \$743 thousand and \$22 thousand. FCPS will evaluate these investment arrangements periodically but for this bid, vendors are to assume that these funds will continue in a similar manner.

FCPS currently has an Imprest Account which is a zero-balance checking account used for emergency purposes. On average 6 - 7 checks are processed on this account each month, though this may fluctuate throughout the year.

**On-line Payment Accounts:**

FCPS also has 3 accounts for accepting on-line payments. One for payments through PayPal and two for payments through School Cash On-line (an online payment system through the KEV Group).

**General Requirements:**

- All accounts require monthly statements and analyses.
- Copies of paid checks should be received via CD Rom and should be available on-line each month and into the future for research purposes.
- Daily balances in these accounts should be automatically invested overnight, or interest bearing. Exact structure of automatic investments can be proposed by vendor.
- All collateralization requirements according to Maryland State law must be adhered to. (Refer to State of Maryland Annotated Code, Article 95, Section 22). All securities pledged by the Bank for FCPS will be kept in safekeeping by an Escrow Agent. Pledged collateral will be 102% of the deposit or investment. A Collateral Agreement outlining compliance will need to be provided by the Vendor.
- Frederick County Public Schools shall have the capability to initiate wire transfers, stop payments, ACH transactions on an on-line (via the internet) system. Email and/or fax confirmation of all money movements are required.
- Online account access available with research capabilities, including administration and security access capabilities. Ability to view user specific activity. Export capabilities required.
- All disbursement checks from FCPS accounts shall have automatic overdraft protection.
- The bank must be able to offer Positive Pay security measures to prevent check fraud. The security criteria must include check number and dollar amount. Payee name is optional. Local depository locations must have access to utilize the Positive Pay system prior to cashing checks.
- The ability to download a daily check file that includes cancelled check data through a secure connection.
- The bank must have the ability to provide real time, online reporting of daily and historical transactions and account balances, and monthly bank statements.
- The bank should provide detailed information of deposit corrections, including copies of deposit tickets and items requiring adjustments.
- Vendor must agree to cash any check drawn from FCPS at no fee to the customer.
- FCPS presently performs an automated bank reconciliation therefore, the vendor should provide some sort of bank reconciliation service in the proposal. Services should include providing a file (ASCII variable length file with fields separated by semi colons or pipes) with the following information:
  1. Account Number – 13 digits
  2. Check Number – 6 digits
  3. Check Amount – 10 digits
  4. Paid Date – 8 digits

### **3. Security Requirements**

- FCPS requires secure channels for the communication and exchange of data, such as SSL and data encryption.
- All transit communications must be protected with Transport Layer Security (TLS) 1.2 or better encryption.
- FCPS requires immediate (within 24 hours or less) notification of fraud, or other issues, involving FCPS provided data.
- Banks are required to notify FCPS of compromised data, even if the data breach is located at a third party. FCPS requires assistance to notify anyone with compromised data.
- Notification of critical patches and updates to applicable software if a security flaw is identified.
- The ability to recognize and notify FCPS of access attempts made from IP-addresses outside the range of authorized IP addresses.
- Banks are required to comply with the encryption requirements adopted by IRS Publication 1075, Tax Information Security Guidelines for Federal, State, and Local Agencies.

**FREDERICK COUNTY PUBLIC SCHOOLS (FCPS)**  
**TECHNICAL PROPOSAL REQUIREMENTS**  
**SECTION IV**

**1. General**

Respondents shall submit one (1) original and one (1) digital copy. The following information, at a minimum, must be provided for proposal evaluation by the Selection Committee. Your submittal should be complete in every way in order for the Selection Committee to make a proper and complete evaluation of your capabilities and response. Respondents not providing the following information may be considered non-responsive.

**Technical Proposals must be submitted in a separate envelope from the Cost Proposals.**

Submittals shall be clear and concise. Utilize a table of contents for ease in finding the necessary information. The cover letter (letter of transmittal) accompanying the submittal shall be signed by the person or persons required and authorized to legally bind the firm to the submittal and shall specifically state that the firm shall complete all services set forth in the requirements within the proposed time limits to the satisfaction of FCPS.

Unless specifically requested, extraneous presentation of materials is neither necessary nor desired. Unnecessarily elaborate brochures or presentations, beyond that sufficient to present a complete and effective proposal, are not desired. Elaborate artwork, expensive paper, bindings, visuals, and other presentations aids are not required. Any justification or explanatory materials relevant to your submittal and/or requirements shall be set forth in this letter. The letter shall be concise and need not repeat any of the detailed information set forth in your submittal. Submittals shall be on 8 ½ x 11 " paper only.

**2. Expenses Incurred in Preparing Proposal**

FCPS accepts no responsibility for any expense incurred by the vendor in the preparation and presentation of their proposal. Such expenses are to be borne exclusively by the vendor.

**3. Mandatory Requirements**

1. Cover Letter: The cover letter will briefly summarize the vendor's ability to provide the services specified in this RFP. It will also state that the vendor, if awarded a contract, will not use the names and addresses of FCPS employees for any purpose not directly related to the services of this contract.

The cover letter must be signed by a representative of the vendor who has the legal capacity to enter the organization into a formal contract with FCPS.

2. Company Profile: The vendor must have at least five (5) years experience in providing diverse banking services similar to those described in the RFP. Statement of this is required in the Cover Letter.

3. Independence and Impartiality: The vendor shall assure independence and impartiality in its recommendations through incorporation of such a statement in the proposal to FCPS. Statement of this is required in the Cover Letter.

4. Bid Submissions: The vendor will indicate which sections of the bid that they will be providing proposals on.

5. Service and Organization Controls (SOC) 2: The vendor must provide a SOC 2 report, or

equivalent, for review.

#### **4. Technical Requirements**

##### **1. Firm Overview**

Provide the name, address, and telephone number of the manager responsible for this project.

How many years has the firm been in business? Explain firm management structure and provide a one-page history of your firm.

Include the following information about the company and local office: size, bank locations, and the length of time it has been performing direct deposit services and/or servicing public fund entities as their primary banking services.

State if your firm is currently involved in any lawsuit or judgment, and if so, provide a brief statement of such.

##### **2. Understanding of Scope of Services**

The vendor must demonstrate an understanding of the scope of work to be performed with consideration for the school system and public sector environment.

##### **3. Experience**

Include the following information about three (3) to five (5) organizations/companies of similar size and volume, with priority to Maryland school systems for whom your firm provided banking and/or direct deposit services in the last five (5) years. If there is no experience with Maryland school systems, please include experience in the following priority order: out of state school systems, county/local governments, higher education.

- Name of School System/Organization/Company
- Number of employees
- Services provided and offered
- Name, title, address and telephone number of individuals who may be contacted by the evaluation committee for reference purposes

Firms shall demonstrate that they have experience in the performance of similar services to those required by this solicitation, and shall provide information for up to ten (10) similar projects that your firm has administered in the past ten (10) years.

Vendors must be able to demonstrate sufficient experience at providing electronic interface to PeopleSoft 9.2 financial management software.

##### **4. Staffing Plan**

The vendor shall identify the specific staff who will be involved in both the implementation phase and the day-to-day operational phase for this project. Supply a resume of the credentials for each of these staff members. Qualifications, training and experience relating to the project shall be provided. Include a time line schedule of staffing indicating titles and responsibility for all personnel to be utilized. Should substitutions of personnel be proposed by the vendor after award of contract, FCPS reserves the right to approve or reject any or all replacements.



Provide disclosure of banking services, if any, that would be sub-contracted, reason that they cannot be provided in-house, and information on proposed sub-contractor.

5. **References**

Provide a minimum of three (3) references for projects of similar scope as described herein, either current or completed in the last five (5) years for Public Funds entities. Priority should be given to Maryland school systems, followed by out of state school systems, county/local governments, and higher education.

6. **Company Financial Condition**

Provide your company's latest annual report and financial statement, and the most recent quarterly reports.

7. **Certificate of Insurance**

a) Provide a sample insurance certificate to verify bidder's ability to obtain the required insurance for this work. (See Section II, Specific Terms & Conditions, Item 13 Contractor's and Subcontractor's Insurance.

b) Insurance shall include Professional Liability coverage.

5. **Direct Deposit Services**

1. **Project Approach**

- i. Explain on two pages, or less, why your firm is qualified to execute the project.
- ii. Provide any exceptions/clarifications/additions to Bank Responsibilities as outlined under Section III, Item 1. Direct Deposit Services.
- iii. Provide evidence of being in good standing and approved depository in accordance with Section 135.03 of the Maryland Revised Code.
- iv. Explain your firm's timeline and activity schedule for implementing the first payroll under this contract. Timeline should begin with the post-award meeting between Frederick County Public Schools and representatives from the Bank.
- v. Provide specific answers to the following questions in the order that they are listed:
  - a. Indicate where your firm is incorporated.
  - b. List any no-cost or reduced cost services or reduced rate loans the Vendor is willing to provide to employees who hold direct deposit accounts with the Vendor, or to other employees having personal banking relationships with other Banks.
  - c. Please provide information on your Bank's ability to provide Pre-Note services for Direct Deposit services.
  - d. Please describe your Bank's disaster recovery policy for direct deposit services.
  - e. Please provide the Bank's credit rating, the agency providing the rating,

and the period for which the rating relates.

- f. Specify available time periods (with a maximum of 48 hours) for receipt of direct deposit data to ensure timely deposits.
- vi. Explain the specific procedure for transmission of employee payroll data from FCPS to the Bank.
- vii. Provide any additional information considered important in evaluating the ability of the Bank to perform the contract and the quality of services being offered.

## **2. Public Funds Banking**

In addition to the traditional process of utilizing local depository locations, FCPS is requesting information on a program centered around remotely depositing cash and checks from all FCPS locations.

FCPS will consider proposals from vendors who are able to provide local depository locations, as well as vendors who are able to provide fully remote depository services only. The selection, and award, of a scenario will be to the one that is in the best interest of FCPS. Vendors who are able to provide both scenarios are encouraged to submit a proposal for both options.

### **a. Project Approach**

- i. Explain on two pages or less why your firm is qualified to execute the project.
- ii. Explain your firm's approach that would allow Frederick County Public Schools the capability to initiate wire transfers, stop payments, ACH transactions, etc. on an internet-based system.
- iii. Explain your firm's timeline and activity schedule for implementation. Timeline should begin with the post-award meeting between Frederick County Public Schools and representatives from the Bank.
- iv. Provide specific answers to the following questions in the order that they are listed:
  - a. Indicate where your firm is incorporated.
  - b. What is your funds availability policy for deposits drawn on other banks, ACH's, and wires?
  - c. Please describe the third-party collateralization structure you will use for securing FCPS deposits/investments (in accordance with Maryland law governing public funds).
  - d. Please describe web-based technology that would enable FCPS to initiate wires, stop payments, ACH's, transfers between accounts, etc. Indicate the amount of time data is accessible online.

- e. Please describe the technology available to update and view account statement information including cleared checks. Also, please describe the technology to view daily and previous day transactions, customized reports and to prepare and transaction future transactions, to include export capabilities to Microsoft Office.
- f. Please provide information on paid check archiving, imaging, storage, etc. (The State of Maryland Public School Construction currently requires copies of cleared checks for reimbursement purposes, therefore, some check copies are required on a routine monthly basis).
- g. Please describe account structure proposed (see Section III - Scope of Services).
- h. Please describe automated bank reconciliation services available (see Section III - Scope of Services).
- i. Please describe any fraudulent check services to be provided. Will you require positive pay on any or all accounts?
- j. Please describe your firm's policy & fee structure for cashing foreign account holders' checks drawn on your bank from Frederick County Public Schools.
- k. Please describe your firm's disaster recovery policy for public funds banking.
- l. Please provide the firm's credit rating, the agency providing the rating, and the period for which the rating relates.
- m. Describe your proposed procedures for the processing of returned deposit items. Will returned items be automatically presented a second time? If yes, in what time period? Is there an option for the Board to re-present items manually?
- n. Describe the details of how your bank's sweep products work. Are sweep accounts and related investments handled by your bank, or is a third party involved? If a third party is involved, describe the bank's relationship and guarantee (if any) with that party.
- o. Provide historic investment rates for the past 180 days for your bank's sweep account customers.
- p. Do your Money Market accounts have restrictions on the total numbers of transactions per month? If so, what is the limit of number of transactions?
- v. Local Depository Information
  - a. How many bank branches are located in Frederick County and are

available to accept daily deposits?

- b. In lieu of bank branches, are there other physical locations in Frederick County that can accept daily deposits?

vi. Remote Depository Information

- a. Provide information for remote deposits for checks. Please describe the services available, and include any fees/costs in the cost proposal.
- b. Provide information for the remote deposit of cash. Please describe the services available, and include any fees/costs in the cost proposal.
- c. Does the firm arrange for armored courier services? Is this the responsibility of FCPS?
- d. What is the funds availability of check deposits? Cash deposits?

- vii. Provide any additional information considered important in evaluating the ability of the Bank to perform the contract and the quality of services being offered.

**Frederick County Public Schools  
Cost Proposal - RFP 20MISC2  
Direct Deposit Services**

**Vendor:**\_\_\_\_\_

Services Description	TMA Code	Estimated Monthly Volume	Unit Cost	Total Cost
FDIC Charge	000230	4		
Account Maintenance	010000	1		
ACH Maintenance	250000	1		
ACH Credits Received	250101	6		
ACH PPD Credits Originated	250107	14800		
ACH PPD Debits Originated	250107	2		
ACH Debits Received	250200	4		
ACH Returns - Electronic	250302	5		
Notification of Change - Electronic	250302	4		
ACH File Handling	250501	2		

**Grand Total for Proposed Services - Monthly**

**Annualized Cost**

x 12

*If there is a mandatory item not otherwise listed in the bid proposal, the bank is required to add it on, and total it as part of the bid.*

*The quantities and/or dollar values stated in the RFP are given as a general guide and represent a best estimate over a one month period.*

**Authorized Representative of the Vendor must execute below, binding the Vendor to the services and pricing contained herein.**

**Authorized Signature** \_\_\_\_\_

**Name:**\_\_\_\_\_

**Title:**\_\_\_\_\_

**Firm Name:**\_\_\_\_\_

**Date:**\_\_\_\_\_

**RFP 20MISC2, BANKING AND DIRECT DEPOSIT SERVICES**

**SIGNATURE ACKNOWLEDGING PROPOSAL**

**Note: When submitting your bid/proposal, please use this page as a cover sheet for your proposal.**

In compliance with your invitation for bidders, the undersigned proposes to furnish and deliver all labor and materials in accordance with the accompanying specifications and "Instructions and General Conditions" for the price as listed on the enclosed Proposal Sheet(s).

I/We certify that this bid/proposal is made without previous understanding, agreement, or connection with any person, firm, or corporation submitting a bid/proposal for the same goods/services and is, in all respects fair and without collusion or fraud; that none of this company's officers, directors, partners or its employees have been convicted of bribery, attempted bribery, or conspiracy to bribe under the laws of any state or federal government; and that no member of the Board of Education of Frederick County, Administrative or Supervisory Personnel or other employees of the Frederick County Public Schools, has any interest in the bidding company except as follows:

COMPANY: \_\_\_\_\_

dba: \_\_\_\_\_

REGISTERED MARYLAND CONTRACTOR NUMBER: \_\_\_\_\_

FEDERAL IDENTIFICATION: \_\_\_\_\_ DATE: \_\_\_\_\_

The undersigned has familiarized themselves with the conditions affecting the work, the specifications, and is legally authorized to make this proposal on behalf of the Contractor listed above.

NAME (please print): \_\_\_\_\_

SIGNATURE OF ABOVE: \_\_\_\_\_

TITLE: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

TELEPHONE # \_\_\_\_\_ FAX # \_\_\_\_\_

E-MAIL ADDRESS (for correspondence): \_\_\_\_\_

E-MAIL ADDRESS (for receiving Purchase Orders): \_\_\_\_\_

**(DO NOT COMPLETE THIS AREA IF YOUR COMPANY IS UNABLE TO RECEIVE  
PURCHASE ORDERS ELECTRONICALLY)**

**ACKNOWLEDGMENT OF ADDENDA (if applicable)**

The above-signed company/firm acknowledges the receipt of the following addenda for the above-referenced solicitation.

Date Received by Proposer/Bidder: \_\_\_\_\_

Addendum #1	_____	Addendum #2	_____
Addendum #3	_____	Addendum #4	_____
Addendum #5	_____	Addendum #6	_____

**FREDERICK COUNTY PUBLIC SCHOOLS  
APPENDIX A - RFP 20MISC2**

**FCPS FISCAL YEAR 19 BANK TRANSACTIONS**

AFP CODE	SERVICE DESCRIPTION	JUL 2018	AUG 2018	SEP 2018	OCT 2018	NOV 2018	DEC 2018	JAN 2019	FEB 2019	MAR 2019	APR 2019	MAY 2019	JUN 2019	ANNUAL	TOTAL	MONTHLY AVERAGE
	AVERAGE LEDGER BALANCE	16,907,957	16,413,670	19,198,165	12,534,616	19,526,815	22,815,792	19,302,905	18,138,602	21,397,194	18,049,639	16,391,027	17,806,527			18,206,909
	DEMAND RELATED SERVICES															
01 00 00	ACCOUNT MAINTENANCE	8	8	8	8	8	8	8	8	8	8	8	8	96	8	8
00 02 30	ACCOUNT BALANCE FEE	126,350	120,840	146,110	109,050	153,975	184,491	150,113	168,487	170,448	137,406	123,675	138,281	1,729,226	144,102	918
10 02 00	REGULAR DEPOSIT TICKET(N)	43	44	776	1,145	760	806	974	1,140	1,454	1,225	1,537	1,112	11,016	918	918
10 02 20	DEPOSIT ITEMS-ON US(N)	291	193	544	756	598	625	705	655	787	728	836	631	7,349	612	612
10 02 00	DEPOSIT ITEMS - TRANSIT	-	-	-	-	-	-	1,707	1,849	2,126	1,909	2,264	1,416	11,271	939	939
10 02 23	DEPOSIT ITEMS.TIER 1	208	255	964	1,214	941	841	-	-	-	-	-	-	4,423	369	369
10 02 25	DEPOSIT ITEMS.TIER 2	74	79	231	290	228	185	-	-	-	-	-	-	1,087	91	91
10 02 26	DEPOSIT ITEMS.TIER 3	133	146	420	563	427	383	-	-	-	-	-	-	2,072	173	173
15 01 00	PAID ITEMS	910	842	842	1,248	1,093	1,196	962	1,045	1,003	1,095	1,006	992	12,234	1,020	1,020
10 04 02	RECLEARS	-	1	-	1	1	-	1	-	-	-	2	-	6	1	1
10 04 00	RETURNS	-	-	7	19	12	3	5	4	9	7	18	5	89	7	7
10 99 99	DUAL STATEMENT DELIVERY	5	5	5	5	5	5	5	5	5	5	5	5	60	5	5
	MISCELLANEOUS BRANCH SERVICES	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10 00 48	CURRENCY FURNISHED (\$10 Units <50k)	-	36	14	75	3	-	20	74	-	4	15	27	268	22	22
10 00 44	COIN FURNISHED (rolls)	-	30	-	-	-	-	-	-	-	-	41	5	76	6	6
10 00 15	CASH VERIFICATION OTC-IO.OO UNITS	78	59	10,433	13,663	9,680	9,255	11,394	11,343	15,536	13,319	15,596	9,086	119,442	9,954	9,954
10 00 15	CASH VERIFICATION /NIGHT DROP	-	-	1,486	1,904	848	1,050	1,431	2,062	1,907	1,714	2,032	1,267	15,701	1,308	1,308
	ACOUNT RECONCILEMENT SERVICES	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
20 00 20	PARTIAL RECON MONTHLY MAINTENANCE	2	2	2	2	2	2	2	2	2	2	2	2	24	2	2
20 20 01	PARTIAL RECONCILEMENT ITEM	70	59	50	63	35	40	58	45	53	48	58	59	638	53	53
20 00 10	FULL RECON MONTHLY MAINTENANCE	3	3	3	3	3	3	3	3	3	3	3	3	36	3	3
20 01 10	FULL RECONCILEMENT ITEM	838	721	785	1,179	1,048	1,147	900	994	947	1,046	947	930	11,482	957	957
20 99 99	OUTPUT TRANSMISSION/ITEM	908	780	835	1,242	1,083	1,187	958	1,039	1,000	1,094	1,005	989	12,120	1,010	1,010
15 03 20	ARP/POSTIVE PAY RETURNS	-	-	-	-	-	-	-	-	-	1	-	-	1	0	0
15 02 30	STALE DATE SERVICE	-	-	-	-	-	1	1	-	-	2	-	-	4	0	0
20 03 10	ARP HARD COPY RECONCILEMENT REPORT	5	5	5	5	5	5	5	5	5	5	5	5	60	5	5
20 99 99	IMAGE MAINTENANCE	5	5	5	5	5	5	5	5	5	5	5	5	60	5	5
20 99 99	ARP IMAGE ITEMS	908	780	835	1,242	1,083	1,187	958	1,039	1,000	1,094	1,005	989	12,120	1,010	1,010
20 99 99	IMAGE OUTPUT CD	3	3	3	3	3	3	3	3	3	3	3	3	36	3	3
20 99 99	ADDITIONAL CD COPIES	3	3	3	3	3	3	3	3	3	3	3	3	36	3	3
20 00 00	TELLER POSITIVE PAY MAINTENANCE	3	3	3	3	3	3	3	3	3	3	3	3	36	3	3
20 00 00	POSITIVE PAY MONTHLY MAINTENANCE	3	3	3	3	3	3	3	3	3	3	3	3	36	3	3
20 99 99	PAYEE REVIEW MAINTENANCE	3	3	3	3	3	3	3	3	3	3	3	3	36	3	3
20 99 99	PAYEE REVIEW ITEMS	838	721	785	1,179	1,048	1,147	900	994	947	1,046	947	930	11,482	957	957
99 99 99	ON-LINE ISSUE FILE IMPORT	15	16	10	15	14	14	16	12	15	18	17	17	179	15	15
99 99 99	ON-LINE STOPS AND CANCELS	2	2	4	6	1	7	26	4	1	7	18	19	97	8	8
99 99 99	ON-LINE CHECK INQUIRY	27	27	12	111	14	128	47	17	14	148	74	97	716	60	60
99 99 99	ON-LINE ISSUE ADD/CANCEL	24	18	27	14	20	38	11	4	17	8	5	11	197	16	16
99 99 99	ON-LINE ISSUE FILE IMPORT ITEM	539	855	940	1,097	1,211	956	1,060	925	958	945	1,085	1,008	11,579	965	965
20 99 99	ON-LINE POSTIVE PAY-RETURN	-	-	1	-	-	-	-	-	-	-	-	1	2	0	0
20 99 99	ON-LINE IMAGE VIEWED	13	17	5	88	16	7	17	39	32	26	24	13	297	25	25
15 04 00	ON-LINE CHECK INQUIRIES RANGE	-	-	4	-	1	-	11	-	4	4	150	103	277	23	23
	ZERO BALANCE ACCOUNT SERVICES	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
01 00 20	PARENT ACCOUNT	1	1	1	1	1	1	1	1	1	1	1	1	12	1	1
	AUTOMATED CLEARINGHOUSE SERVICES	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
25 00 00	ACH MONTHLY MAINTENANCE FEE	3	3	3	3	3	3	3	3	3	3	3	3	36	3	3
25 05 05	ON-LINE ACH FILE PROCESSED	3	5	2	2	4	2	3	5	4	2	3	2	37	3	3
25 01 02	ON-LINE ACH DEBIT/CRE DIT ORIGINATED	9	11	15	14	15	14	15	21	16	14	14	14	172	14	14
25 01 20	ADDENDA ORIGINATED/RECEIVED	45	64	48	67	48	54	51	42	30	38	23	20	530	44	44
25 02 00	DEBITS RECEIVED	79	99	98	101	102	91	88	83	108	95	106	91	1,141	95	95
25 02 01	CREDITS RECEIVED	52	145	146	170	136	166	147	115	138	126	115	118	1,574	131	131
25 04 00	ACH RETURN ITEM	-	-	-	-	-	-	-	-	1	-	-	-	1	0	0
	FUNDS TRANSFER SERVICES	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
35 03 00	INCOMING WIRE TRANSFER	1	1	1	2	2	2	1	1	3	1	1	2	18	2	2

**FREDERICK COUNTY PUBLIC SCHOOLS**  
**APPENDIX A - RFP 20MISC2**

AFP CODE	SERVICE DESCRIPTION	JUL 2018	AUG 2018	SEP 2018	OCT 2018	NOV 2018	DEC 2018	JAN 2019	FEB 2019	MAR 2019	APL 2019	MAY 2019	JUN 2019	ANNUAL	TOTAL	MONTHLY AVERAGE
35 05 41	WIRE TRANSFER REPAIR	-	1	-	-	-	-	-	-	-	-	-	-	-	1	0
35 03 20	BOOKTRANSFER RECEIVED	2	8	5	6	4	4	3	2	5	2	5	3	-	49	4
35 05 51	ON-LINE TEMPLATE STORAGE	19	19	19	19	19	19	19	19	19	19	19	19	-	228	19
35 04 02	ELECTRONIC ADVICE	7	15	8	11	8	11	8	6	15	7	11	9	-	116	10
35 01 09	ON-LINE DOMESTIC WIRE TRANSFER	4	6	2	3	2	5	4	3	7	4	5	4	-	49	4
	INFORMATION SERVICES	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
40 02 22	PREVIOUS DAY ACCOUNT	64	64	64	64	64	64	64	64	64	64	64	64	-	768	64
40 02 25	CURRENT DAY ACCOUNT	63	63	63	63	63	63	63	63	63	63	63	63	-	756	63
40 02 72	ON-LINE INFO REPORTING MONTHLY	1	1	1	1	1	1	1	1	1	1	1	1	-	12	1
40 02 71	ON-LINE PREV DAY TRANS 1 or 2 YEAR	944	942	1,031	1,341	1,295	1,312	4,657	4,737	6,205	5,276	6,477	5,631	-	39,848	3,321
40 02 71	ON-LINE PREV DAY TRANS-3 MONTH	1,879	2,320	3,997	4,223	3,366	3,499	-	-	-	-	-	-	-	19,284	1,607
40 02 74	On-Line CURRENT DAY TRANSACTION	2,005	2,372	3,375	3,810	2,903	2,898	3,171	3,195	3,938	4,082	4,846	3,740	-	40,335	3,361
40 99 99	EVENT NOTIF-SMS(TEXT)SG	-	7	27	-	-	-	-	-	-	-	-	1	-	35	3
40 99 99	ON-LINE OUTSTANDING CHECK REPORT	1	1	1	1	1	1	1	1	1	1	1	1	-	12	1
99 99 99	ON-LINE ACCOUNT TRANSFER	4	4	4	7	7	3	5	5	8	5	2	16	-	70	6
40 99 99	ON-LINE INFORMATION REPORTING IMAGE	1	-	11	6	5	-	3	1	9	3	8	6	-	53	4
40 99 99	ON-LINE DDA STATEMENT IMAGE	1	-	-	-	-	-	3	3	-	-	-	1	-	8	1
99 99 99	ON-LINE ACCOUNT TRTRANSFER-RE-JECT	-	1	1	-	-	-	1	-	-	-	-	-	-	3	0
40 99 99	ON-LINE MODULE FEES	6	6	6	6	6	6	6	6	6	6	6	6	-	72	6



**FREDERICK COUNTY PUBLIC SCHOOLS  
APPENDIX B - RFP 20MISC2  
TECHNICAL PROPOSAL CHECKLIST**

Vendor Name:				
		Yes	No	Comments
3.0.	Mandatory Requirements			
	1. Cover Letter			
	2. Company Profile			
	3. Independence & Impartiality			
	4. Bid Submissions			
	5. SOC 2 Report			
4.1.	Firm Overview			
	a. Company Information			
	b. Management Structure and History			
	c. Company Statistics			
	d. Lawsuits or Judgements			
4.2.	Understanding Scope of Services			
	a. Understanding Demonstration			
4.3.	Experience			
	a. Provide Organization Experience Information			
	b. Provide PeopleSoft 9.2 Experience Information			
4.4.	Staffing Plan			
	a. Provide Specific Staff Information			
	b. Professional Resumes			
	c. Sub-contracted Services			
4.5.	References			
	a. Provide a Minimum Three References			
4.6.	Company Financial Condition			
	a. Provide Annual Report and Financial Statement			
	b. Provide Most Recent Quarterly Report			
4.7.	Certificate of Insurance			
	a. Provide Certificate of Insurance			
5.0.	Direct Deposit Services Product Approach			
	i. Explain on two pages, or less, why your firm is qualified to execute the project.			

**FREDERICK COUNTY PUBLIC SCHOOLS  
APPENDIX B - RFP 20MISC2  
TECHNICAL PROPOSAL CHECKLIST**

Vendor Name:			
	Yes	No	Comments
ii. Provide any exceptions/clarifications/additions to Bank Responsibilities as outlined under Section III, Item 1. Direct Deposit Services.			
iii. Provide evidence of being in good standing and approved depository in accordance with Section 135.03 of the Maryland Revised Code.			
iv. Explain your firm's timeline and activity schedule for implementing the first payroll under this contract. Timeline should begin with the post-award meeting between Frederick County Public Schools and representatives from the Bank.			
v. Provide specific answers to the following questions in the order that they are listed:			
a. Indicate where your firm is incorporated.			
b. List any no-cost or reduced cost services or reduced rate loans the Vendor is willing to provide to employees who hold direct deposit accounts with the Vendor, or to other employees having personal banking relationships with other Banks.			
c. Please provide information on your Bank's ability to provide Pre-Note services for Direct Deposit services.			
d. Please describe your Bank's disaster recovery policy for direct deposit services.			
e. Please provide the Bank's credit rating, the agency providing the rating, and the period for which the rating relates.			
f. Specify available time periods (with a maximum of 48 hours) for receipt of direct deposit data to ensure timely deposits.			
vi. Explain the specific procedure for transmission of employee payroll data from FCPS to the Bank.			
vii. Provide any additional information considered important in evaluating the ability of the Bank to perform the contract and the quality of services being offered.			

**FREDERICK COUNTY PUBLIC SCHOOLS  
APPENDIX B - RFP 20MISC2  
TECHNICAL PROPOSAL CHECKLIST**

Vendor Name:				
		Yes	No	Comments
5.0	Public Funds Banking Project Approach			
	i. Explain on two pages or less why your firm is qualified to execute the project.			
	ii. Explain your firm's approach that would allow Frederick County Public Schools the capability to initiate wire transfers, stop payments, ACH transactions, etc. on an internet-based system.			
	iii. Explain your firm's timeline and activity schedule for implementation. Timeline should begin with the post-award meeting between Frederick County Public Schools and representatives from the Bank.			
	iv. Provide specific answers to the following questions in the order that they are listed:			
	a. Indicate where your firm is incorporated.			
	b. What is your funds availability policy for deposits drawn on other banks, ACH's, and wires?			
	c. Please describe the third-party collateralization structure you will use for securing FCPS deposits/investments (in accordance with Maryland law governing public funds).			
	d. Please describe web-based technology that would enable FCPS to initiate wires, stop payments, ACH's, transfers between accounts, etc. Indicate the amount of time data is accessible online.			
	e. Please describe the technology available to update and view account statement information including cleared checks. Also, please describe the technology to view daily and previous day transactions, customized reports and to prepare and transaction future transactions, to include export capabilities to Microsoft Office.			

**FREDERICK COUNTY PUBLIC SCHOOLS  
APPENDIX B - RFP 20MISC2  
TECHNICAL PROPOSAL CHECKLIST**

Vendor Name:				
		Yes	No	Comments
	f. Please provide information on paid check archiving, imaging, storage, etc. (The State of Maryland Public School Construction currently requires copies of cleared checks for reimbursement purposes, therefore, some check copies are required on a routine monthly basis).			
	g. Please describe account structure proposed (see Section III - Scope of Services).			
	h. Please describe automated bank reconciliation services available (see Section III - Scope of Services).			
	i. Please describe any fraudulent check services to be provided. Will you require positive pay on any or all accounts?			
	j. Please describe your firm's policy & fee structure for cashing foreign account holders' checks drawn on your bank from Frederick County Public Schools.			
	k. Please describe your firm's disaster recovery policy for public funds banking.			
	l. Please provide the firm's credit rating, the agency providing the rating, and the period for which the rating relates.			
	m. Describe your proposed procedures for the processing of returned deposit items. Will returned items be automatically presented a second time? If yes, in what time period? Is there an option for the Board to re-present items manually?			
n. Describe the details of how your bank's sweep products work. Are sweep accounts and related investments handled by your bank, or is a third party involved? If a third party is involved, describe the bank's relationship and guarantee (if any) with that party.				

**FREDERICK COUNTY PUBLIC SCHOOLS  
APPENDIX B - RFP 20MISC2  
TECHNICAL PROPOSAL CHECKLIST**

Vendor Name:				
		Yes	No	Comments
	o. Provide historic investment rates for the past 180 days for your bank's sweep account customers.			
	p. Do your Money Market accounts have restrictions on the total numbers of transactions per month? If so, what is the limit of number of transactions?			
	v. Local Depository Information			
	a. How many bank branches are located in Frederick County and are available to accept daily deposits?			
	b. In lieu of bank branches, are there other physical locations in Frederick County that can accept daily deposits?			
	vi. Remote Depository Information			
	a. Provide information for remote deposits for checks. Please describe the services available, and include any fees/costs in the cost proposal.			
	b. Provide information for the remote deposit of cash. Please describe the services available, and include any fees/costs in the cost proposal.			
	c. Does the firm arrange for armored courier services? Is this the responsibility of FCPS?			
	d. What is the funds availability of check deposits? Cash deposits?			
	vii. Provide any additional information considered important in evaluating the ability of the Bank to perform the contract and the quality of services being offered			

**RFP 20MISC2, BANKING AND DIRECT DEPOSIT SERVICES**

**FREDERICK COUNTY PUBLIC SCHOOLS**

**STATUTORY AFFIDAVIT AND NON-COLLUSION CERTIFICATION**

Special Instructions: An authorized representative of the bidder needs to complete the following affidavit and insert an answer to paragraphs 1 and 3.

BIDDERS: The submission of the following Affidavit at the time of the bid opening is:

☒ requested to be completed but not required to be notarized.

☐ required to be completed and notarized.

I, \_\_\_\_\_, being duly sworn, depose and state:

1. I am the \_\_\_\_\_ (officer) and duly authorized representative of the firm of  
the organization named \_\_\_\_\_ whose address is  
(Name of Corporation)  
\_\_\_\_\_ and that I

possess the authority to make this affidavit and certification on behalf of myself and the firm for which I am acting.

2. Except as described in paragraph 3 below, neither I, nor to the best of my knowledge, the above firm, nor any of its officers, directors, or partners, or any of its employees who are directly involved in obtaining or performing contracts with any public bodies has:
- a. been convicted of bribery, attempted bribery, or conspiracy to bribe, under the laws of any state or of the federal government;
  - b. been convicted under the laws of the state, another state, or the United States of: a criminal offense incident to obtaining, attempting to obtain, or performing a public or private contract; or fraud, embezzlement, theft, forgery, falsification or destruction of records, or receiving stolen property;
  - c. been convicted of criminal violation of an antitrust statute of the State of Maryland, another state, or the United States;
  - d. been convicted of a violation of the Racketeer influenced and Corrupt Organization Act, or the Mail Fraud Act, for acts in connection with the submission of bids or proposals for a public or private contract;
  - e. been convicted of any felony offenses connected with obtaining, holding, or maintaining a minority business enterprise certification, as prohibited by Section 14-308 of the State Finance & Procurement Article;
  - f. been convicted of conspiracy to commit any act or omission that would constitute grounds for conviction under any of the laws or statutes described in Paragraph (a) through (e) above; or
  - g. been found civilly liable under an antitrust statute of this State, another state, or the United States for acts or omissions in connection with the submission of bids or proposals for a public or private contract.
3. The only conviction, plea, or admission by any officer, director, partner, or employee of this firm to involvement in any of the conduct described in Paragraph 2 above is as follows:

If none, write "None" below. If involvement, list the date, count, or charge, official or administrative body, the individuals involved, their position with the firm, and the sentence or disposition of the charge.

(you may attach an explanation if necessary)

4. I affirm that this firm will not knowingly enter into a contract with a public body under which a person or business debarred or suspended under Maryland State Finance and Procurement Title 16, subtitle 3, Annotated Code of Maryland, as amended, will provide, directly or indirectly, supplies, services, architectural services, construction-related services, leases of real property, or construction.
5. I affirm that this proposal or bid to the Board of Education of Frederick County is genuine and not collusive or a sham; that said bidder has not colluded, conspired, connived and agreed, directly or indirectly, with any bidder or person to put in a sham bid or to refrain from bidding and is not in any manner, directly or indirectly, sought by agreement of collusion or communication or conference, with any person to fix the bid prices of the affidavit or any other bidder, or to fix any overhead, profit or cost element of said bid price, or that if any bidder, or to secure an advantage against the Board of Education of Frederick County or any other person interested in the proposed contract; and that all statements in the proposal or bid are true. I acknowledge that, if the representations set forth in this affidavit are not true and correct, the Board of Education of Frederick County may terminate any contract awarded and take any other appropriate action.

I DO SOLEMNLY DECLARE AND AFFIRM under the penalties of perjury that the contents of this affidavit are true and correct, that I am executing this Affidavit in compliance with Section 16-311 of the State Finance and Procurement Article, Annotated Code of Maryland, and in compliance with requirements of the Board of Education of Frederick County, and that I am executing and submitting this Proposal on behalf of and as authorized by the bidder named below.

(Legal Name of Company)

(dba)

(Address)

(City)

(State)

(Zip)

(Telephone)

(Fax)

(Print Name)

(Title)

(Date)

(Signature)

(Title)

(Date)

We are/I am licensed to do business in the State of Maryland as a:

( ) Corporation

( ) Partnership

( ) Individual

( ) Other

If required to be notarized:

(Witness)

(Title)

SUBSCRIBED AND SWORN to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

NOTARY PUBLIC

My Commission Expires: \_\_\_\_\_

## **RFP 20MISC2, BANKING AND DIRECT DEPOSIT SERVICES**

### **FREDERICK COUNTY PUBLIC SCHOOLS**

#### **CERTIFICATION OF COMPLIANCE**

1. All Contractors, subcontractors or vendors must abide by FCPS Board policies and regulations while working on FCPS property.
2. Maryland Law requires that any person who enters into a contract with a county board of education may not knowingly employ an individual to work at a school (or FCPS facility) if the individual is a registered sex offender. Please reference §11-113 of the Criminal Procedure Article of Maryland Code for penalty.
3. Be advised that individuals who are registered sex offenders are not eligible to work on any FCPS project. The Contractor must initially check the Maryland Department of Public Safety & Correctional Services' MARYLAND SEX OFFENDER REGISTRY and search for the name of any employee to be assigned to work on this project. This applies to subcontractors and material/equipment suppliers as well.
4. In the event that a registered sex offender is discovered to be working on a FCPS project, whether through employment by the prime Contractor, subcontractor or vendor, the site superintendent will immediately remove the individual from the premises and permanently terminate his work assignment. FCPS may terminate this contract as a result if the Contractor is unable to demonstrate he has exercised care and diligence in the past in checking the Maryland registry.
5. Effective July 1, 2015, amendments to §6-113 of the Education Article of the Maryland Code further require that a contractor or subcontractor or vendor for a local school system may not knowingly assign an employee to work on school premises with direct, unsupervised, and uncontrolled access to children, if the employee has been convicted of, or pled guilty or nolo contendere to, a crime involving:
  - a. A sexual offense in the third or fourth degree under §3-307 or §3-308 of the Criminal Law Article of the Maryland Code.
  - b. Child sexual abuse under §3-602 of the Criminal Law Article of the Maryland Code or any other State; or
  - c. A crime of violence as defined in §14-101 of the Criminal Law Article of the Maryland Code or any other State
6. With the passing of Maryland Law MD. Code, Educ. 6-113.2, employers of all contracted staff must obtain background information relating to child sexual abuse or sexual misconduct. This means that all contracted staff having direct contact with students must meet all of the FCPS and Maryland State Department of Education (MSDE) requirements before doing business with FCPS. See: [Maryland State Department of Education Website](#); [House Bill 486 Child Sexual Abuse and Sexual Misconduct Prevention](#); [MSDE Guidelines For MD. Code, Educ. 6113.2](#); and [Employment History Review Form for Child Abuse and Sexual Misconduct](#) for additional information.

In addition, there has been no change to the current FCPS requirement, that all contracted staff who have contact with students are required to be fingerprinted in order to obtain a criminal background check. Fingerprints and background check are still an enforced FCPS requirement.

7. Under recent amendments to §5-561 of the Family Law Article of the Maryland Code, each contractor, subcontractor, or vendor shall certify by signing this affidavit that any individuals in its work-force including sub-contractors, have undergone a criminal background check, including fingerprinting, if the individuals will work in a FCPS school facility in circumstances where they have direct, unsupervised, and uncontrolled access to children.



By my signature below, I affirm under penalties of perjury that the contents of this Certification of Compliance are true to the best of my knowledge, information and belief.

Signature\_\_\_\_\_Date\_\_\_\_\_

Print name and title of  
signatory\_\_\_\_\_

Print name of  
company\_\_\_\_\_